

Optical Spectroscopy in Nanoscience

奈米科學的光譜檢測

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Outline

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- **Introduction**
- **Optical microscopy**
- **Semiconductor quantum dots**
- **Single-molecule spectroscopy**
- **Raman scattering**
- **Plasmon resonance in nanostructures**
- **SERS and nano-scaled Raman spectroscopy**

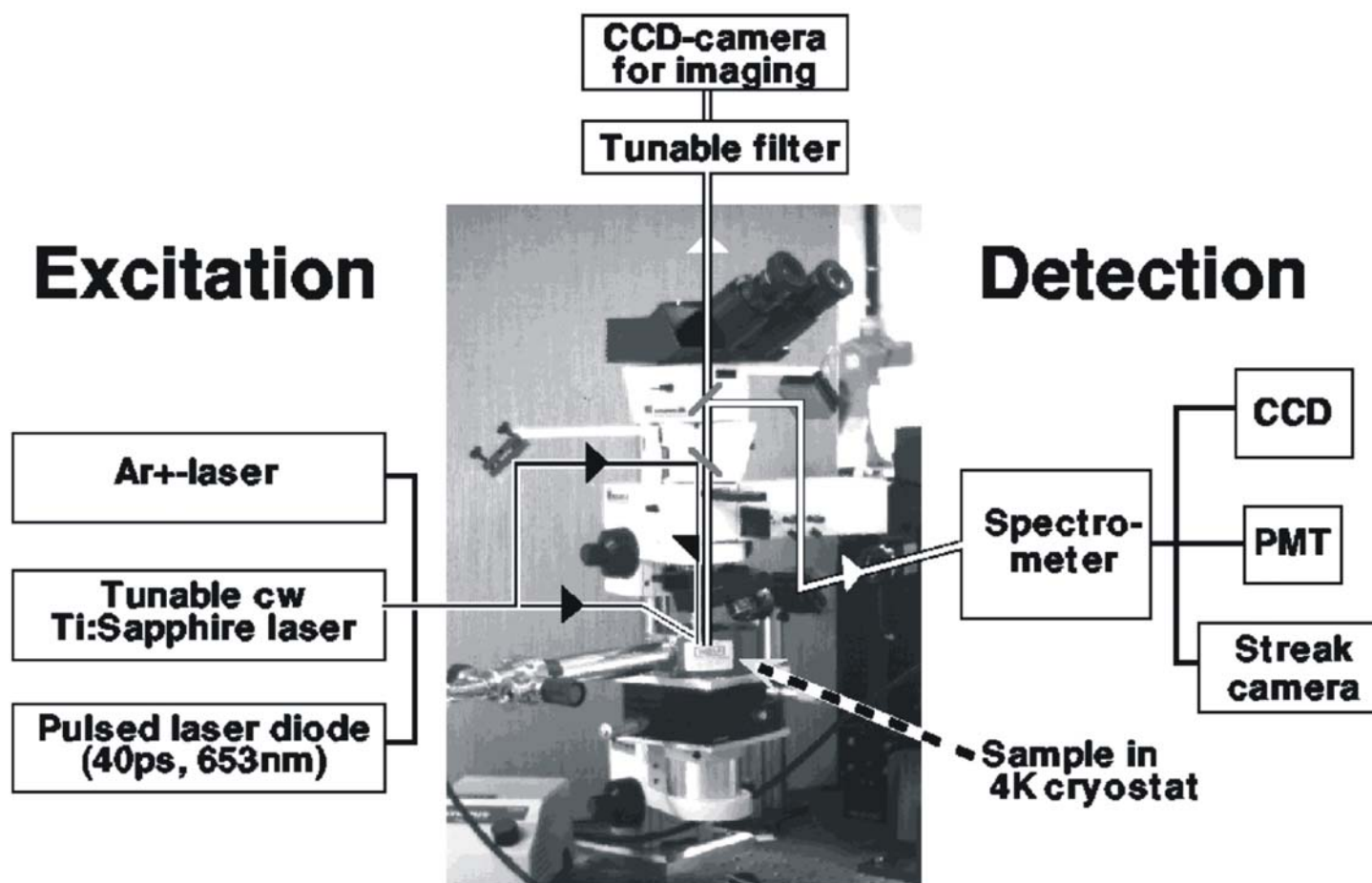
Reference

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- **Characterization of Nanophase Materials, edited by Z. L. Wang (Wiley-VCH, Weinheim, 2000), Chap. 7 and 9.**
- **Single-Molecule Optical Detection, Imaging and Spectroscopy, edited by T. Basche, W. E. Moerner, M. Orrit and U. P. Wild (Wiley-VCH, Weinheim, 1997).**
- **Handbook of Raman Spectroscopy: from the Research Laboratory to the Process Line, edited by I. R. Lewis, G. Howell and M. Edwards (Marcel Dekker, New York, 2001).**

Optical spectro-microscopy setup

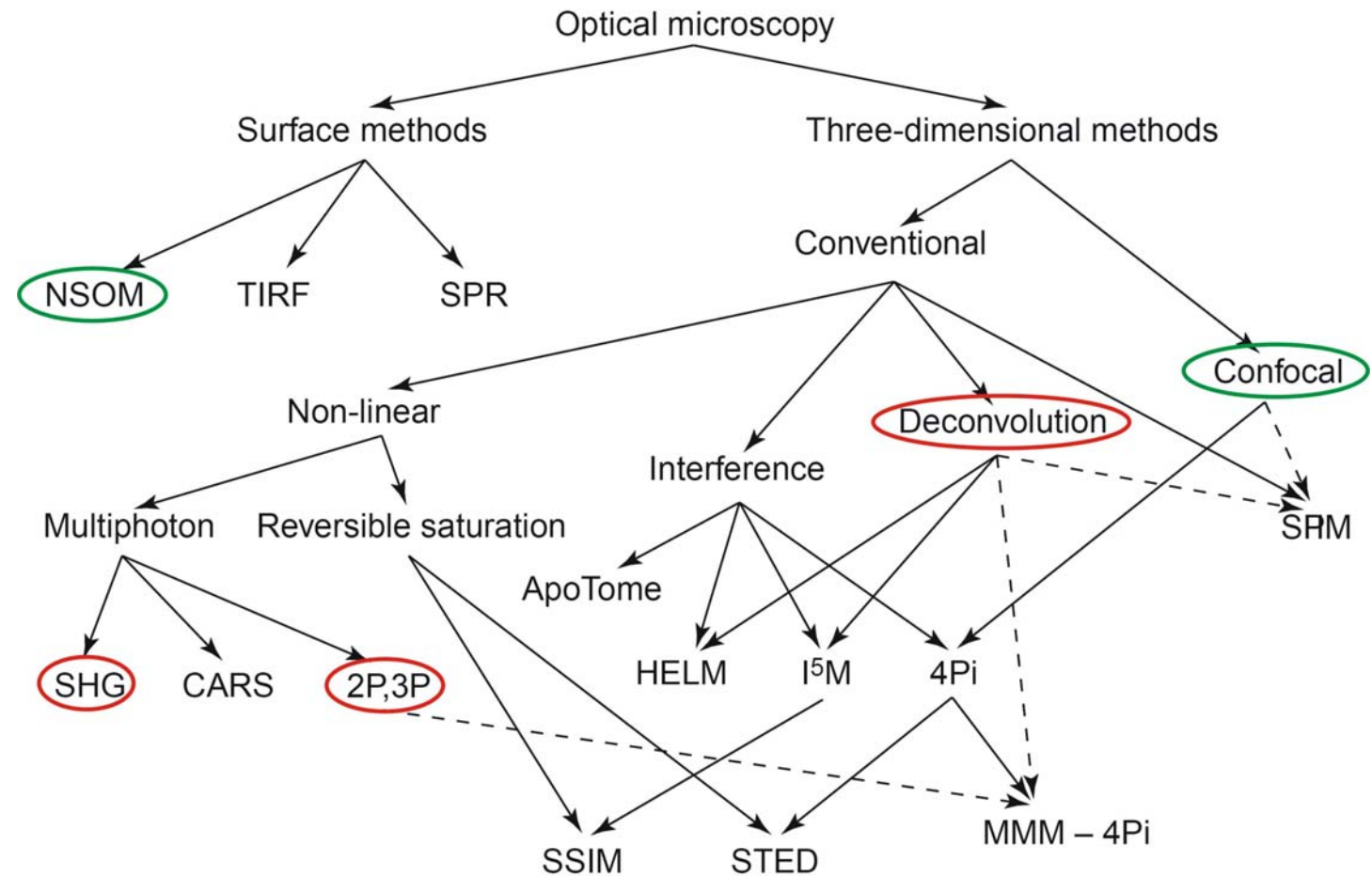
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Optical microscopy

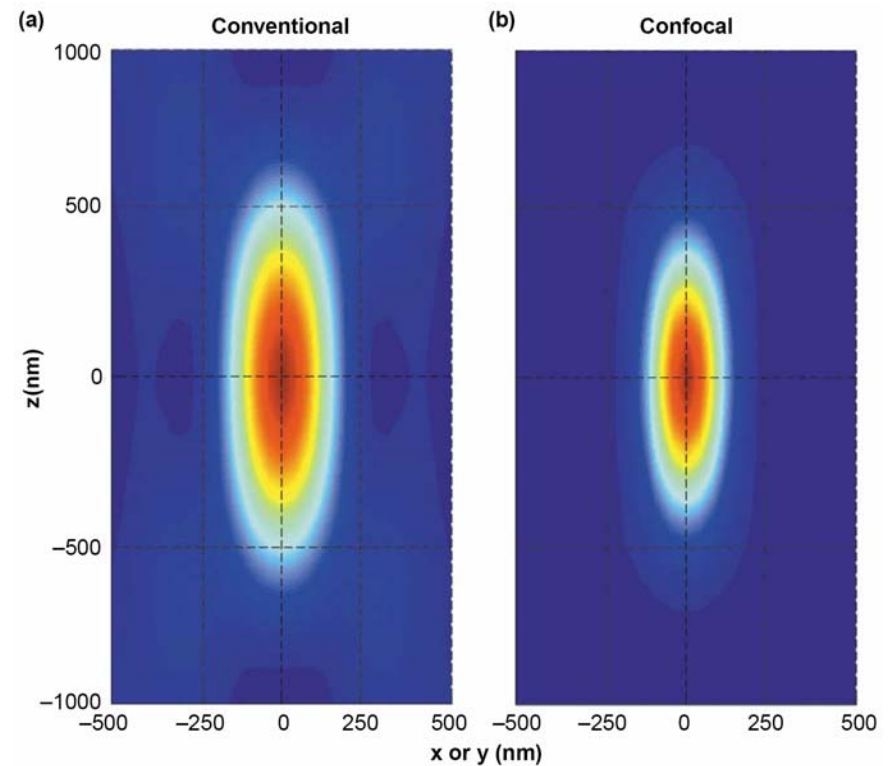
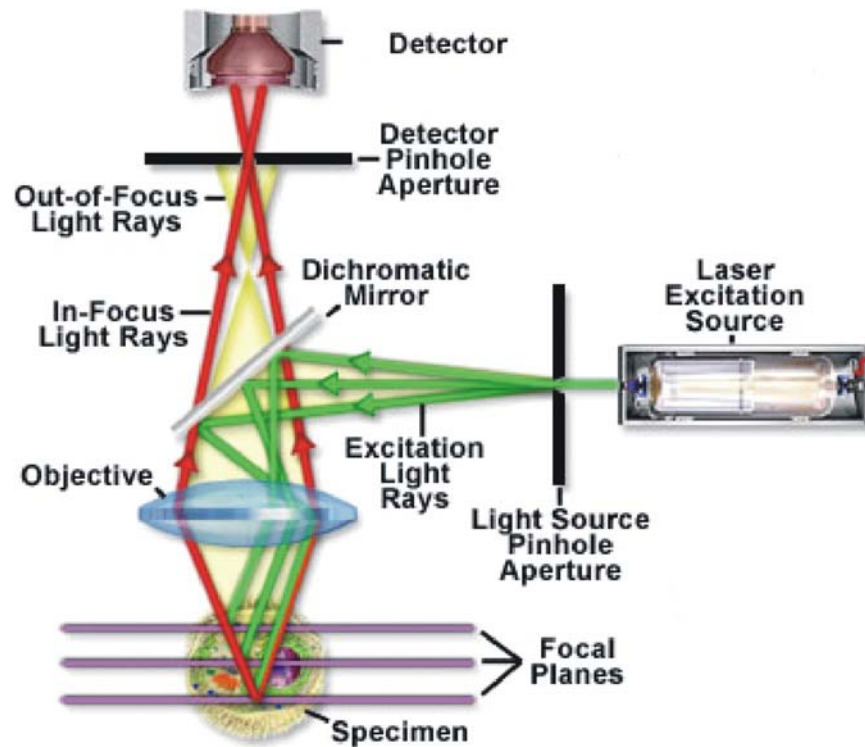
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- Rayleigh criteria:
 - $d_{x,y} = 0.61\lambda/NA$
 - $d_z = 2\lambda/NA^2$



Confocal microscopy

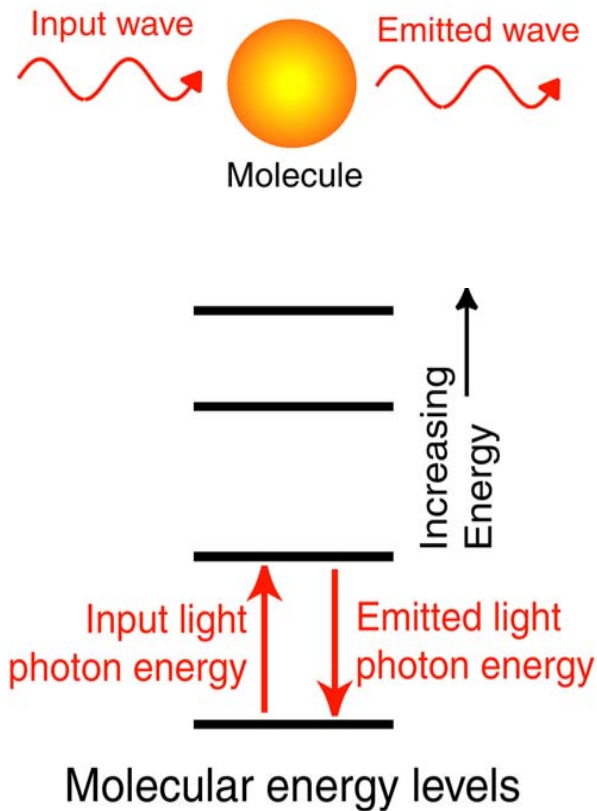
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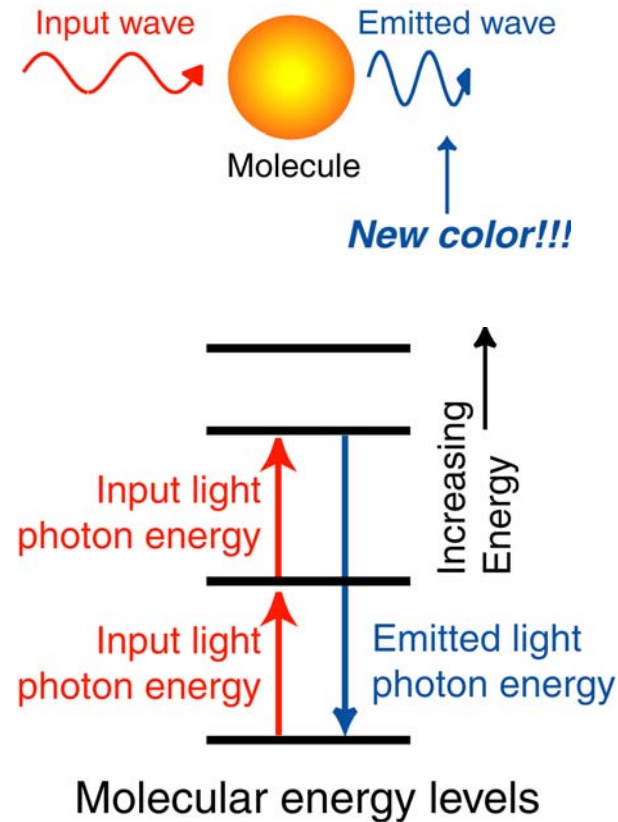
Linear optics vs. nonlinear optics

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Linear optics

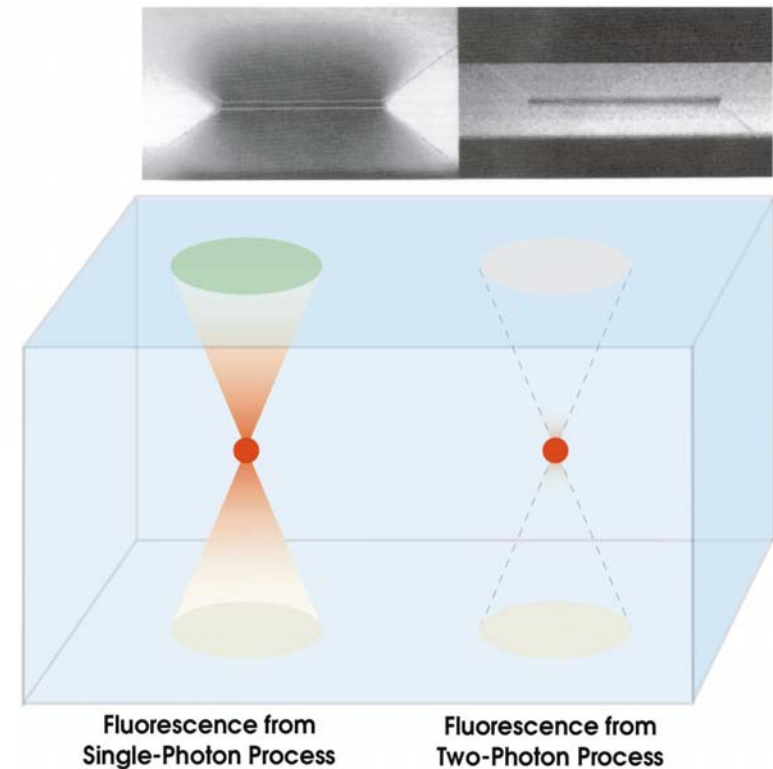
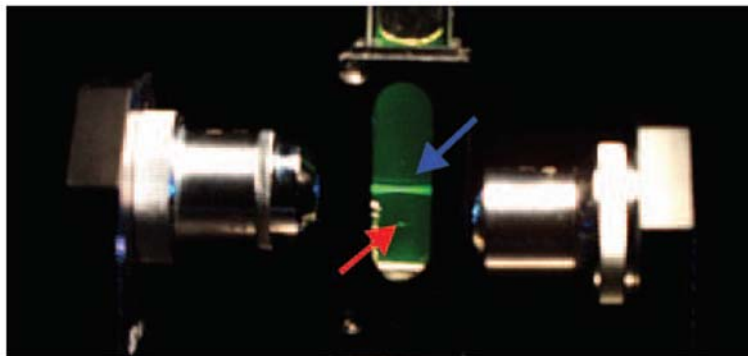
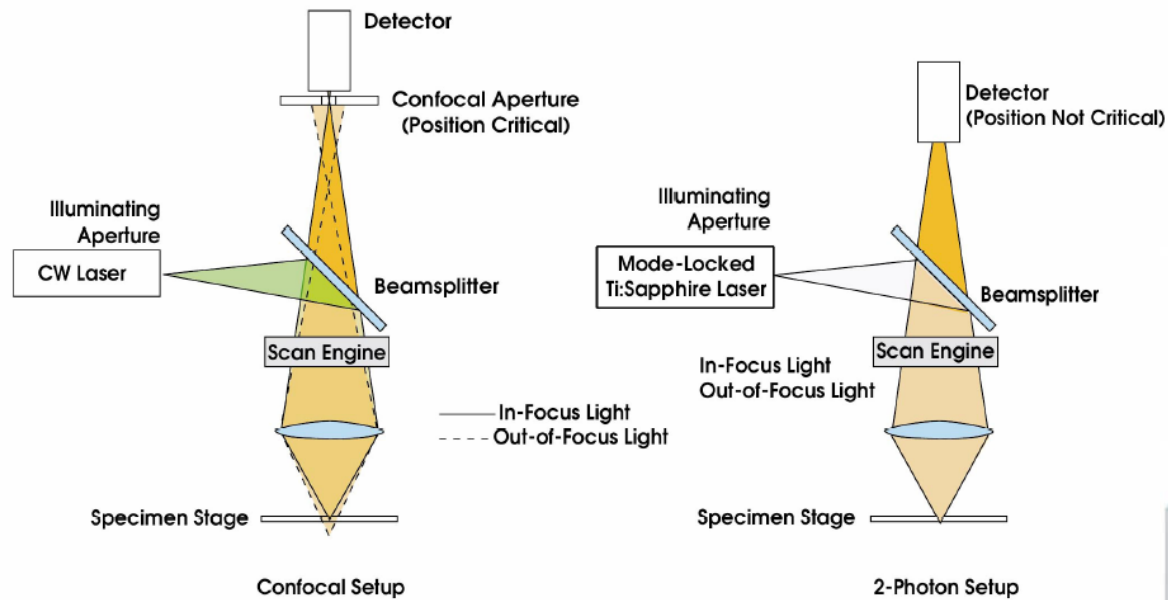


Nonlinear optics



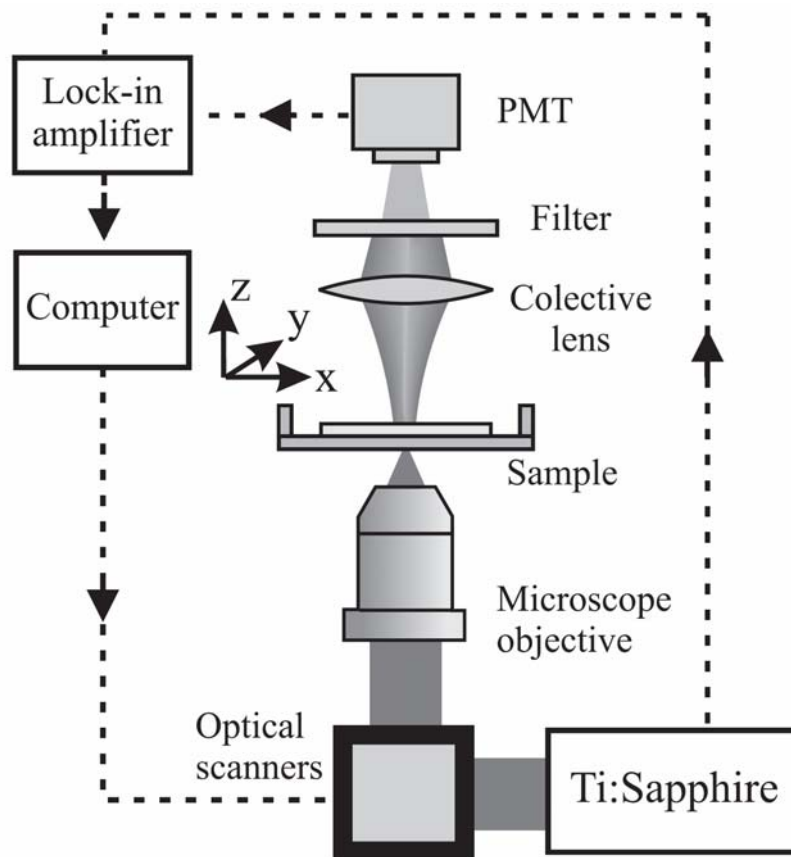
Multiphoton fluorescence microscopy

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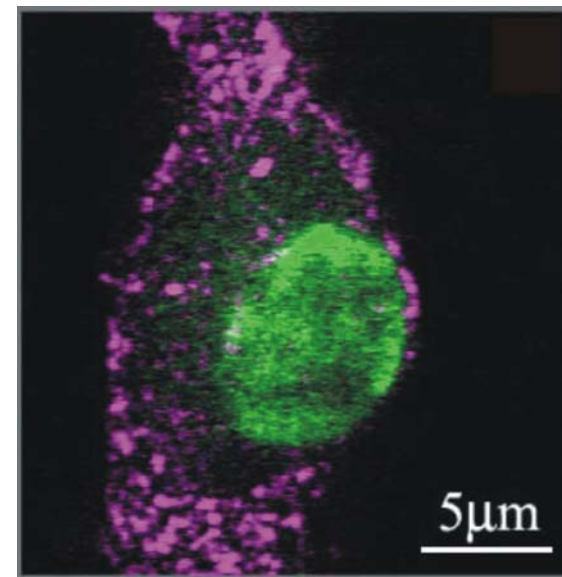


Harmonic-generation microscopy

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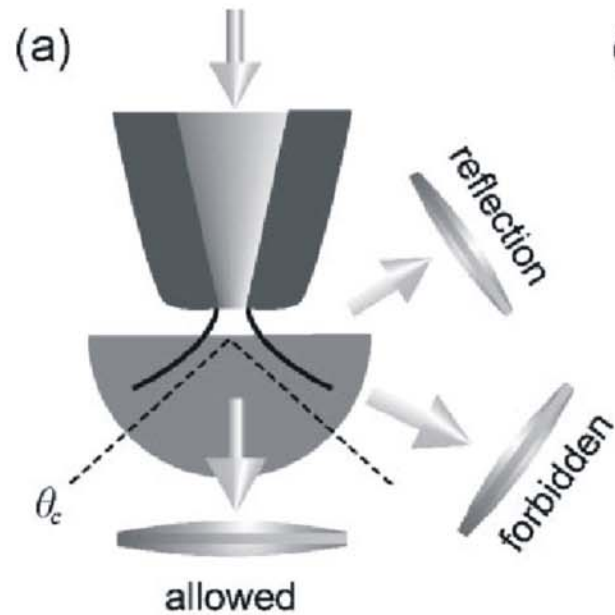


- It can be combined with multiphoton fluorescence microscopy easily.
- Forward and backward detection.
- It requires no fluorescent specie.
- It is reflected to structure-related optical properties.
- More sensitive detection.
- Depth probing!



Scanning Near-field Optical Microscopy (SNOM)

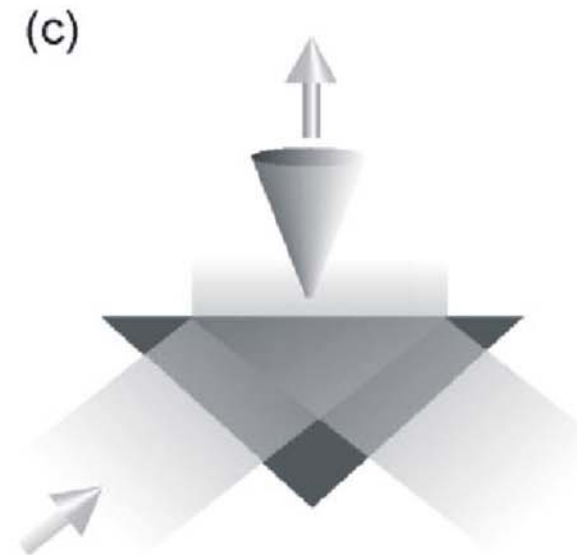
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Aperture SNOM



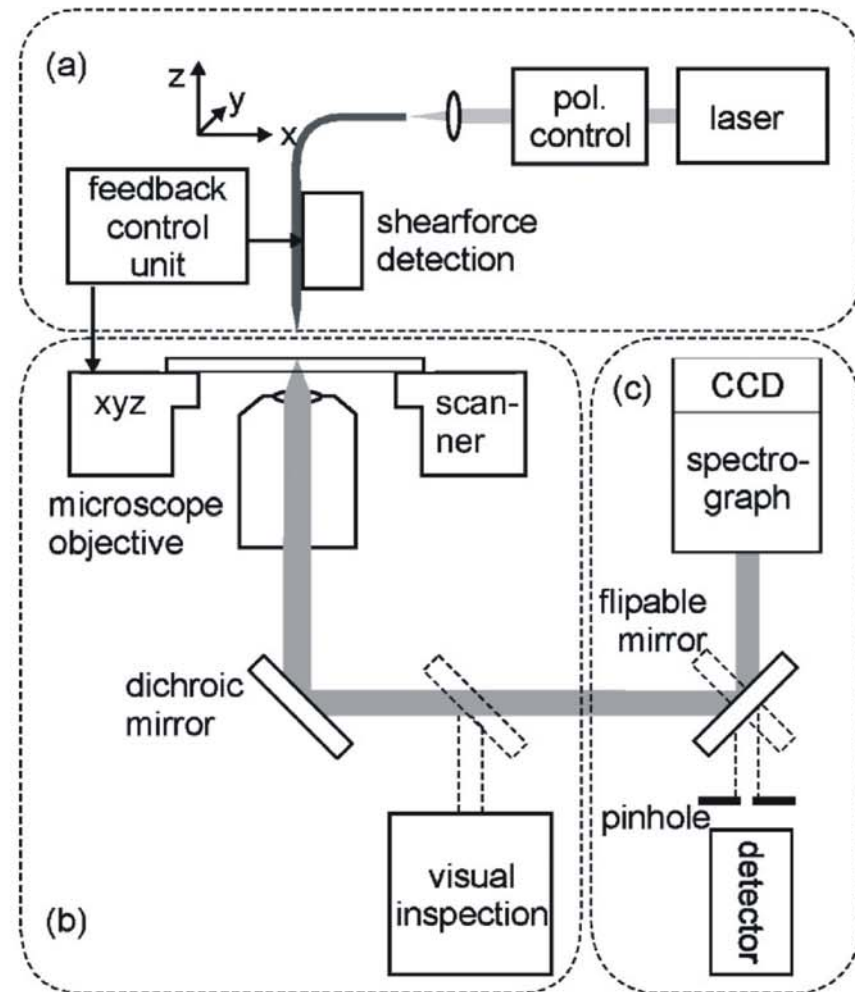
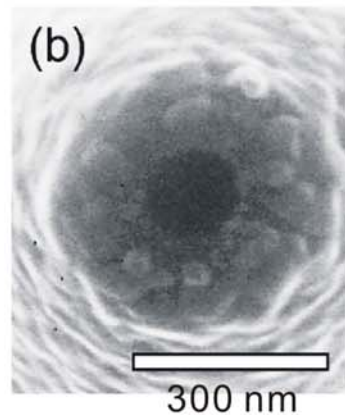
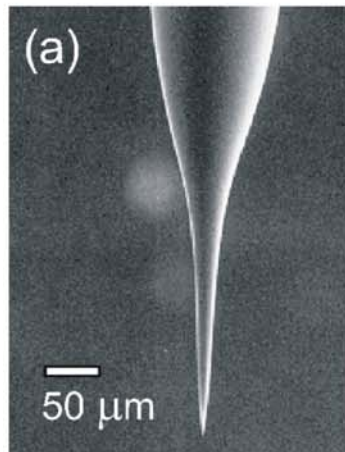
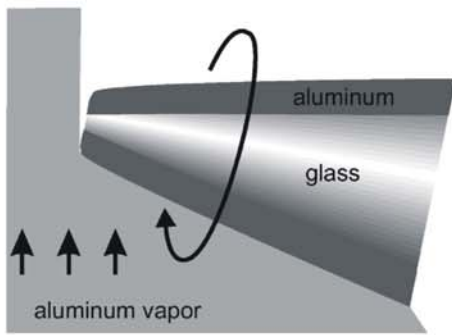
Apertureless SNOM



**Scanning tunneling
optical microscope**

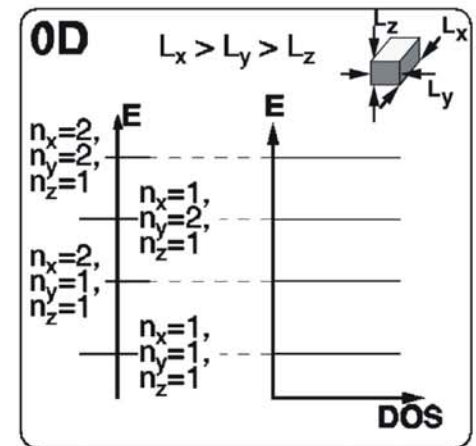
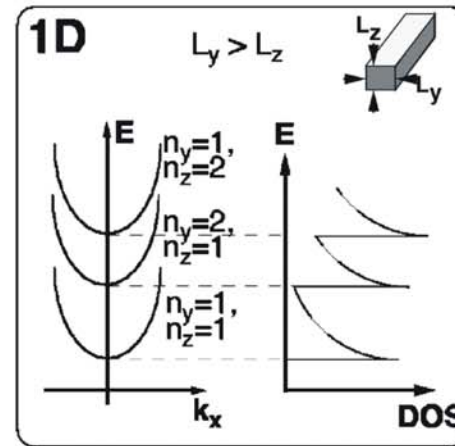
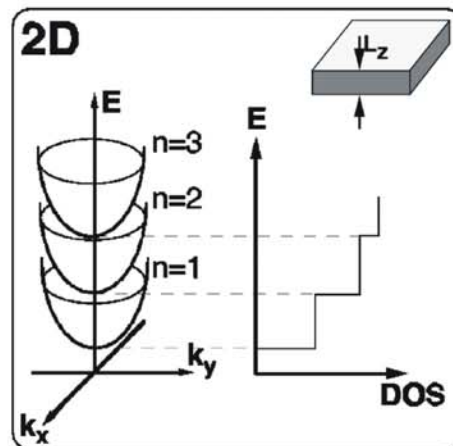
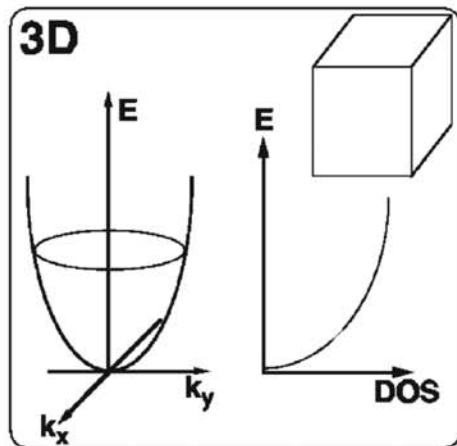
SNOM setup

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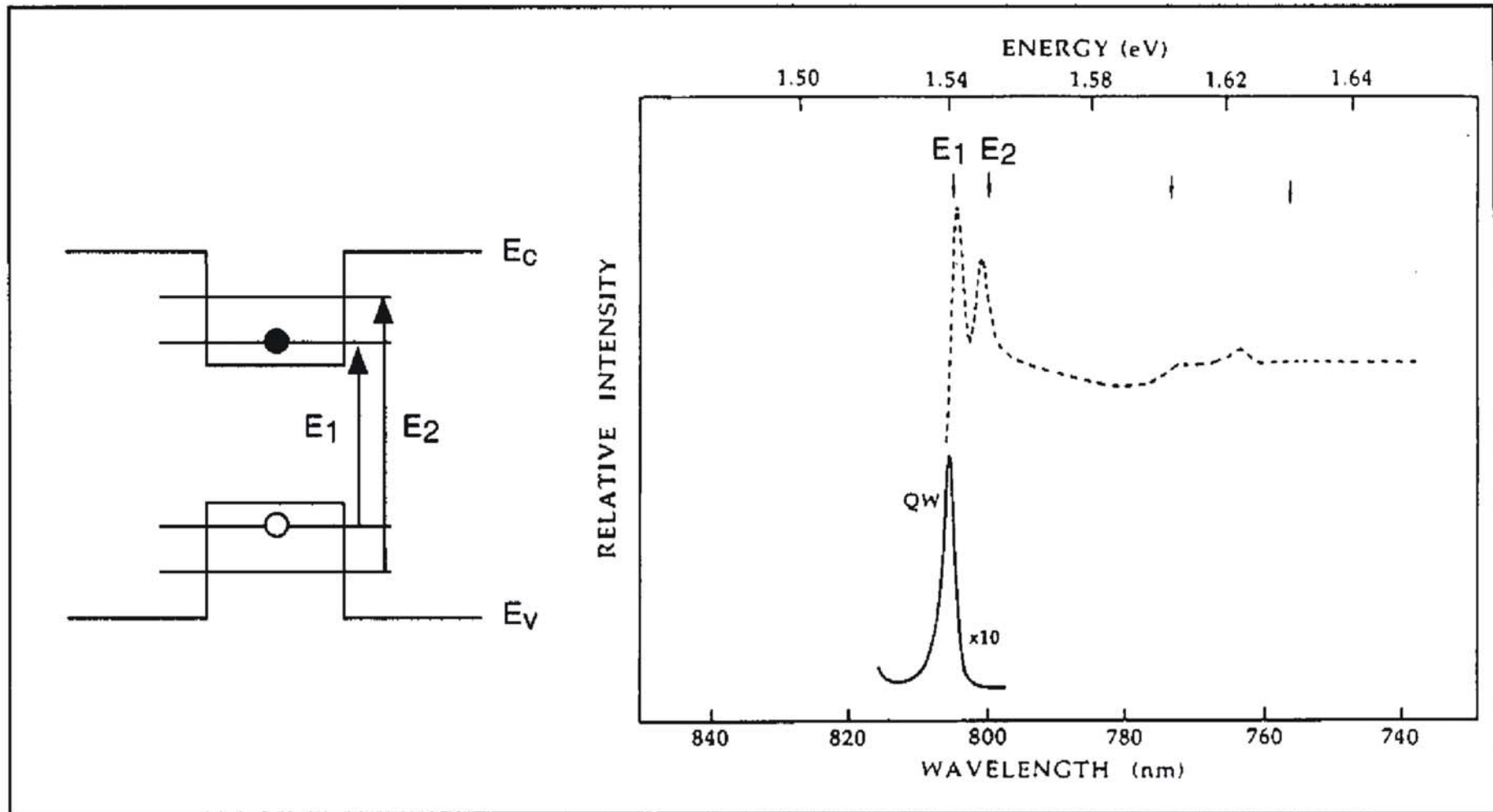
Quantization in nanostructures

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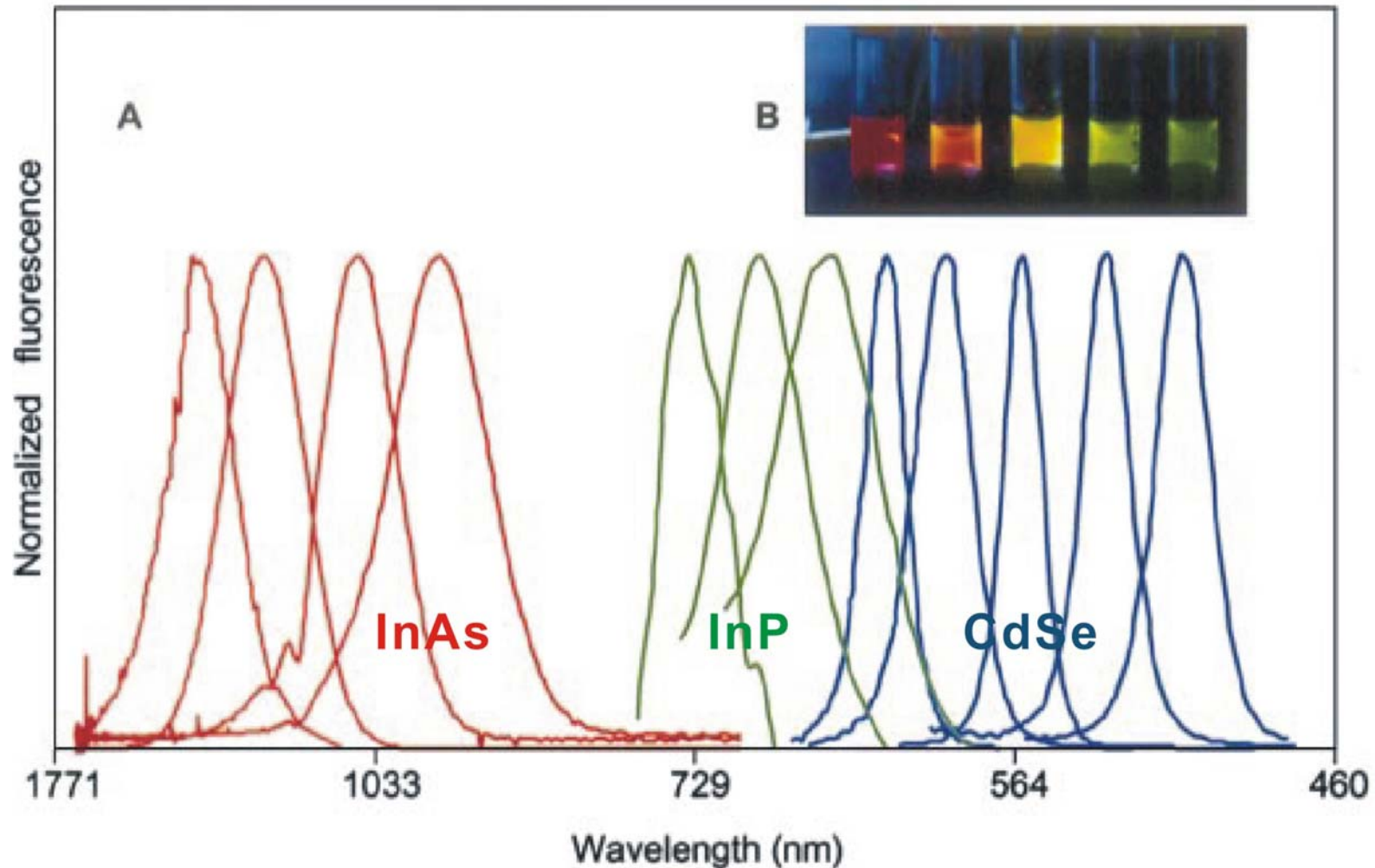
Absorption and emission in nanostructures

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Colloidal semiconductor nanocrystals

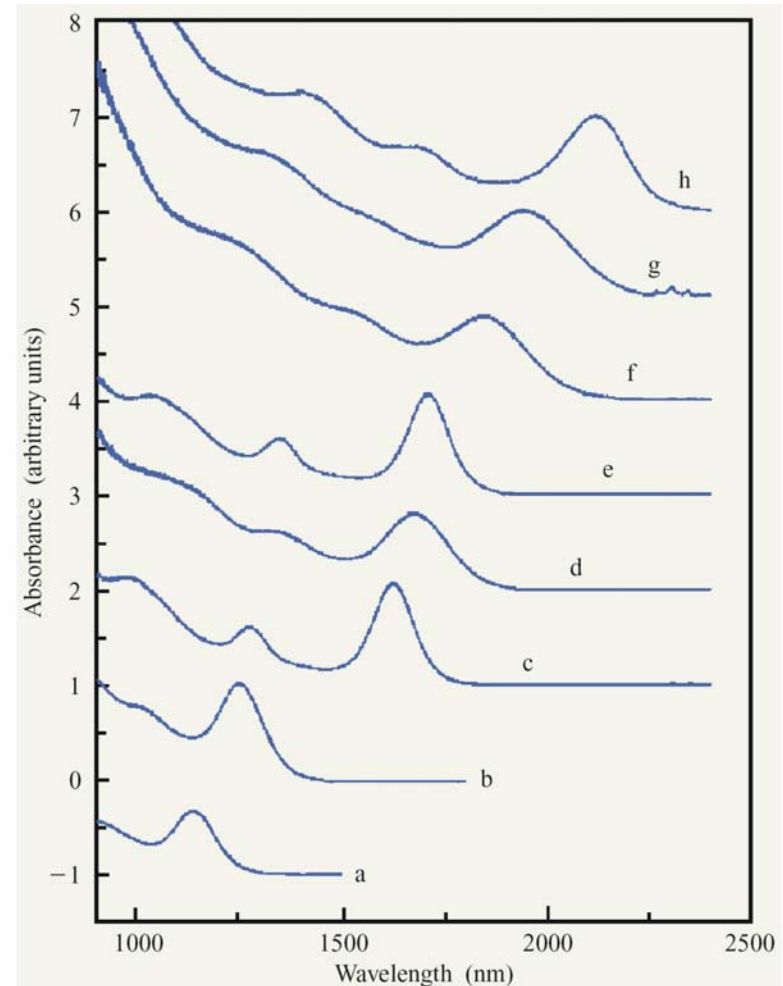
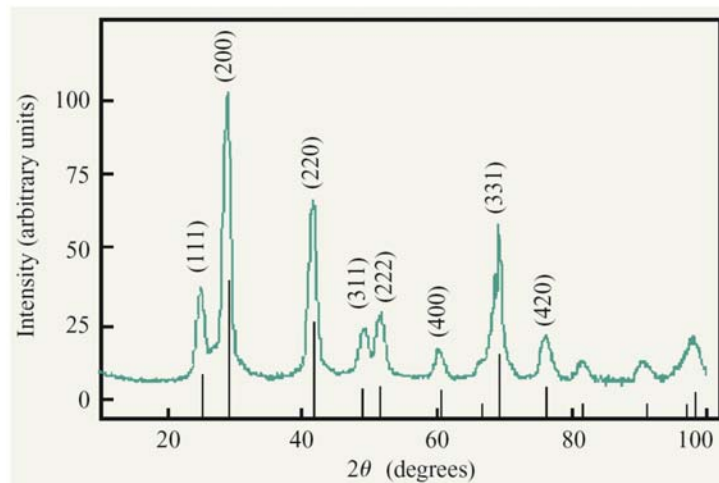
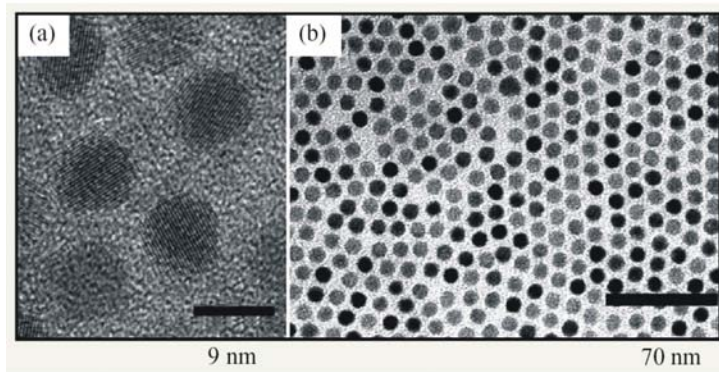
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M. Bruchez Jr. et al., *Science* **281**, 2013 (1998).

Colloidal PbSe nanocrystals

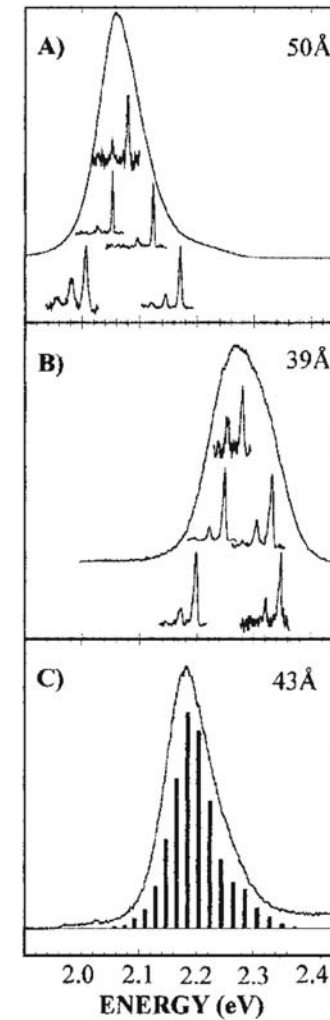
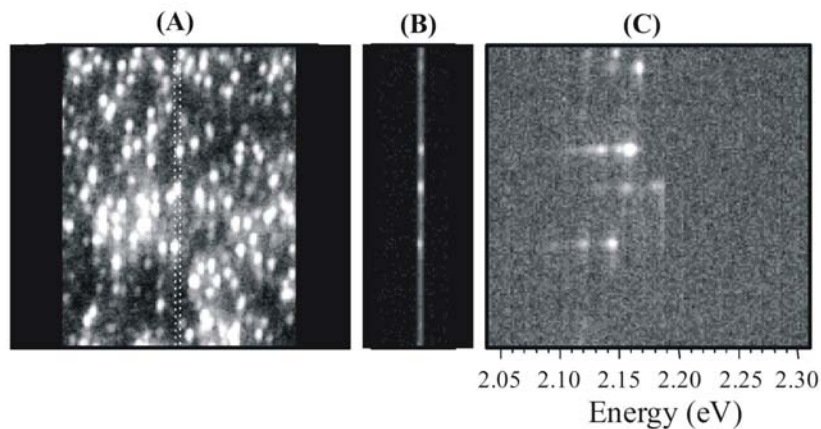
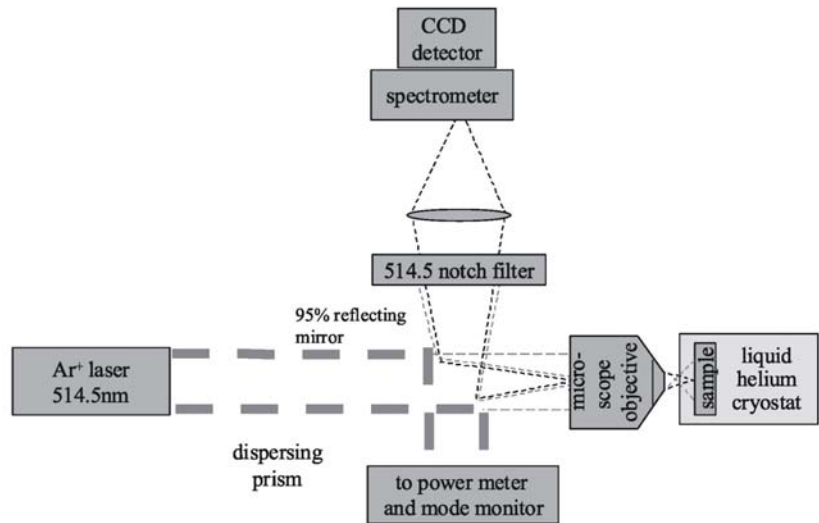
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C. B. Murray et al., *IBM J. Rev. Dev.* **45**, 47 (2001).

Single nanocrystal spectroscopy of CdSe

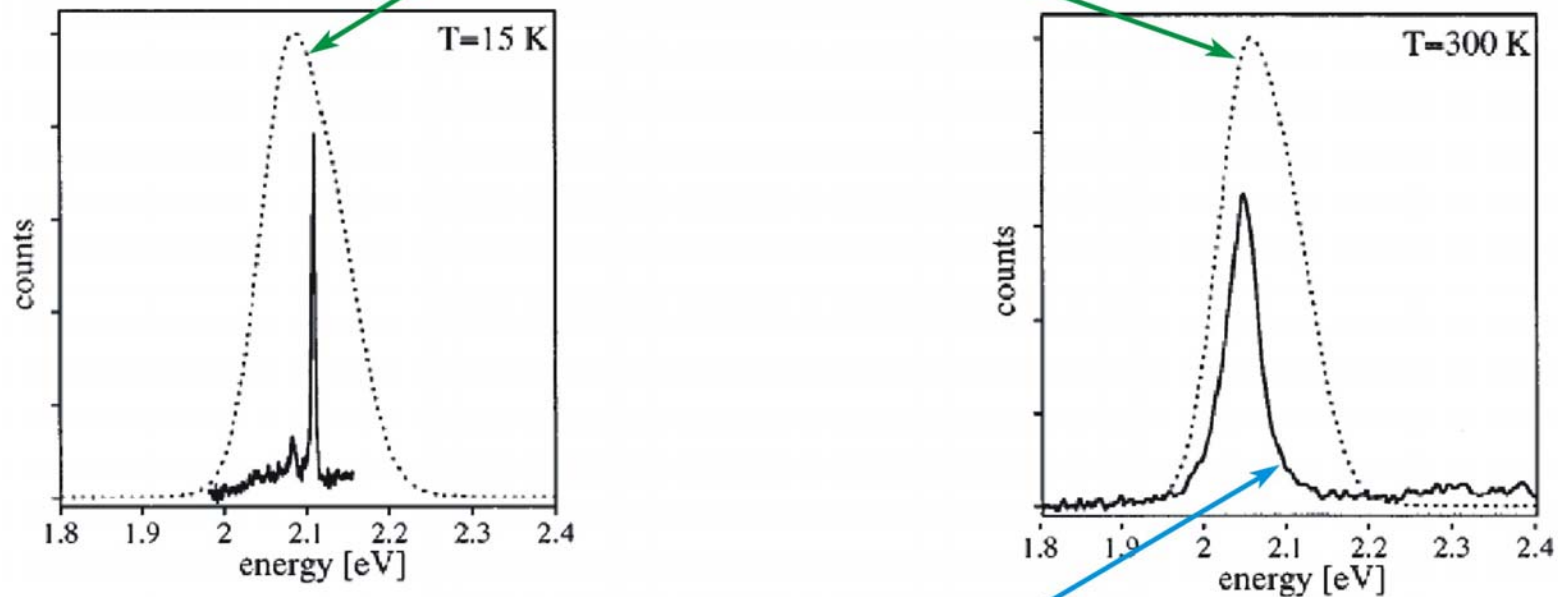
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Ensemble emission spectra of CdSe nanocrystals

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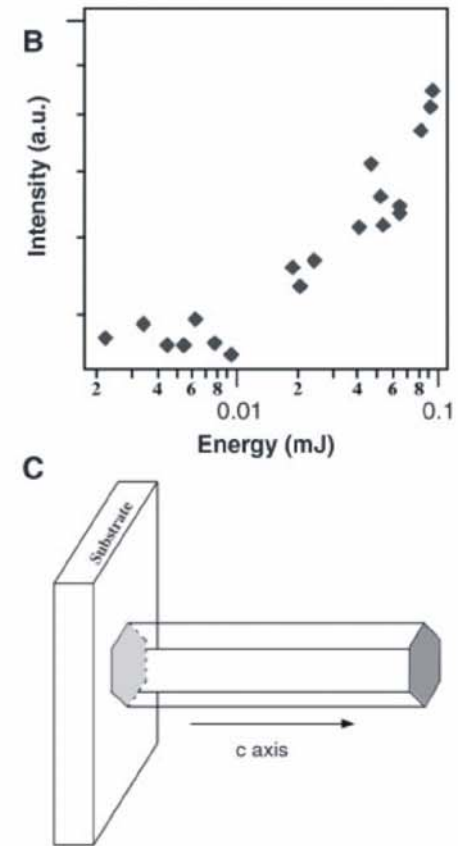
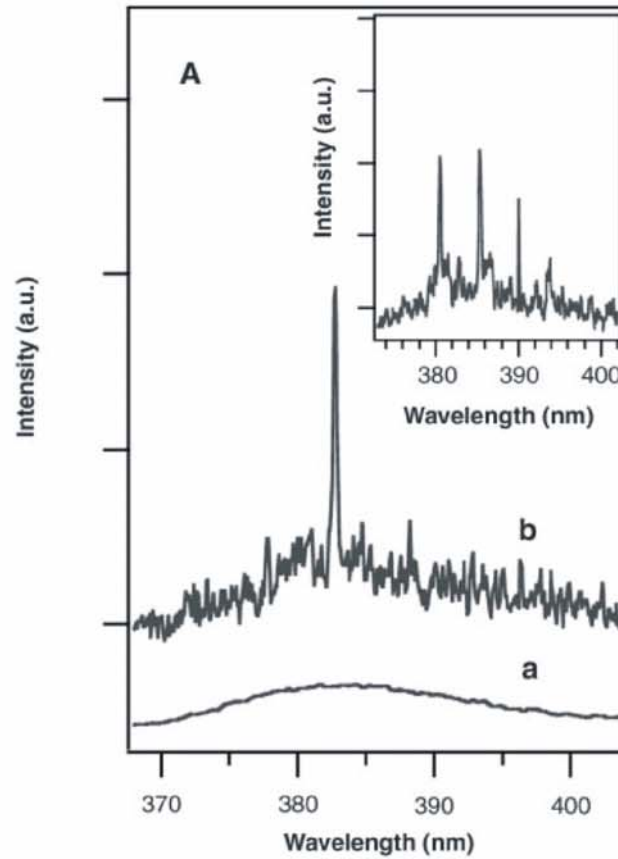
Inhomogeneous broadening



Homogeneous broadening

ZnO nanolasers

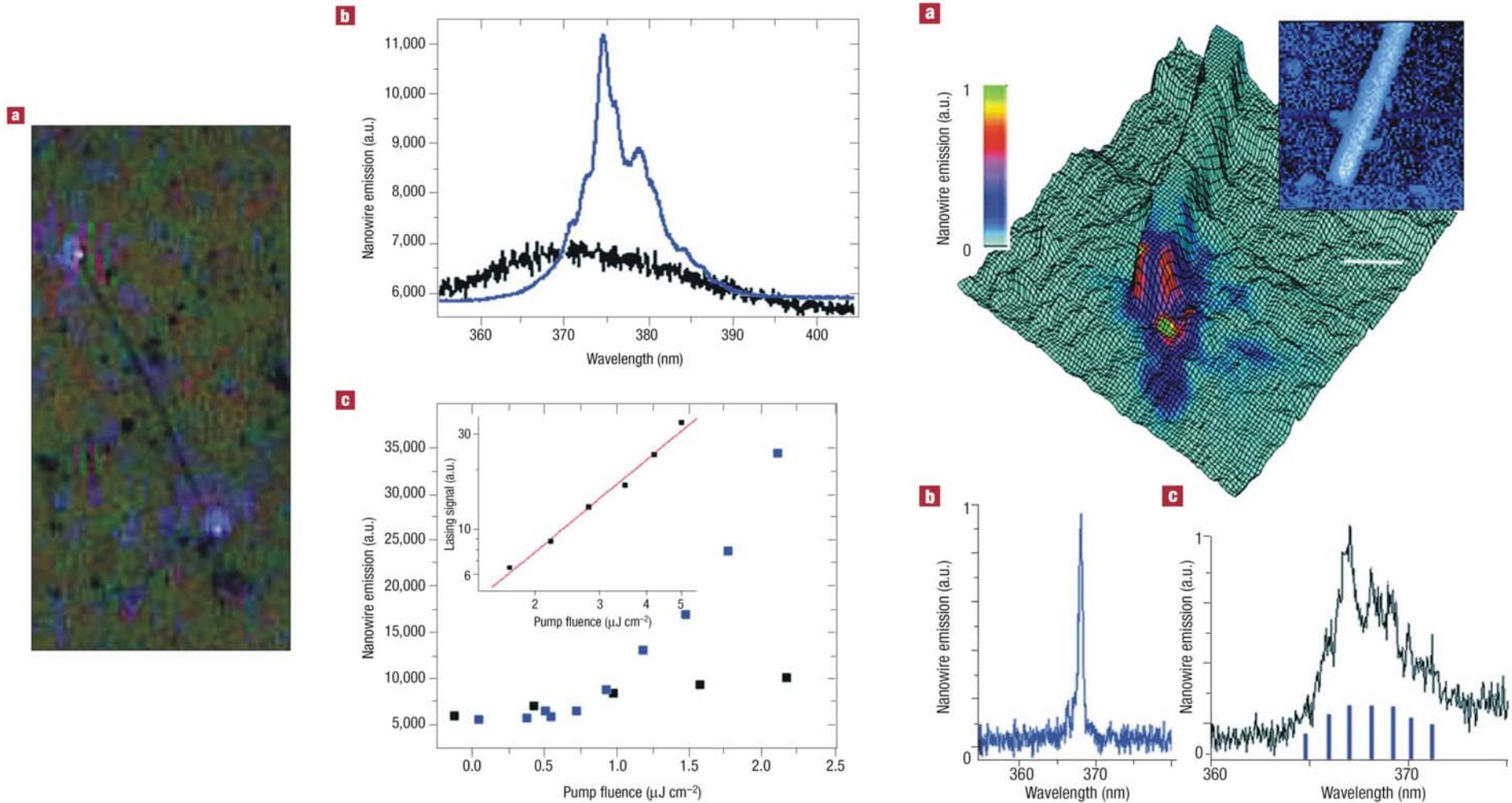
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M. H. Huang et al., *Science* **292**, 1897 (2001).

Single GaN nanowire lasers

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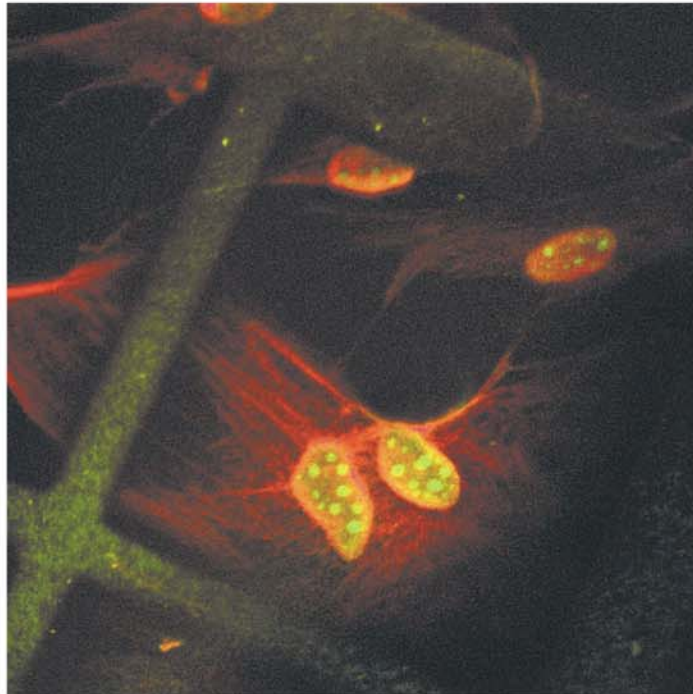


J. C. Johnson et al., *Nature Materials* **1**, 106 (2002).

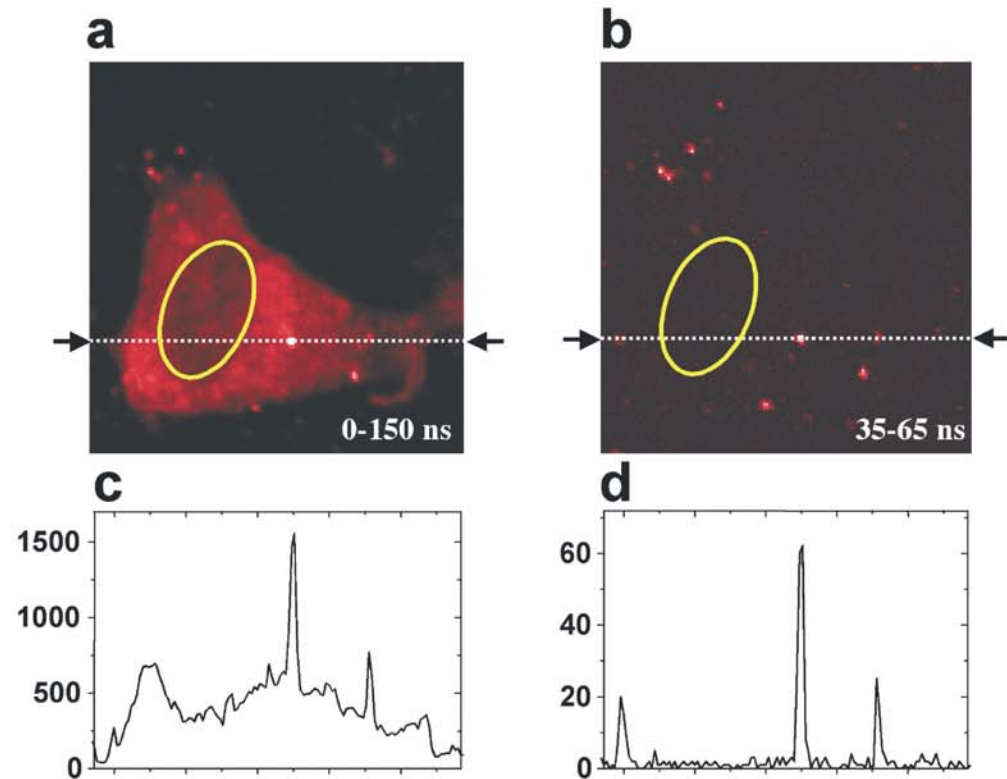
Applications of nanocrystals in bio-imaging

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Bio-labeling with nanocrystals

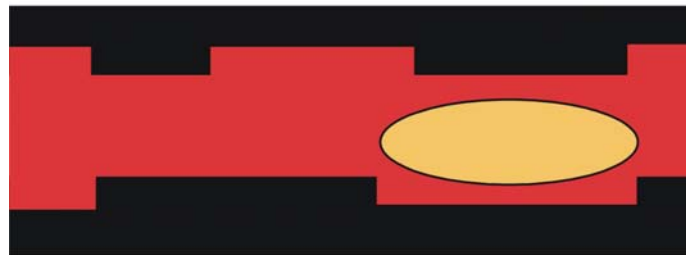


Time-gated imaging



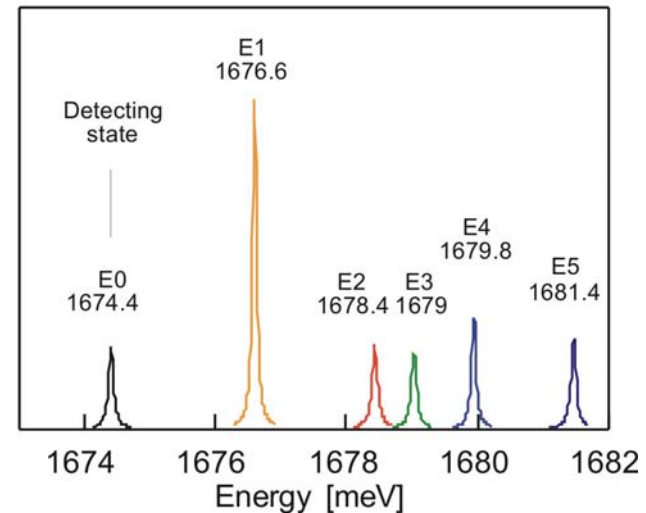
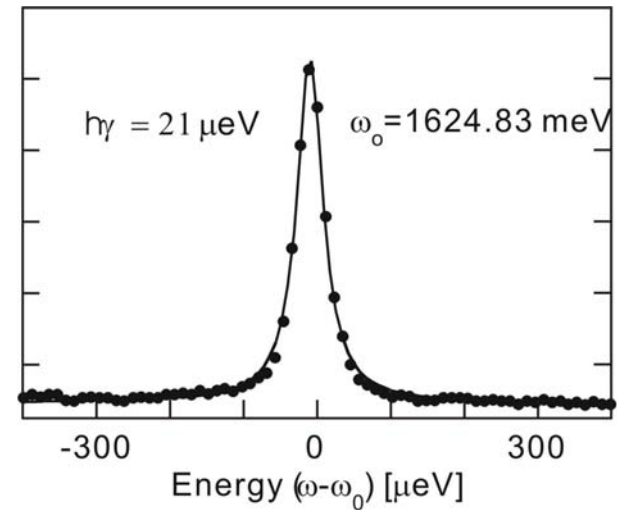
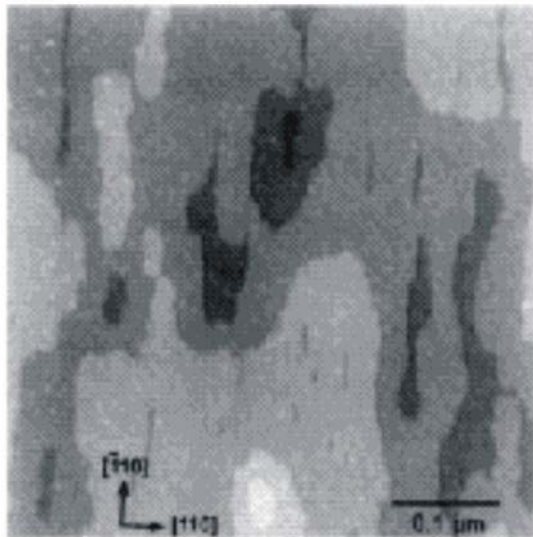
PL spectroscopy of single GaAs QD's

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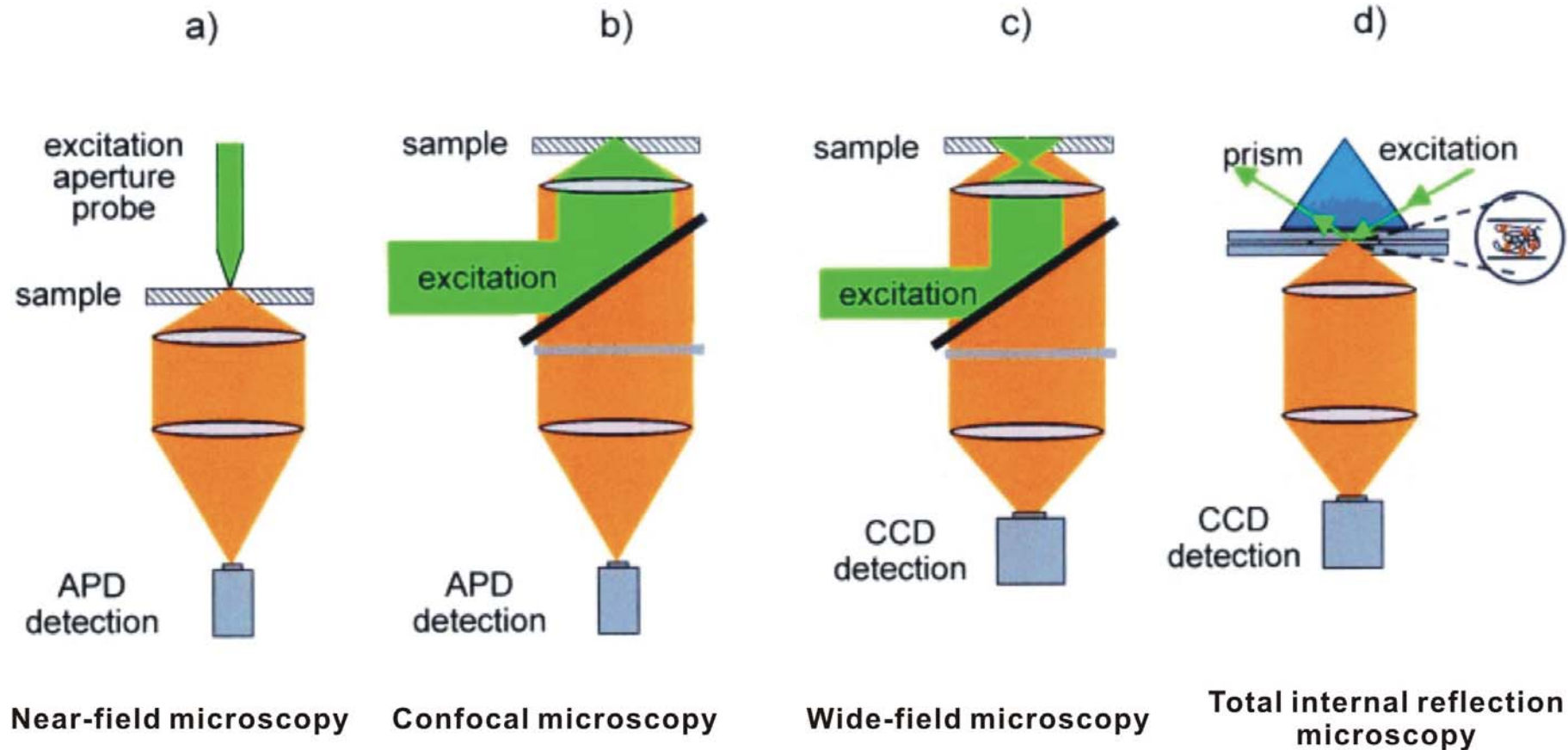
$D \sim 40 \text{ nm}$

STM image



Microscopic techniques used in SMS

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Why single-molecule spectroscopy?

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- Measurements on single molecules in a sample differ because their environments are different, or in the case large and complex molecules such as proteins, because they present a number of different conformations.
- Single-molecule studies show dynamical fluctuations (time-resolved changes in molecular properties), particularly when dealing with kinetic experiments of complex systems, like proteins. By looking at a single molecule, we select only one state or one formation at a given time.
- A single molecule can serve as a nano-probe to its local environment and local external perturbations (such as pressure, electric and magnetic fields).

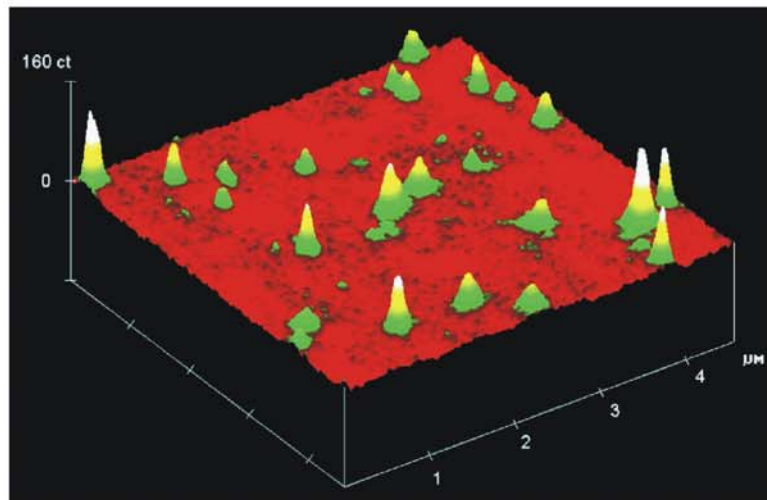
Applications of SMS

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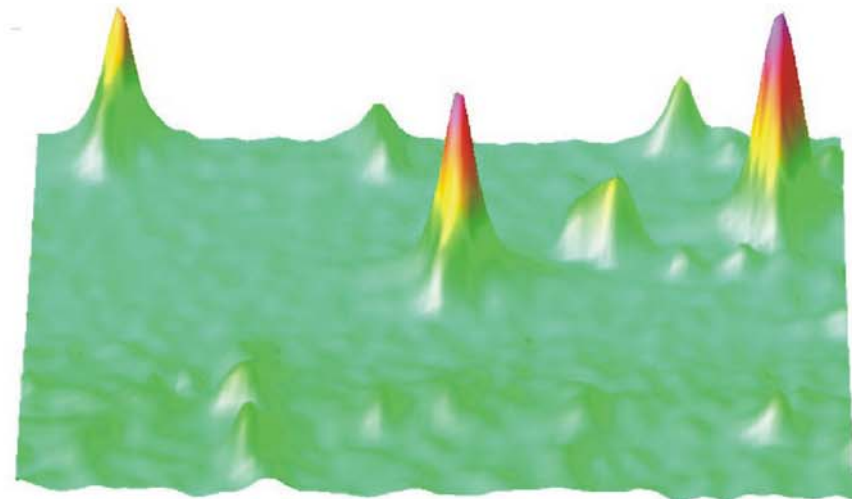
- **Local nonuniform distribution**
- **Local dynamics**
- **Photophysics and photochemistry**
- **Diffusional motions**
- **Conformational motions of macromolecules**
- **Chemical activities**

Single molecule imaging

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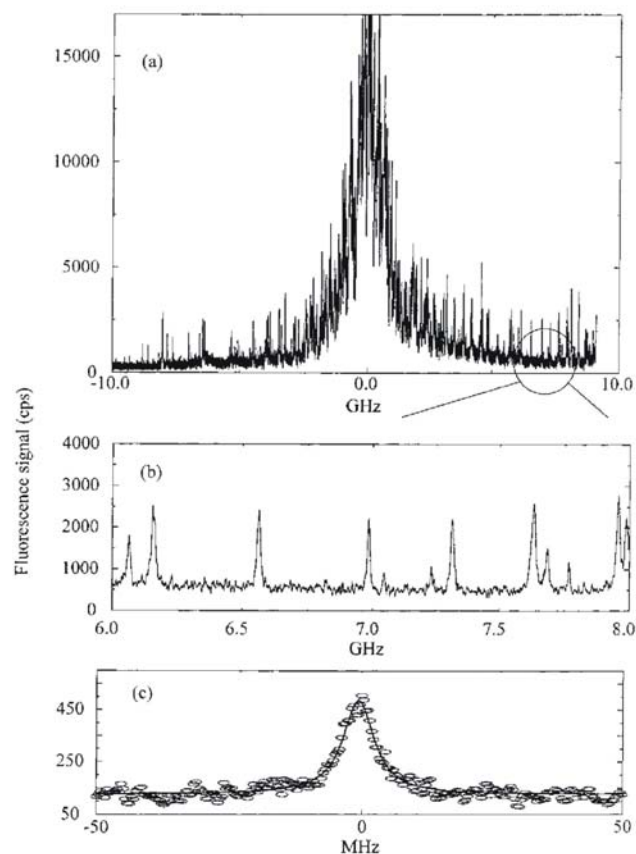
Oxanine 720 dispersed on a PMMA film



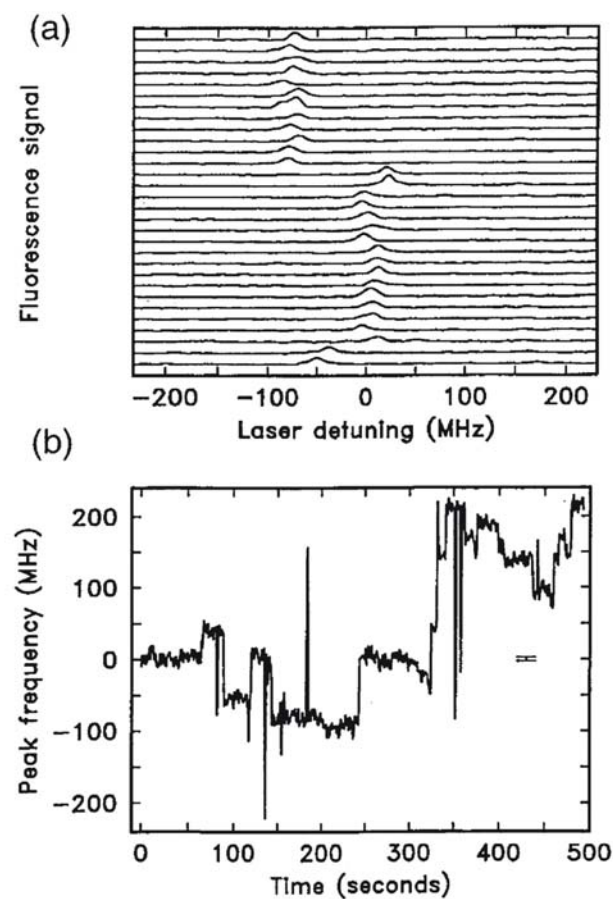
Hybrid space-frequency image of pentacene in a p-terphenyl crystal

Single molecule spectroscopy

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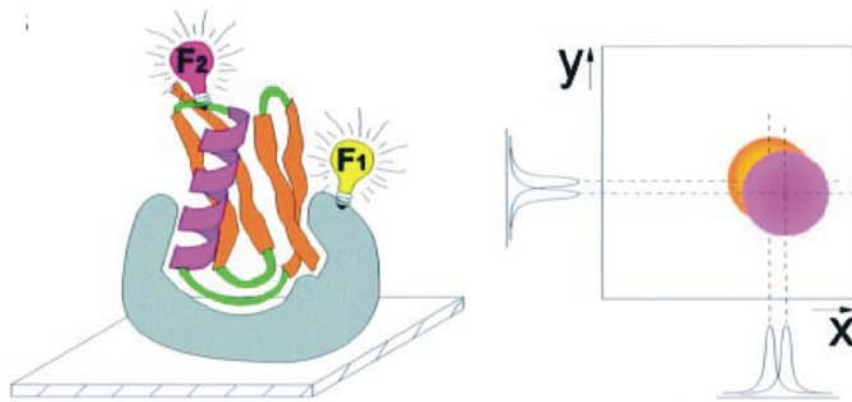
Spectral dilution



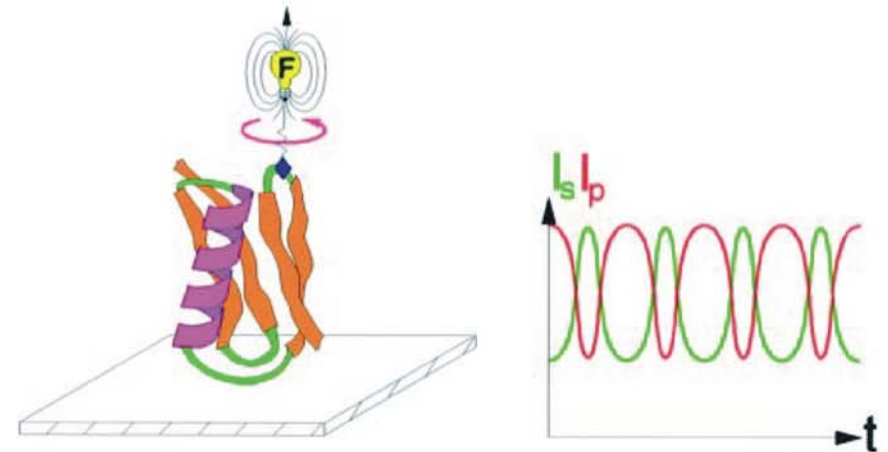
Spectral diffusion

Single-biomolecule study schemes

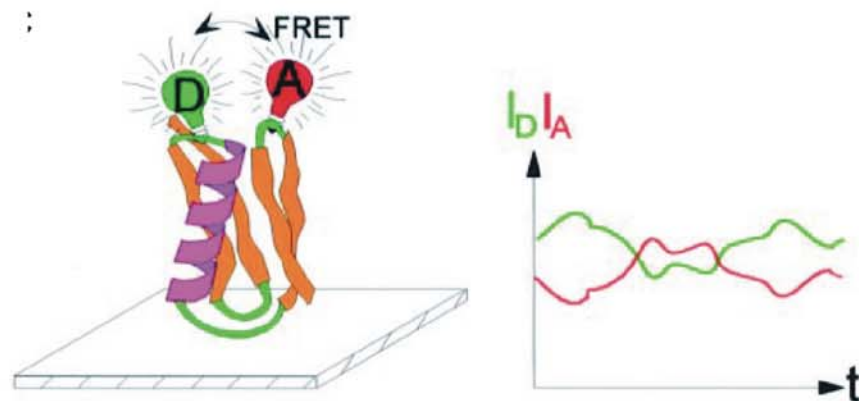
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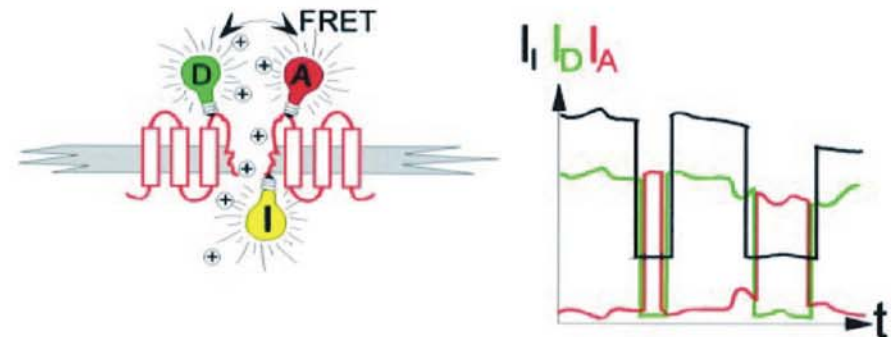
Colocalization of two macromolecules



Orientational motion by polarization detection



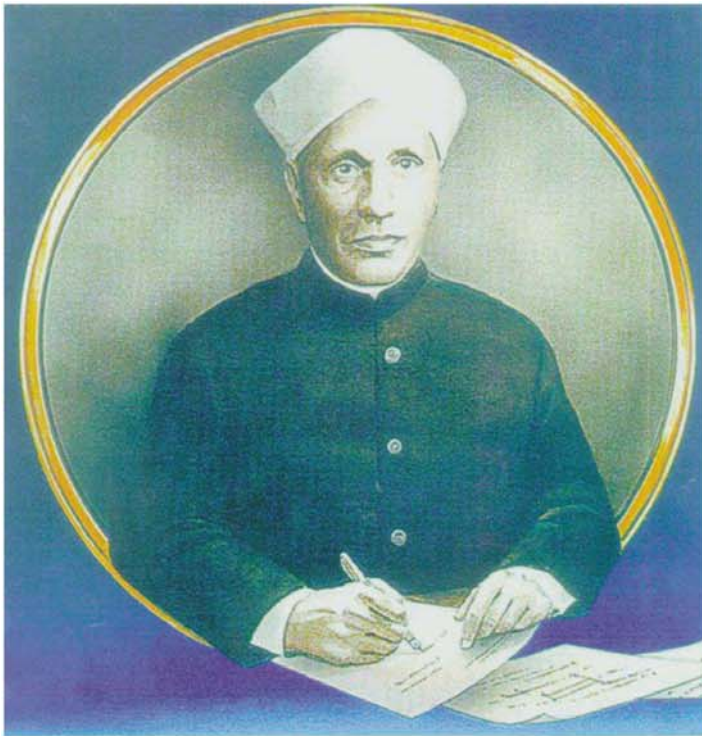
Conformation change by FRET



Conformation change and ion channeling

C. V. Raman and his instrument

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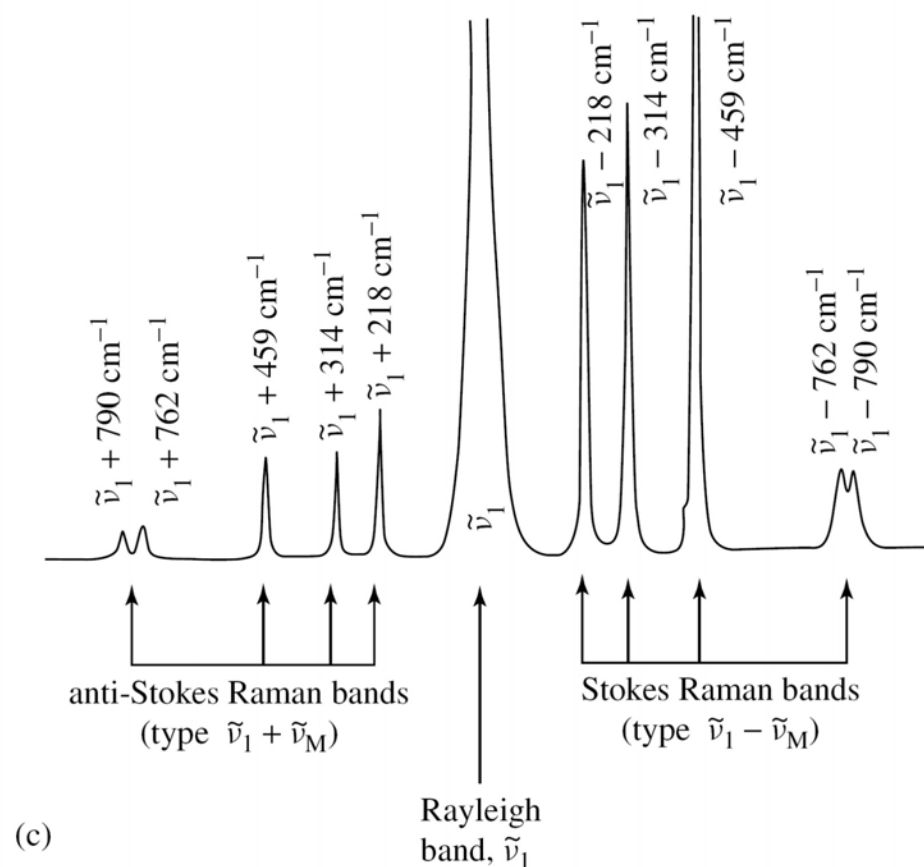
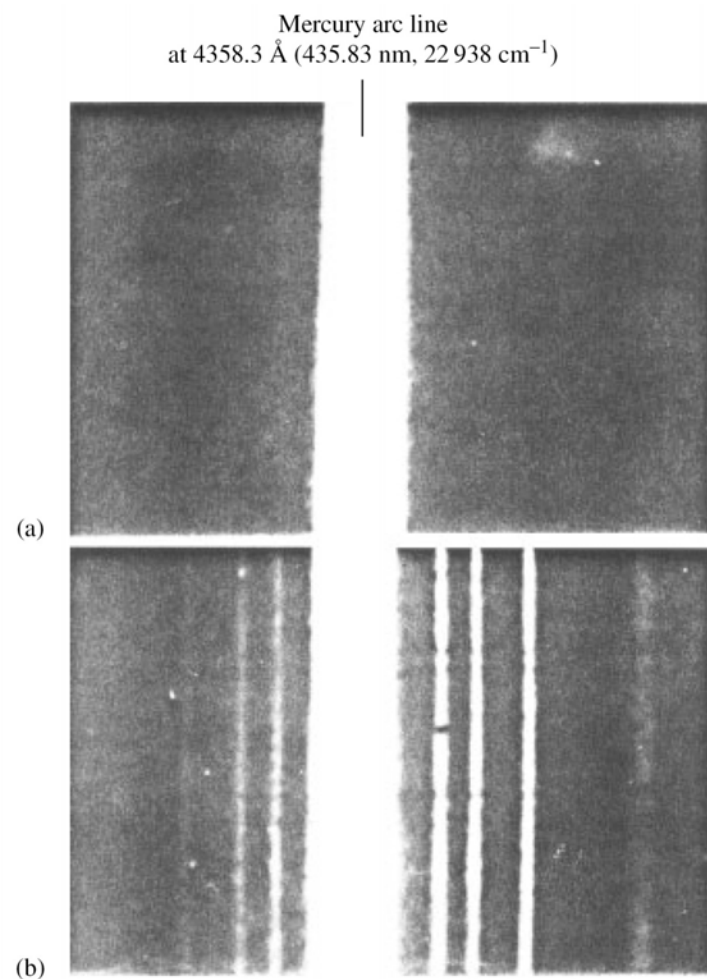
Sir C. V. Raman



Raman's spectrograph

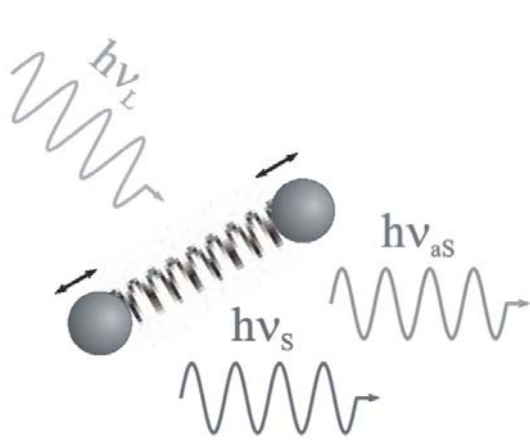
Raman spectrum of CCl_4 by C. V. Raman

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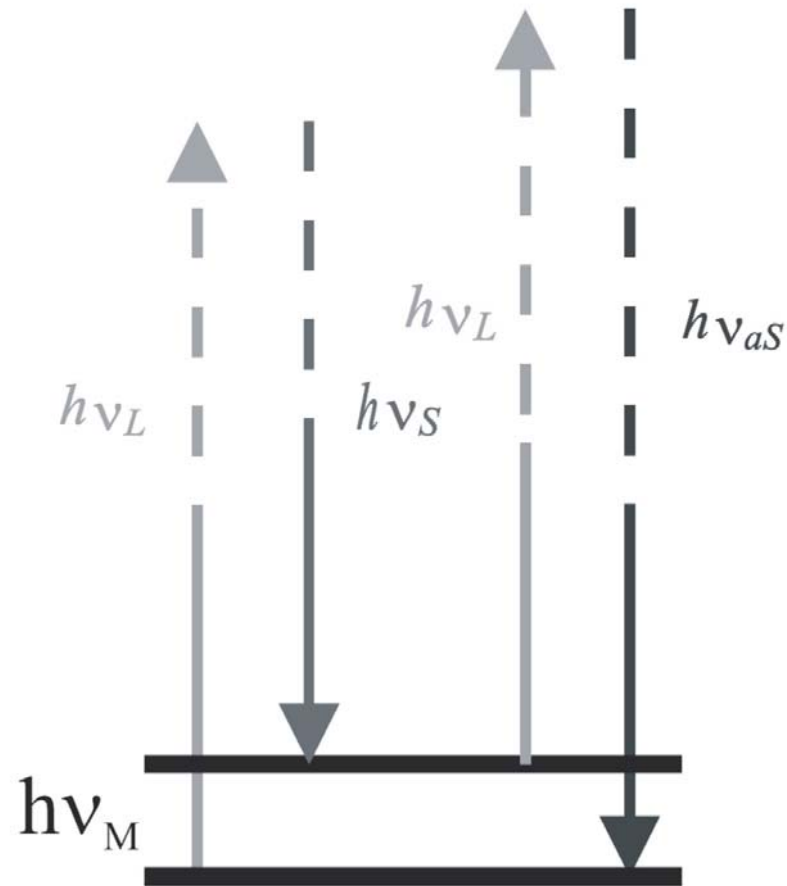
Raman scattering

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$$\nu_{aS} = \nu_L + \nu_M$$

$$\nu_S = \nu_L - \nu_M$$



Comparison of IR and Raman spectroscopy

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	Near-IR	Mid-IR	Raman
Spectral range (cm ⁻¹)	13,300–3300	4000–400	4000–50
Analysis of:			
Gases	No	Yes	Yes
Liquids	Yes	Yes	Yes
Solids	Yes	Yes	Yes
Aqueous systems	Difficult	Very difficult	Yes
Macroscopic samples	Yes	Yes	Yes
Microscopic samples	No	Yes	Yes
Signal	Strong	Strong	Weak
Sampling	Easy	Difficult	Easy
Through glass windows	Yes	No	Yes
In situ	No	No	Yes
Quantitative	Yes	Difficult	Yes
Noninvasive	Yes	No	Yes
Fiber optic interfacing	Yes	No	Yes
Information content	Low. Limited to O–H, N–H, and C–H vibrations	High	High
Reaction monitoring and modeling	Requires chemometrics	Yes	Yes

Commercial Raman instruments

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LabRam HR, Jobin Yvon



T64000, Jobin Yvon



CPM 2000, WITec



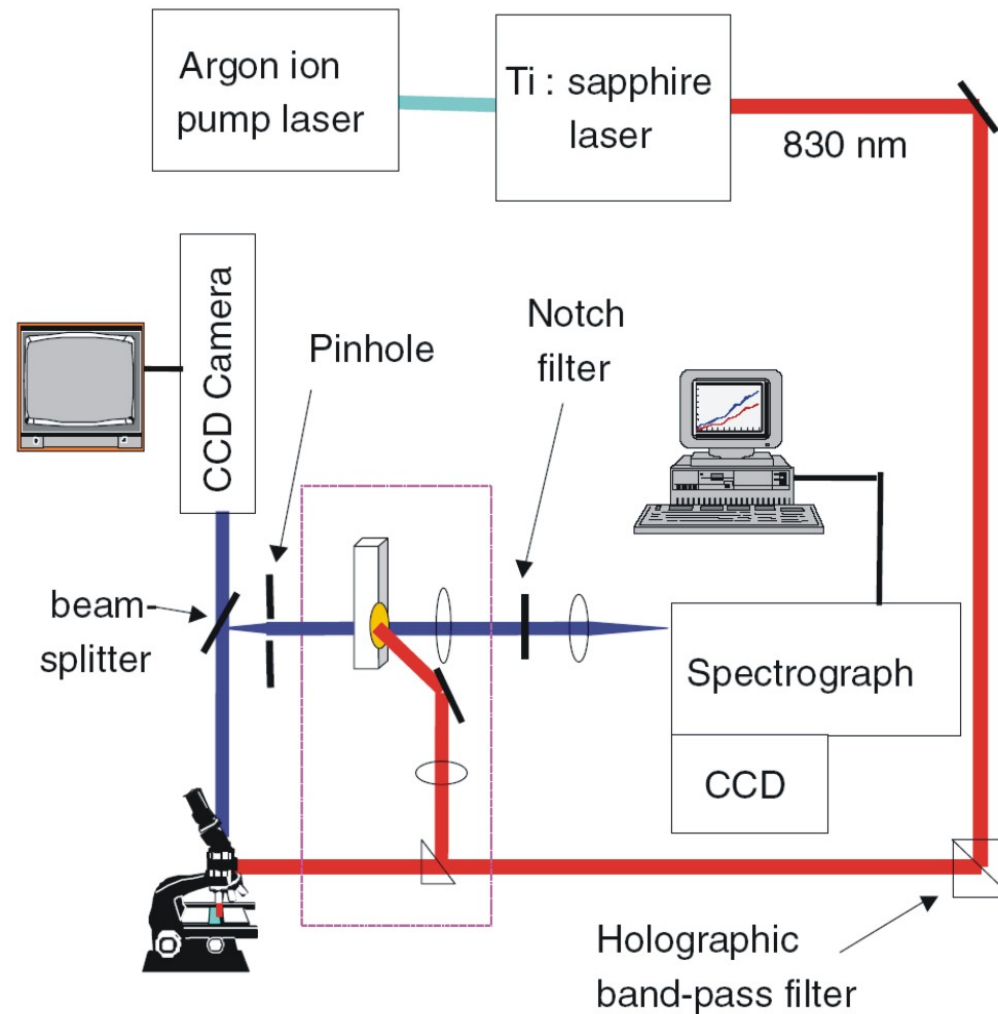
InVia Raman microscope, Renishaw



Raman Rnx 1, Kaiser Optical Systems

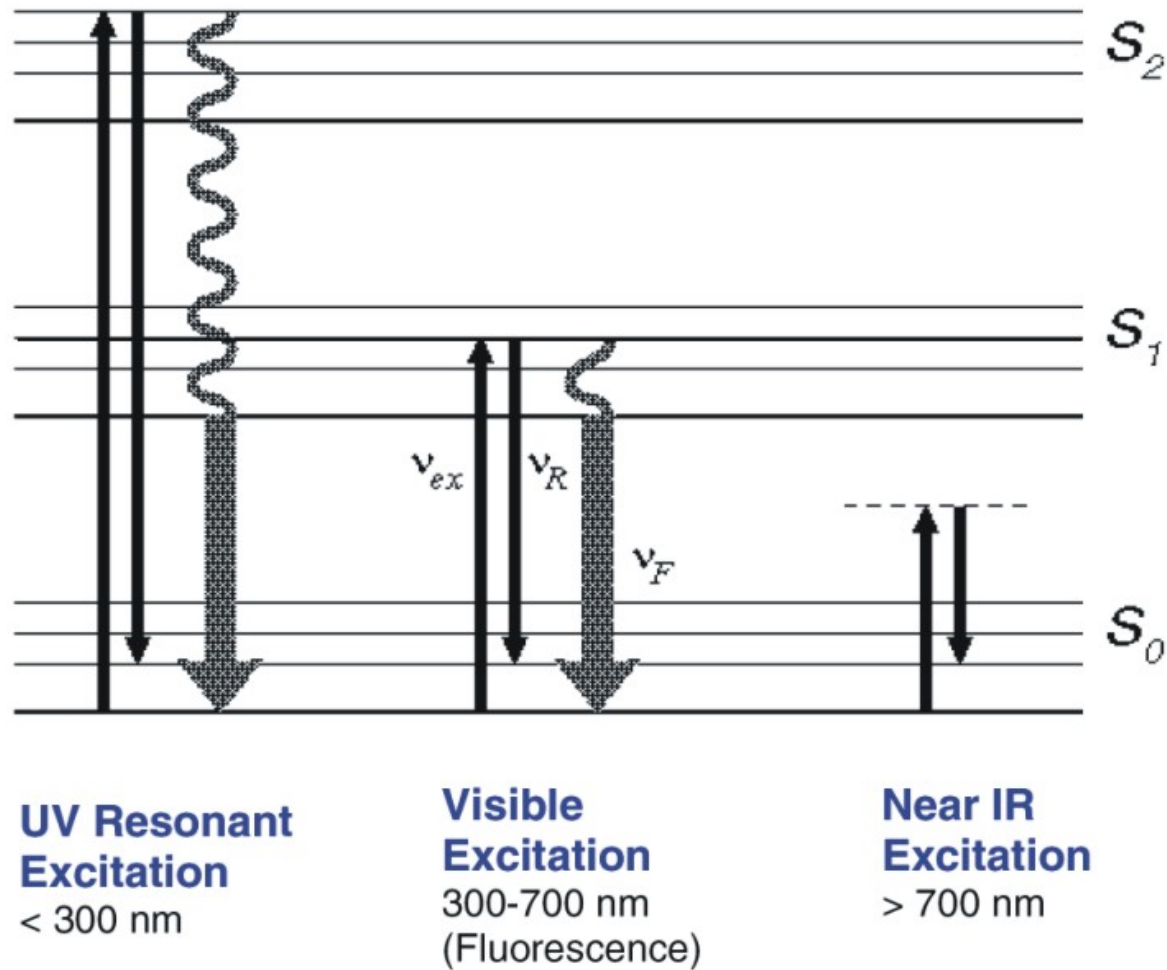
Raman setup

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Excitation wavelength considerations-I

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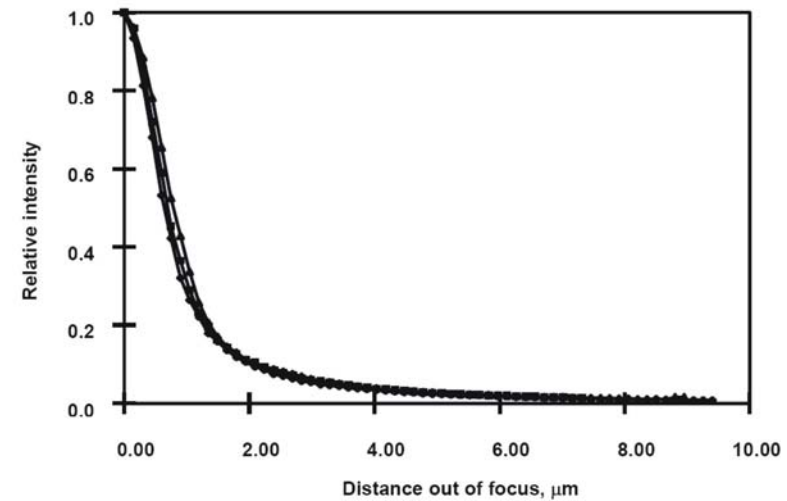
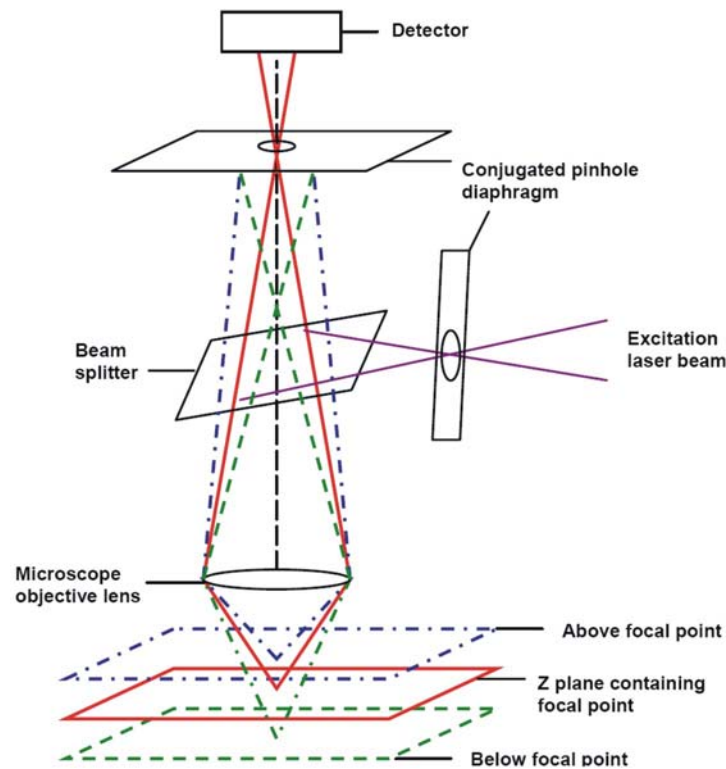
Excitation wavelength considerations-II

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	$\lambda_{\text{exc}} > 800 \text{ nm}$ (NIR excitation)	$400 \text{ nm} < \lambda_{\text{exc}} < 800 \text{ nm}$ (visible excitation)	$\lambda_{\text{exc}} < 400 \text{ nm}$ (UV excitation)
Raman cross section	Small	Medium	Large
Probing depth	Long	Medium	Small
Resonant Raman	No	Yes	Yes
Fluorescence background	No	Some	Significant
Spatial resolution	Low	Medium	High
Detection sensitivity	Low	Medium	High

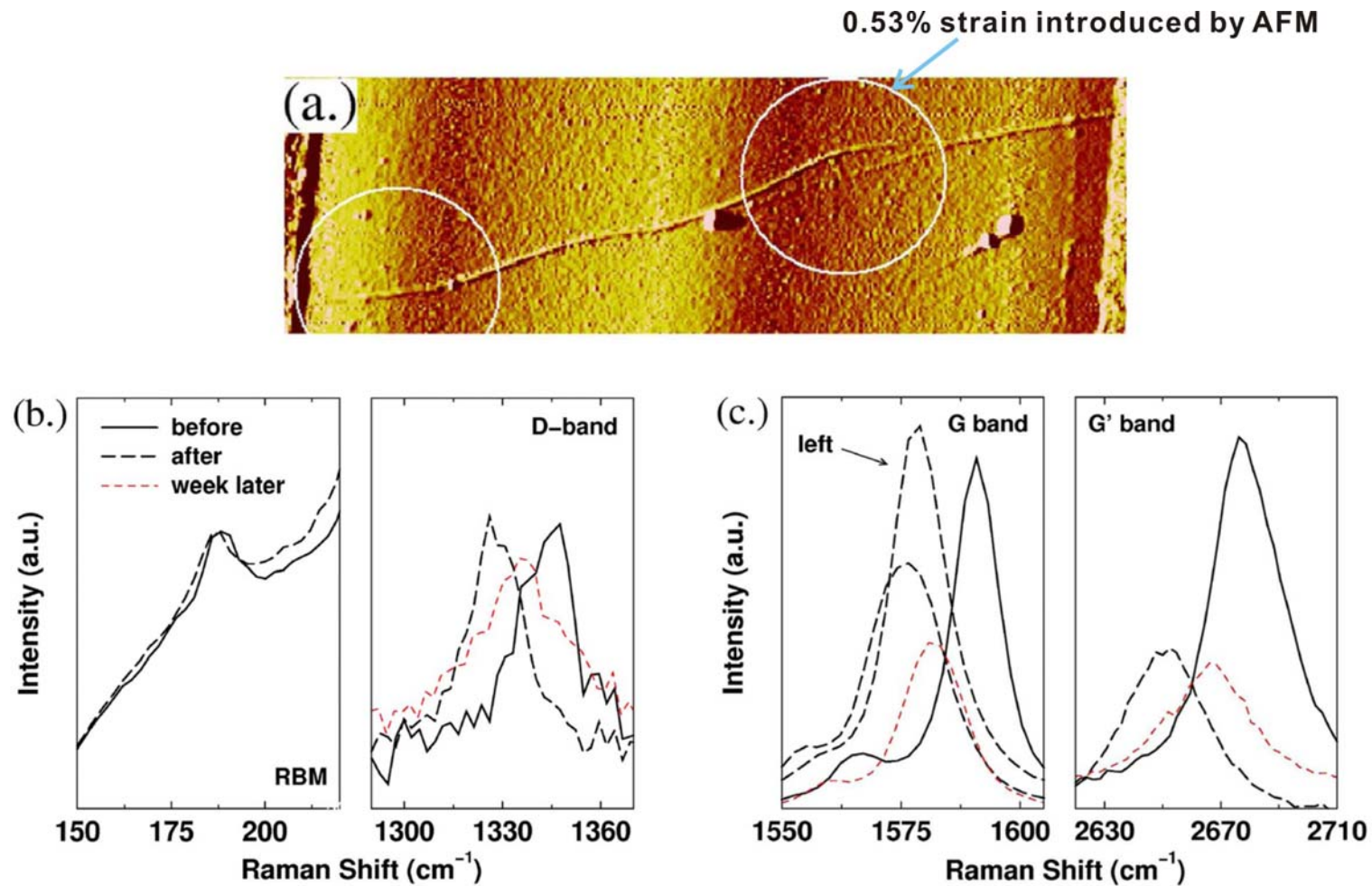
Confocal Raman microscopy

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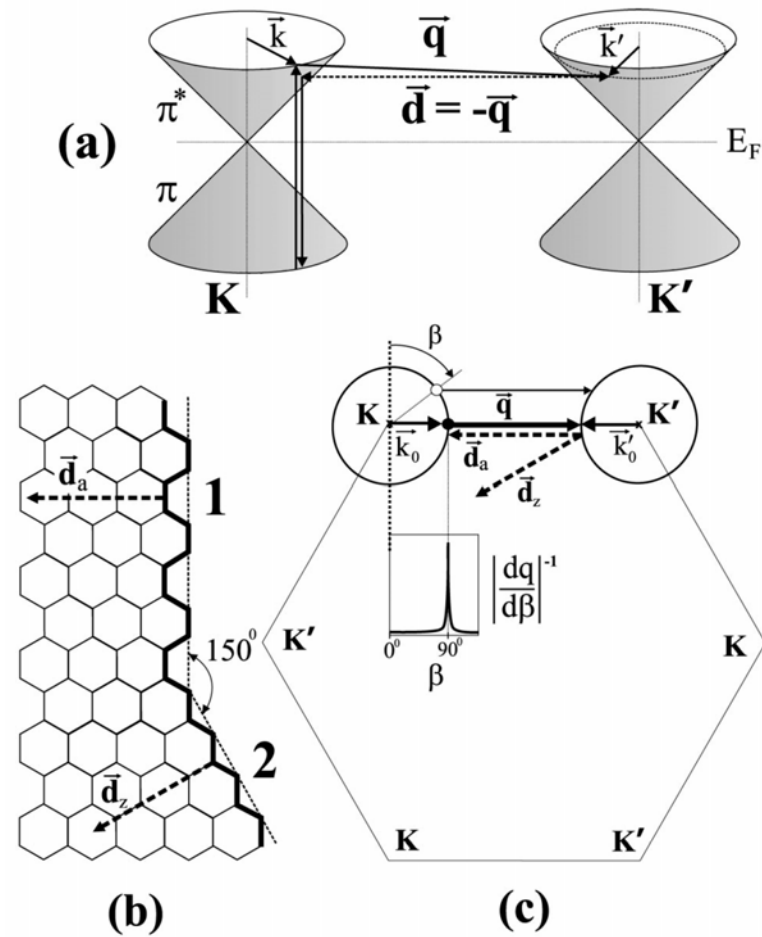
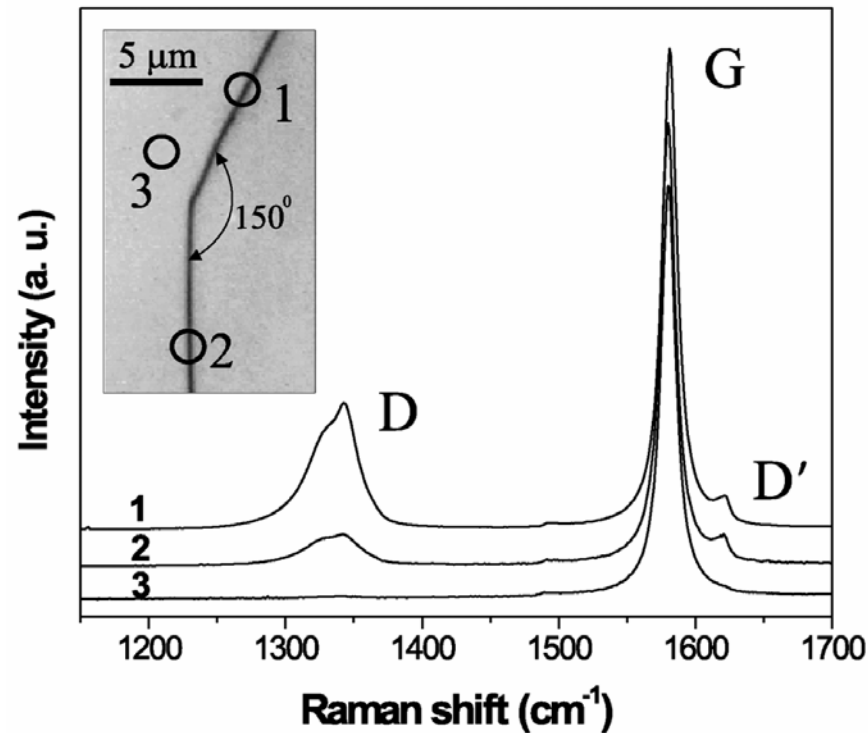
Micro Raman of CNT under local strain

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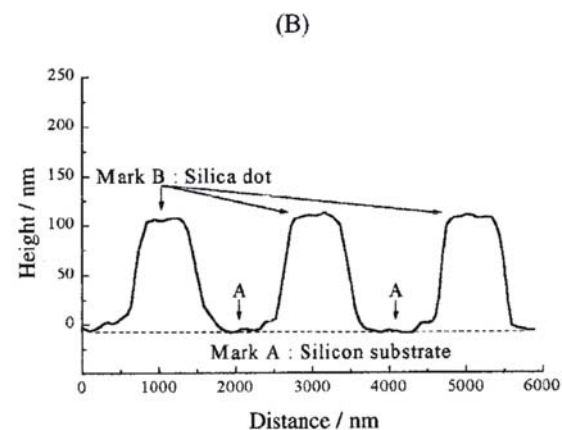
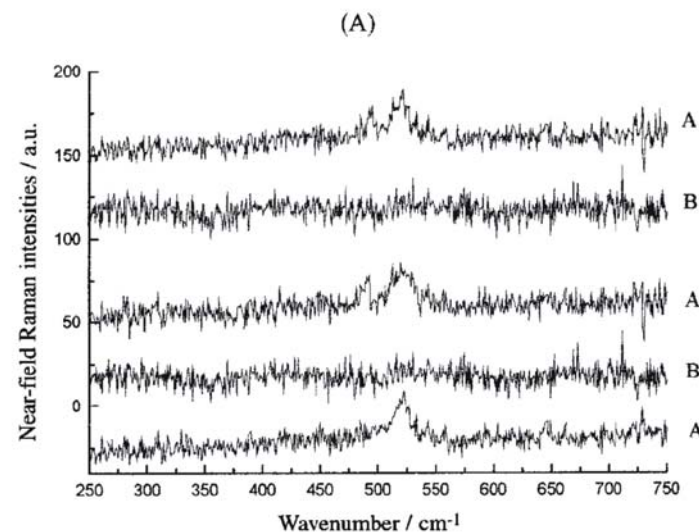
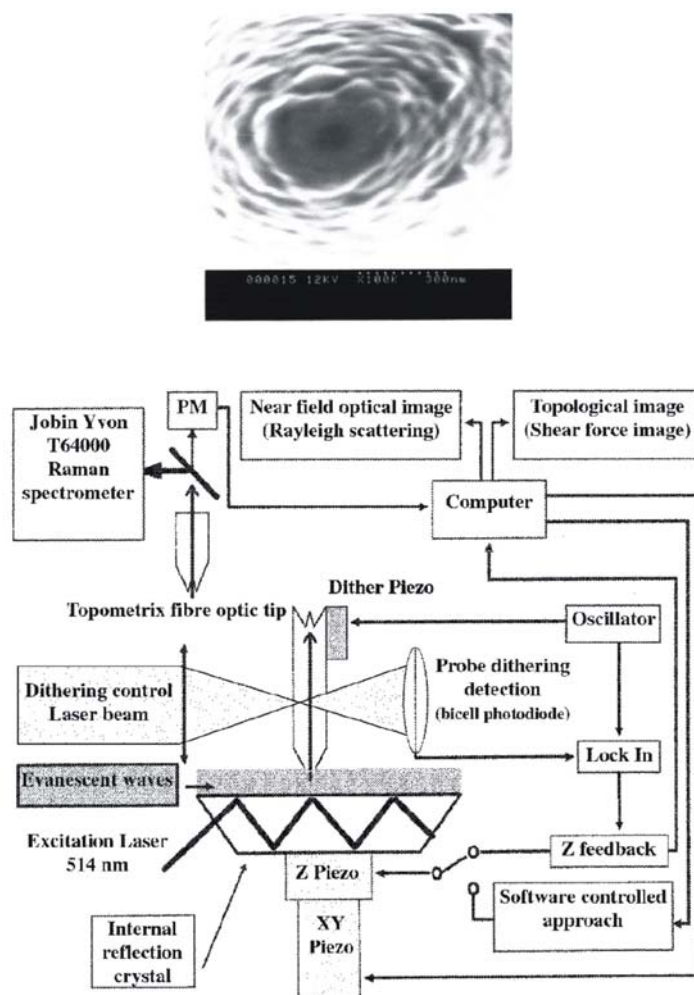
Micro Raman studies of graphite edges

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Near-field Raman spectroscopy

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Lycurgus Cup in Roman times

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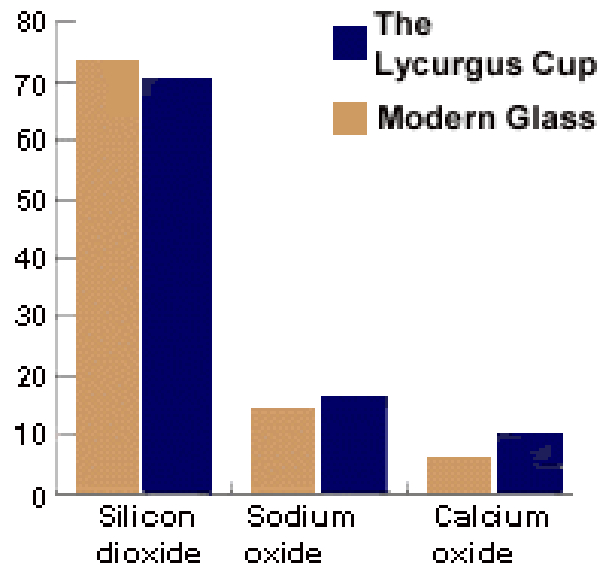


The glass appears **green** in daylight (reflected light), but **red** when the light is transmitted from the inside of the vessel.

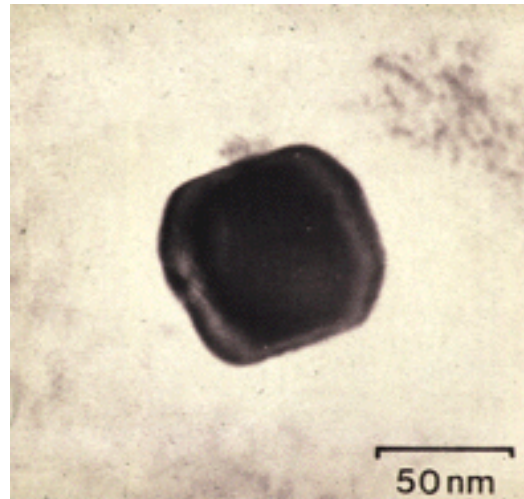
*The Lycurgus Cup, Roman (4th century AD), British Museum
F. E. Wagner et al., Nature 407, 691 (2000).*

Mysterious red color in Lycurgus Cup

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The same composition
as modern glass



**X-ray analysis:
70% Ag + 30% Au**

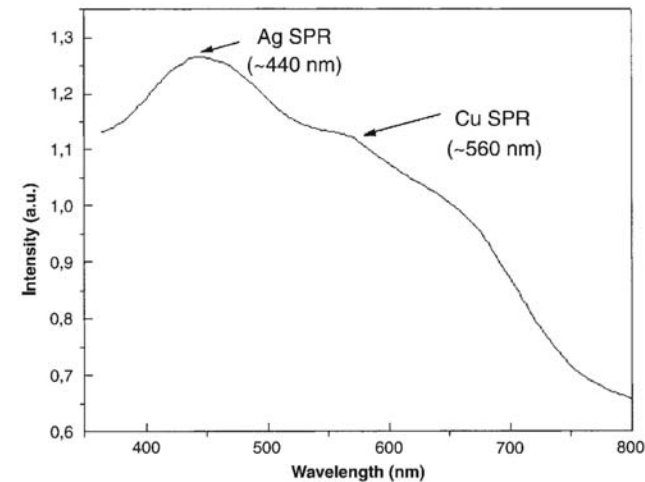
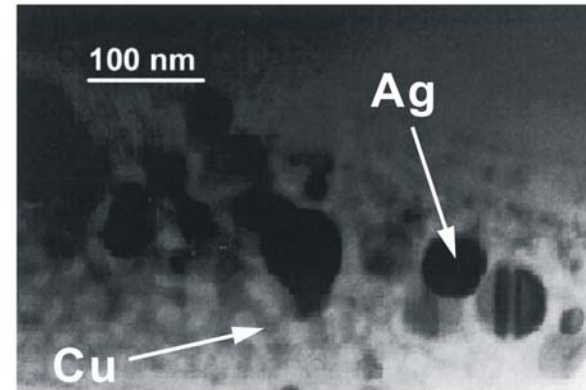
These Ag-Au nanoparticles (~300 ppm) scatter the light, rather in the same way that fine particles in the atmosphere cause a 'red sky at night' effect. They cause the color effects shown by the Cup.

Ag and Cu nanoparticles in gold Lustre

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Majolica dish
lusted by Mastro Giorgio Andreoli
(16th century AD)



Museo Civico-Palazzo dei Consoli, Gubbio (www.comune.gubbio.pg.it/musei/palconsoli2.htm)

G. Padeletti and P. Fermo, *Appl. Phys. A* **76**, 515 (2003).

S. Padovani et al., *J. Appl. Phys.* **93**, 10058 (2003).

Stained glasses

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Reynard the Fox

early 15-th century, *Holy Cross Church*



The Ascent of Elijah

c.1863, *Trinity Methodist Church*

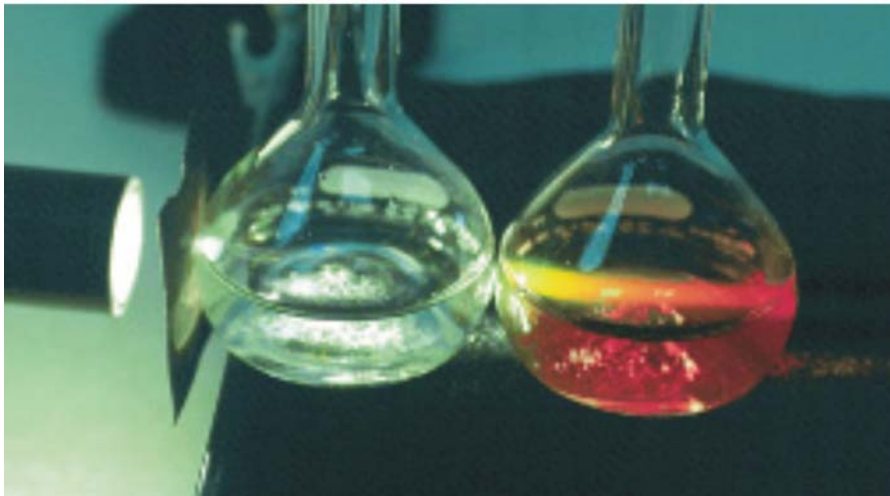


The Stained Glass Museum (www.stainedglassmuseum.org)

Faraday's works on Au nanoparticles

Dr. Juen-Kai Wang, CCMS & IAMS

Faraday-Tyndall Effect



**A solution of
gold chloride**

Gold colloids



Faraday's slides

**Prepared in 1856, in conjunction
with Faraday's research on finely-
divided gold**
(The Royal Institution of Great Britain)

*M. Faraday, Philos. Trans. R. Soc. London **147**, 145 (1857).*

R. D. Tweney, Department of Psychology, Bowling Green State University, USA (personal.bgsu.edu/_tweney)

Scattering by a metal sphere

Dr. Juen-Kai Wang, CCMS & IAMS

Induced dipole by the applied field

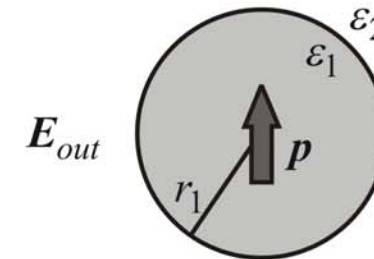
$$E_{out} = E_0 e_z + \frac{\epsilon_1 - \epsilon_2}{\epsilon_1 + 2\epsilon_2} \frac{r_1^3}{r^3} E_0 (2 \cos \theta e_r + \sin \theta e_\theta)$$

$$\Downarrow$$

$$p = \epsilon_2 \alpha E_0$$

Effective dipole inside the sphere

$$\alpha = 4\pi r_1^3 \frac{\epsilon_1 - \epsilon_2}{\epsilon_1 + 2\epsilon_2}$$



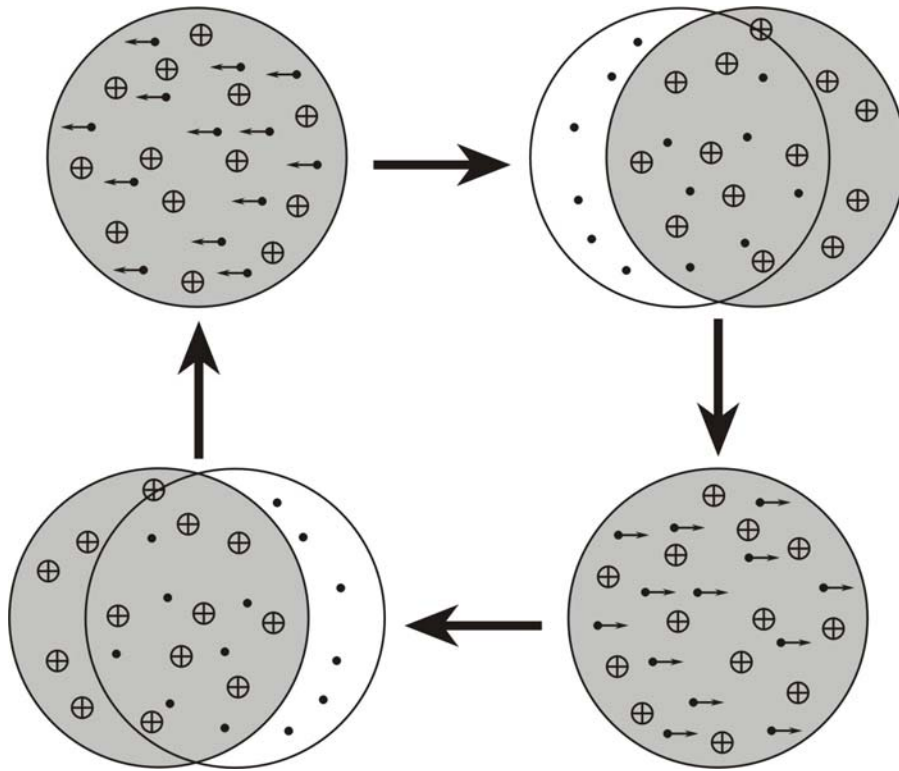
Near-field radiation power from $p(t)$ (near-field scattering cross section)

$$C_{sca}(r) = \int_0^{2\pi} d\theta \int_0^\pi d\phi |E|^2 r^2 \sin \theta = \frac{\alpha^2}{6\pi} \left(\frac{3}{r^4} + \frac{k^2}{r^2} + k^4 \right) \quad E: \text{the near-field electric field by } p(t)$$

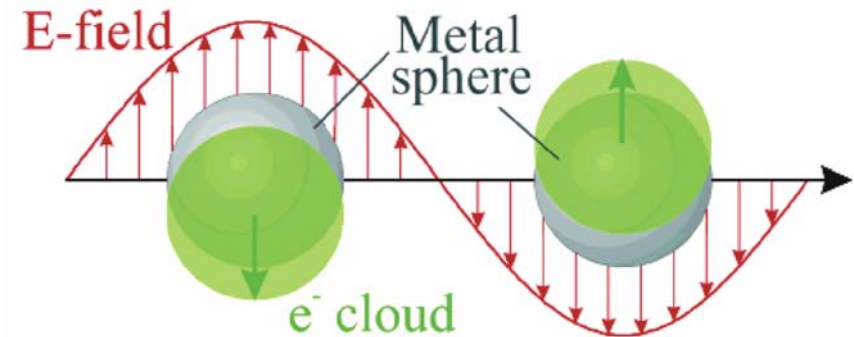
$$C_{sca}^{NF} = C_{sca}(r) = \frac{\alpha^2}{6\pi} \left(\frac{3}{r_1^4} + \frac{k^2}{r_1^2} + k^4 \right)$$

Electron collective motion in metal clusters

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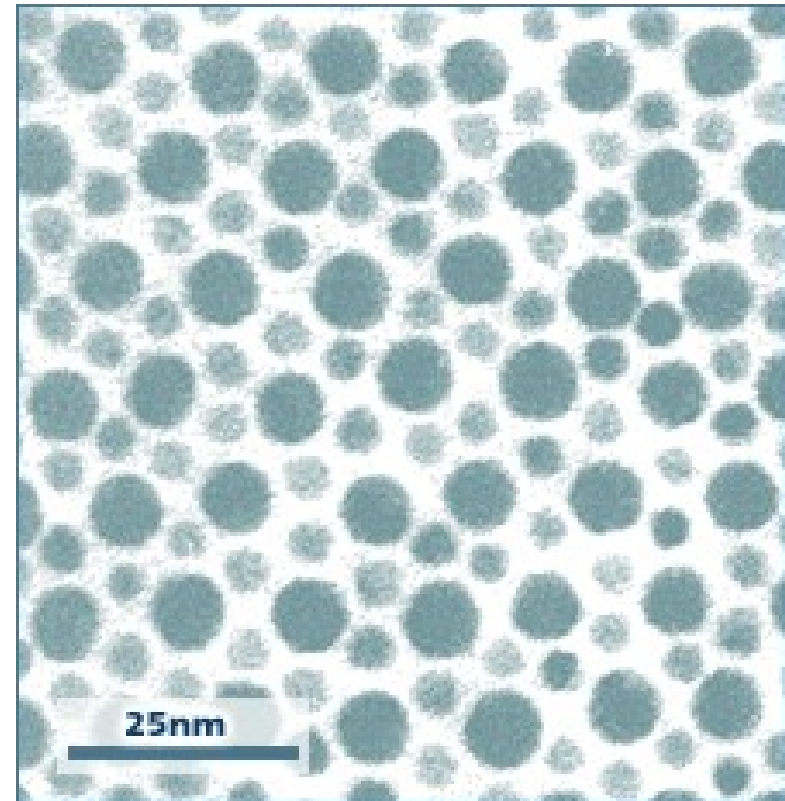
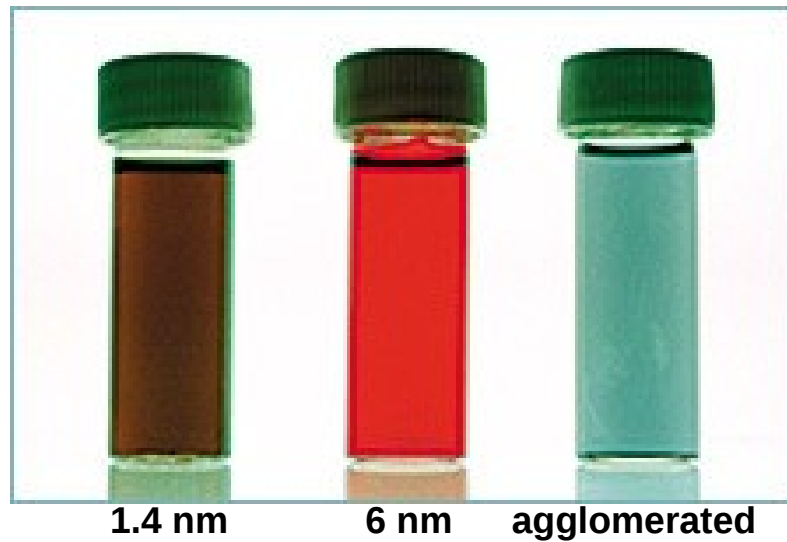
Coherent oscillatory motion



Resonant excitation

Au nanospheres

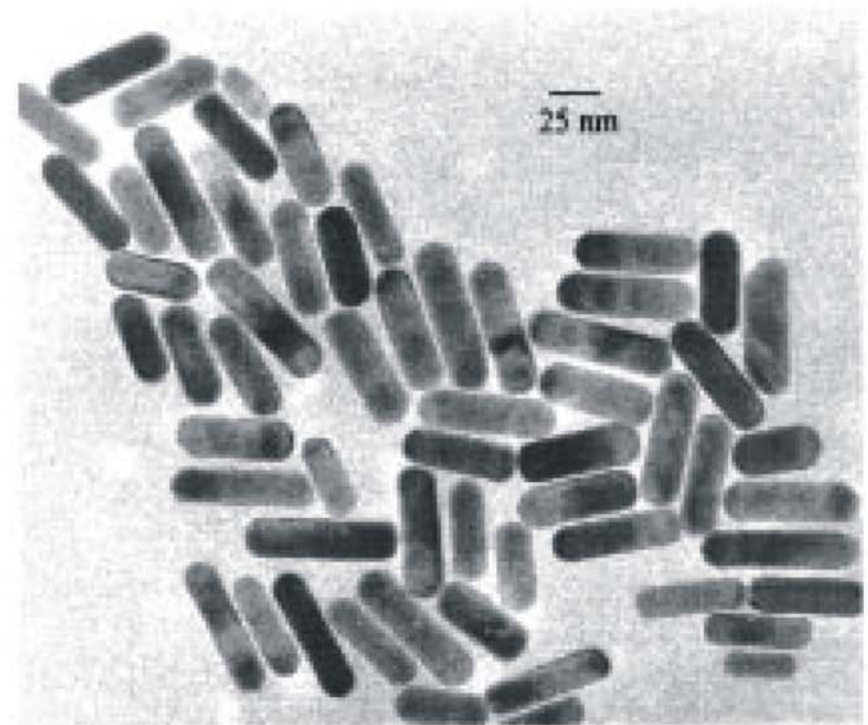
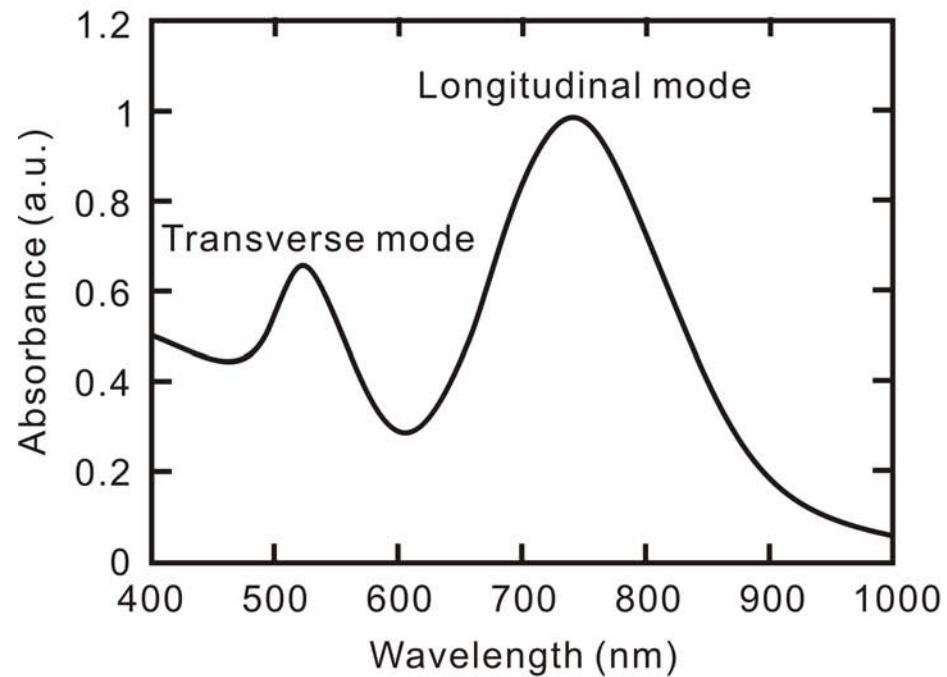
Dr. Juen-Kai Wang, CCMS & IAMS



C. J. Kiely, J. Fink, M. Brust, D. Bethell and D. J. Schiffrin, *Nature* **444**, 396 (1998)

Au nanorods

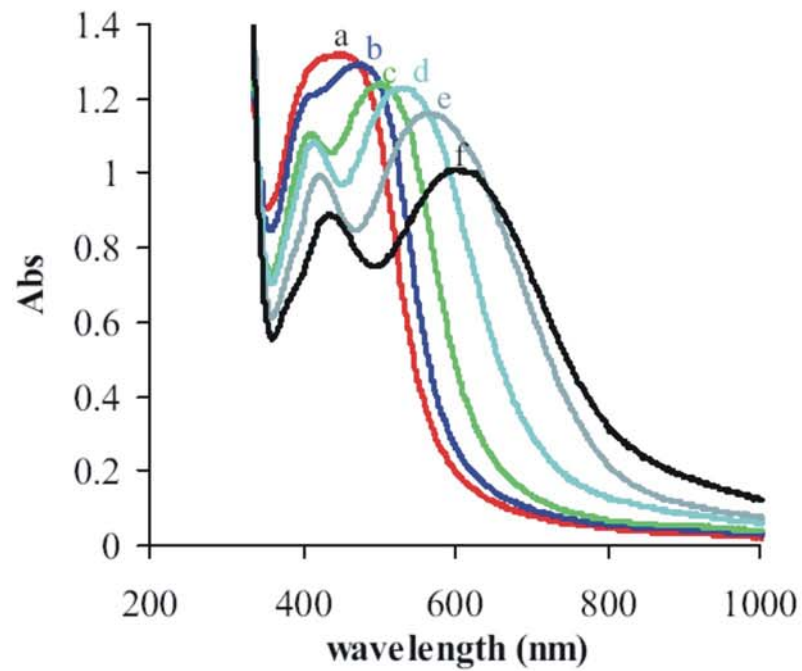
Dr. Juen-Kai Wang, CCMS & IAMS



S. Link, M. B. Mohamed and M. A. El-Sayed, *J. Phys. Chem. B* **103**, 3073 (1999).

Ag nanorods

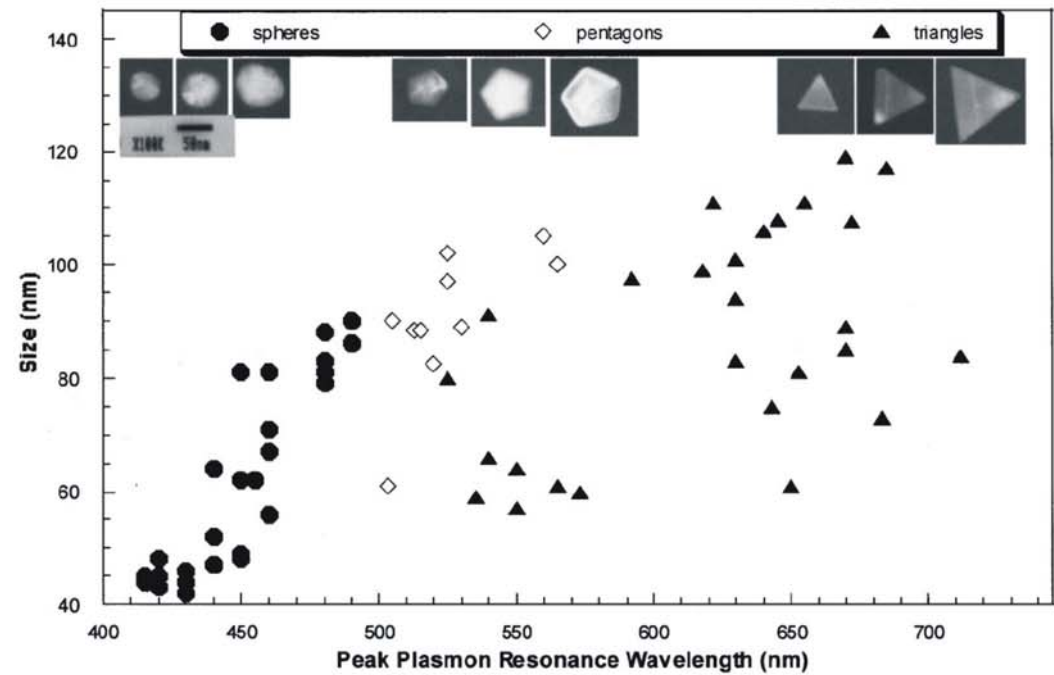
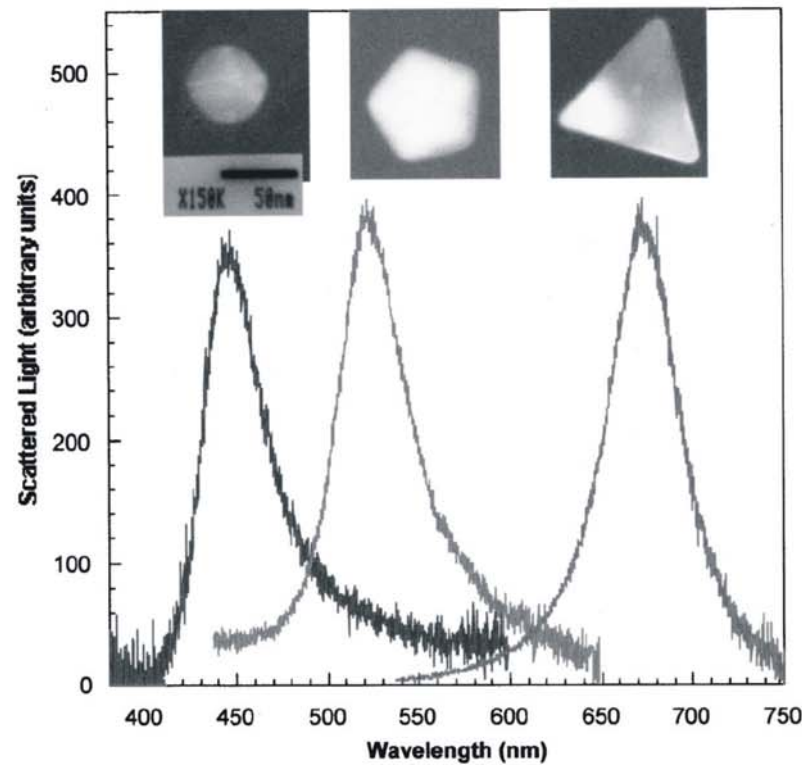
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increasing aspect ratio (1-10)

Shape-dependent plasmons

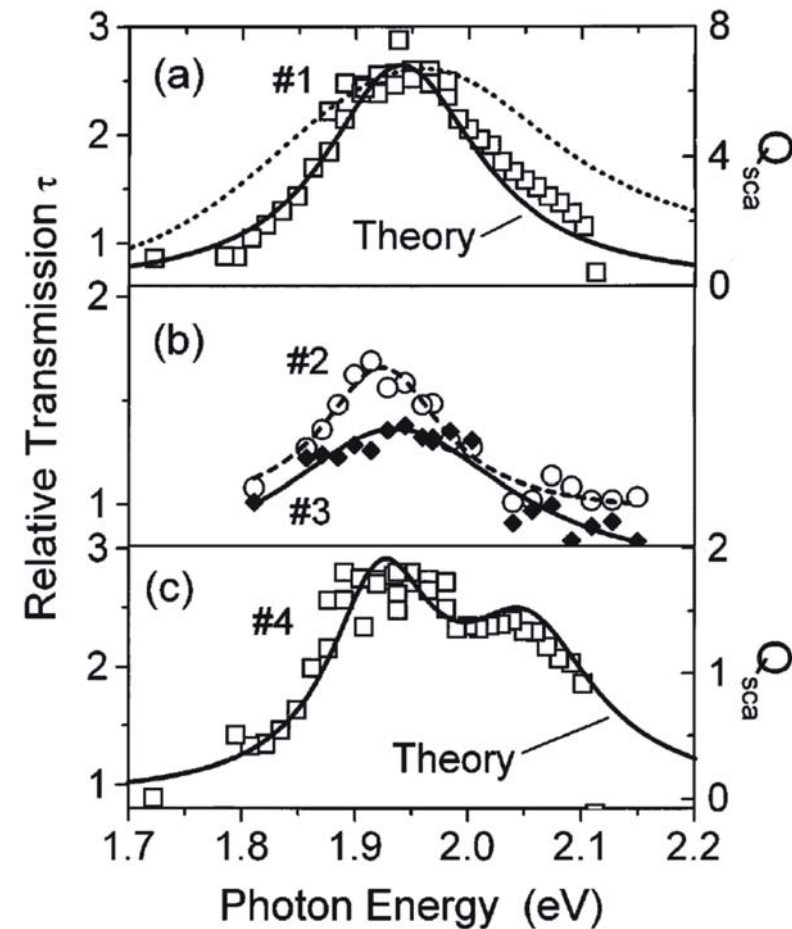
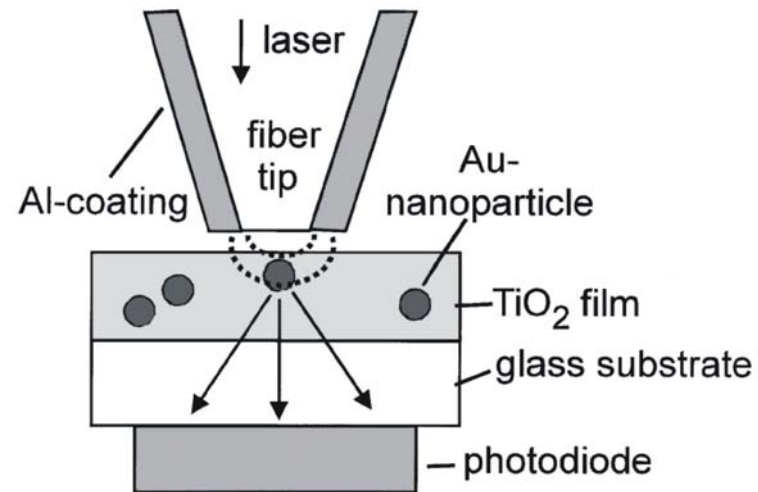
Dr. Juen-Kai Wang, CCMS & IAMS



J. J. Mock, M. Barbic, D. R. Smith, D. A. Schultz, and S. Schultz, *J. Chem. Phys.* **116**, 6755 (2002).

SPR in single metallic nanoparticles

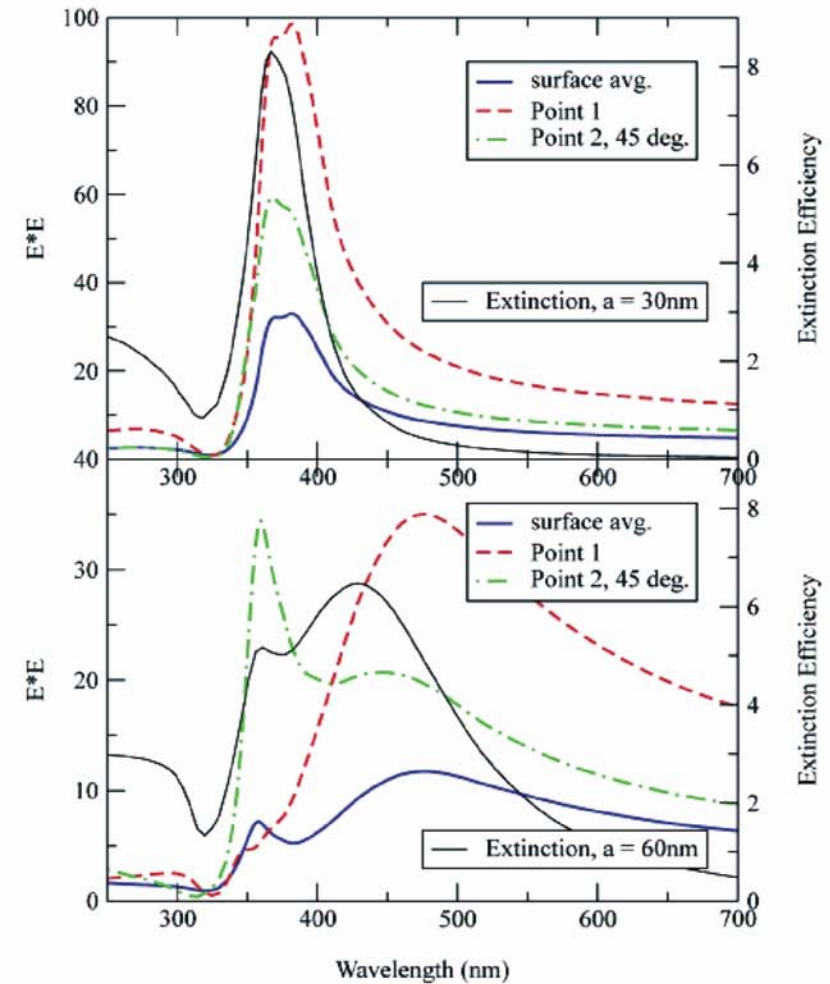
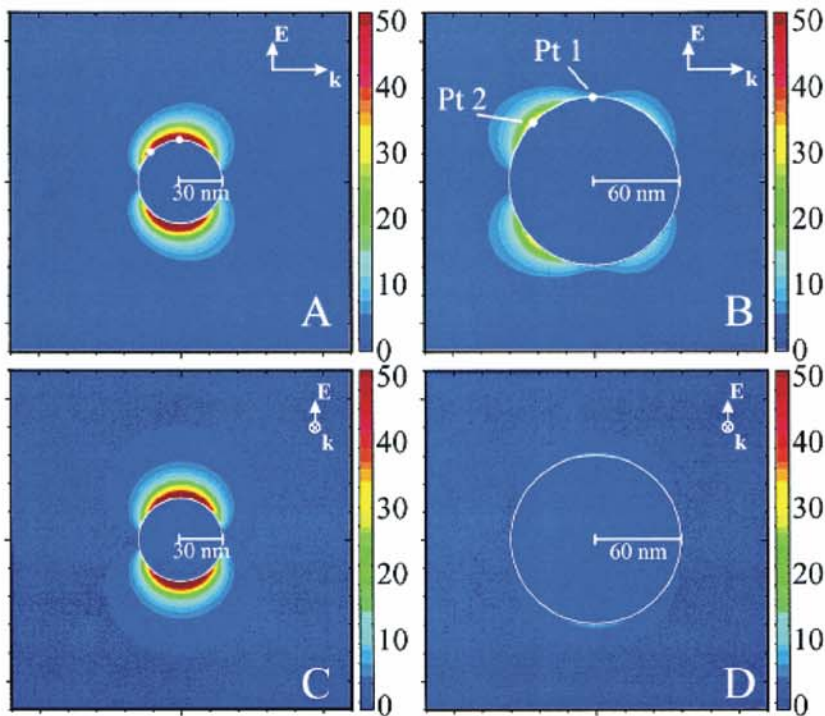
Dr. Juen-Kai Wang, CCMS & IAMS



T. Klar, M. Perner, S. Grosse, G. von Plessen, W. Spirkel and J. Feldman, *Phys. Rev. Lett.* **80**, 4249 (1998).

Simulations of Ag nanoparticles

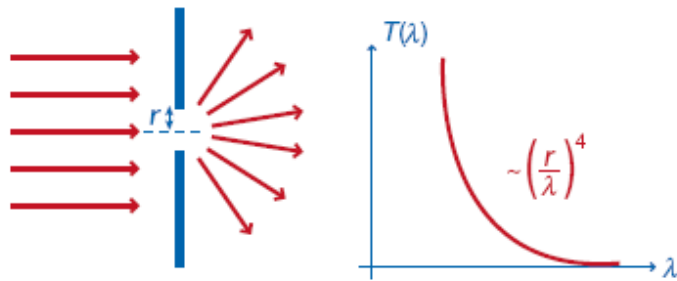
Dr. Juen-Kai Wang, CCMS & IAMS



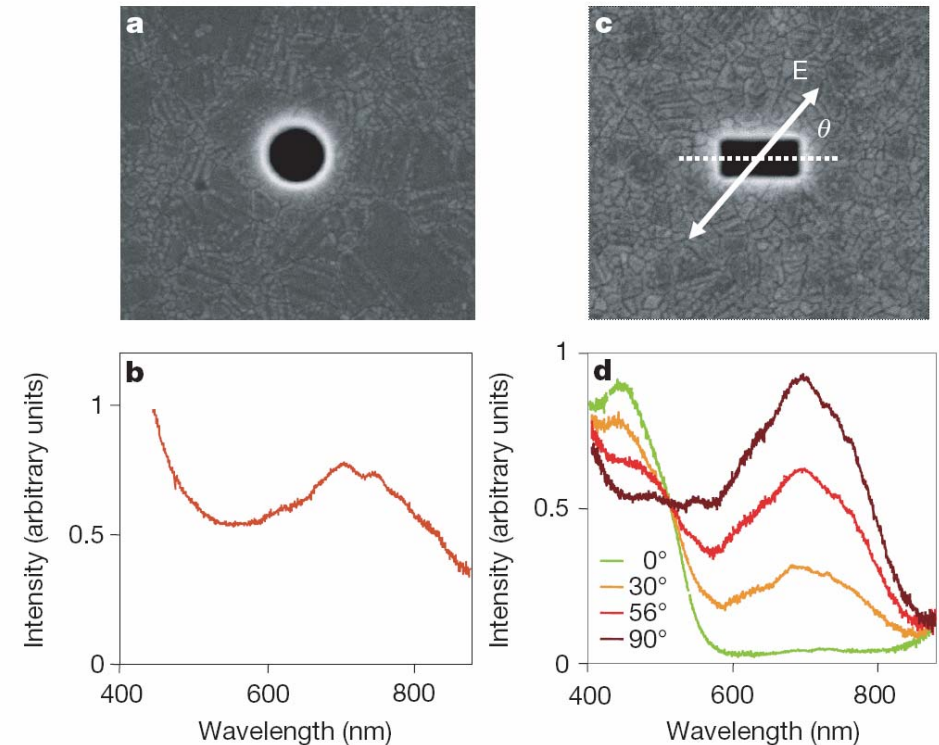
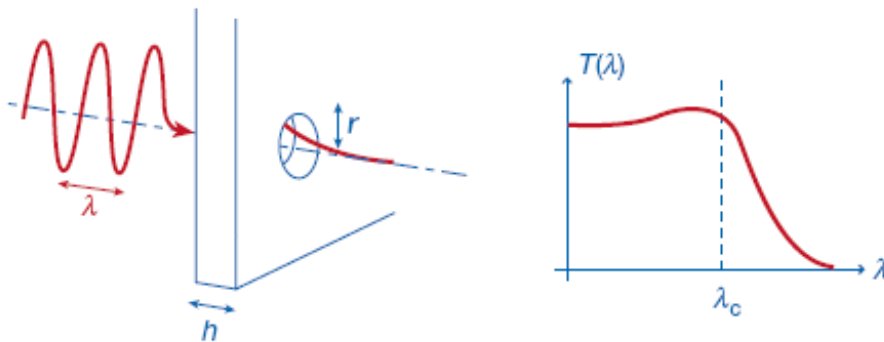
Spectroscopy of single holes

Dr. Juen-Kai Wang, CCMS & IAMS

Bethe's theory

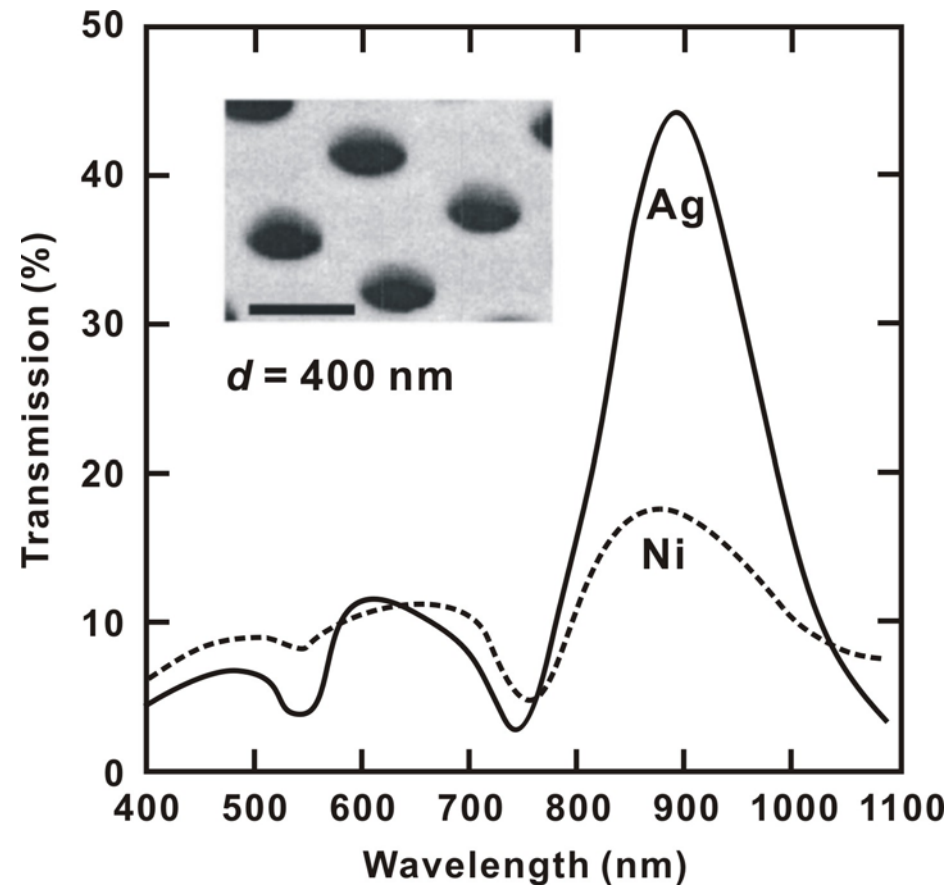


Light through a cylindrical waveguide



Subwavelength hole array

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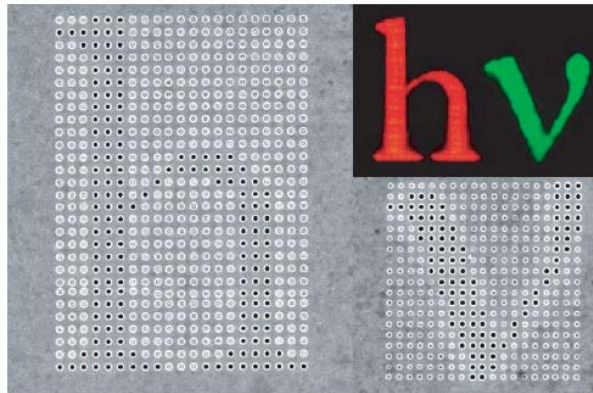
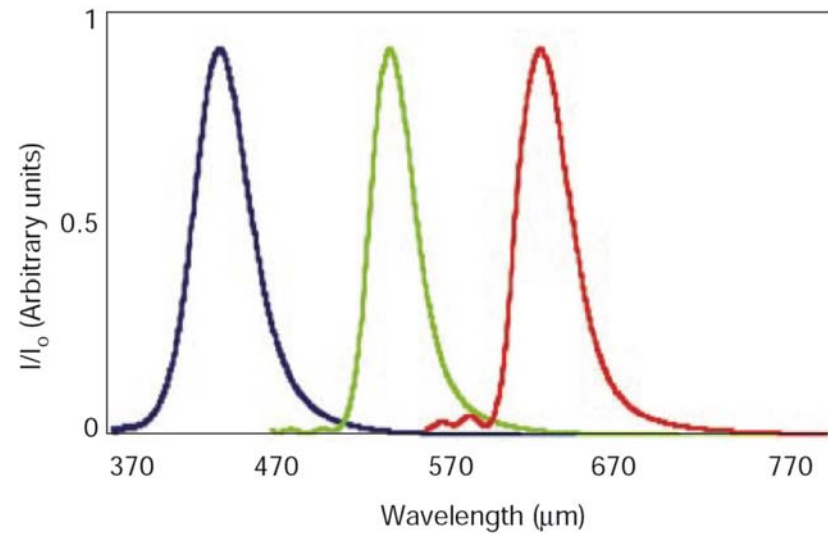
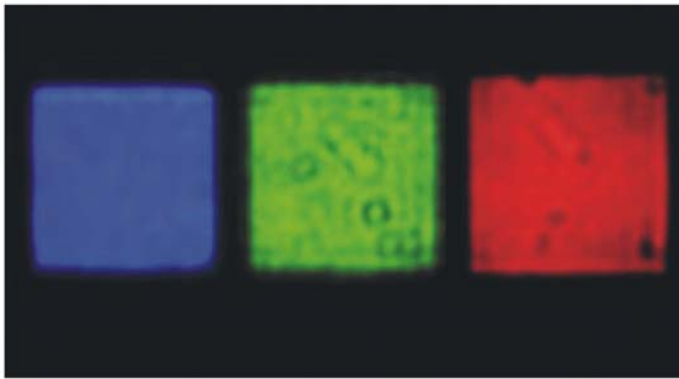


D. E. Grupp et al., *Appl. Phys. Lett.* **77**, 1569 (2000)

L. Martin-Moreno et al., *Phys. Rev. Lett.* **86**, 1114 (2001).

Spectroscopy of nanohole patterns

Dr. Juen-Kai Wang, CCMS & IAMS

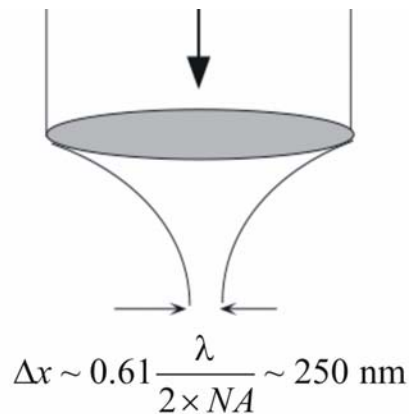


W. L. Barnes, A. Dereux, and T. W. Ebbesen, *Nature* **424**, 824 (2003); T. W. Ebbesen, *Nature* **445**, 39 (2007)

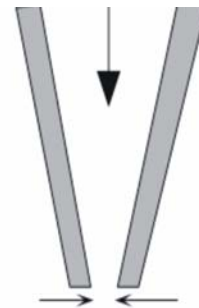
Comparison of optical microscopes

Dr. Juen-Kai Wang, CCMS & IAMS

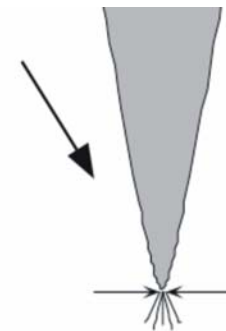
- Performing optical spectroscopy in nanometer scales is one of the critical steps in the development of nanoscience and nanotechnology.
- Taking advantage of localized enhanced field generated by plasmon, optical signal generated in nanometer scale can be observed macroscopically.
- New physics involving light-matter interaction in nanometer scales need to be developed.



classical
diffraction-limited



aperture SNOM
aperture-limited

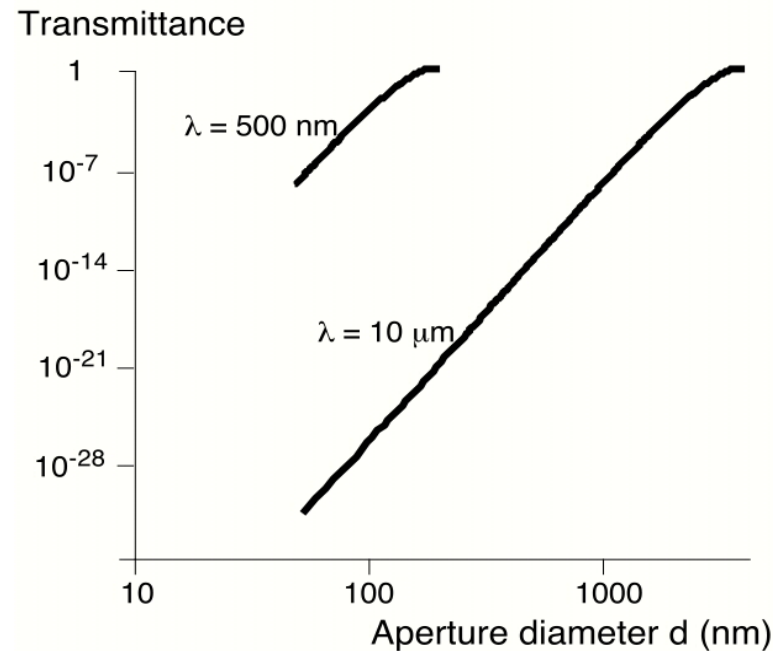
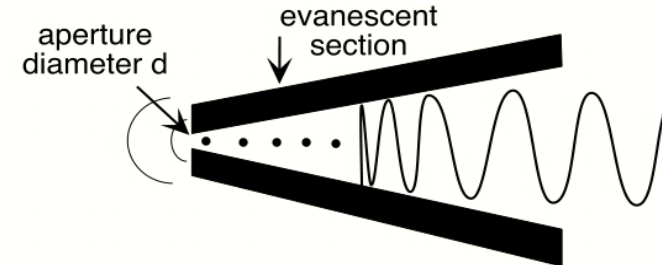


scattering SNOM
tip-limited

Limits of aperture-type SNOM

Dr. Juen-Kai Wang, CCMS & IAMS

- Low optical throughput
- Spatial resolution: >50 nm
- Wavelength dependent throughput



Reproduced from Dr. Keilmann

Tip-enhanced optical spectroscopy

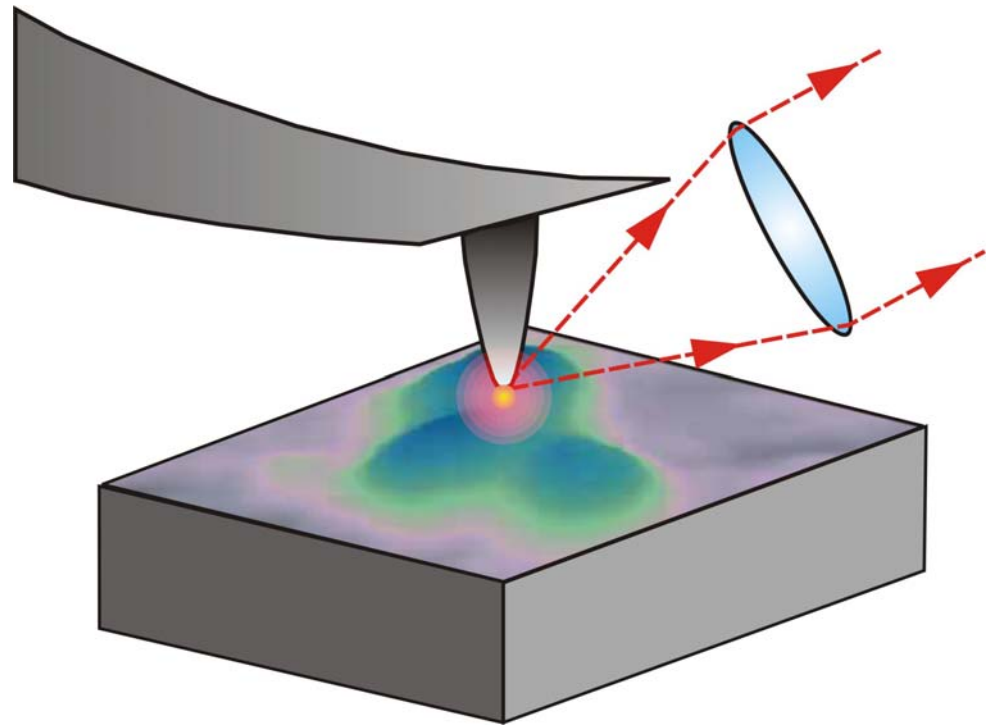
Dr. Juen-Kai Wang, CCMS & IAMS

- **Scattering-SNOM**

- collecting elastic scattering signal

- **Tip-enhanced spectroscopy**

- collecting inelastic scattering signal (Raman or fluorescence)

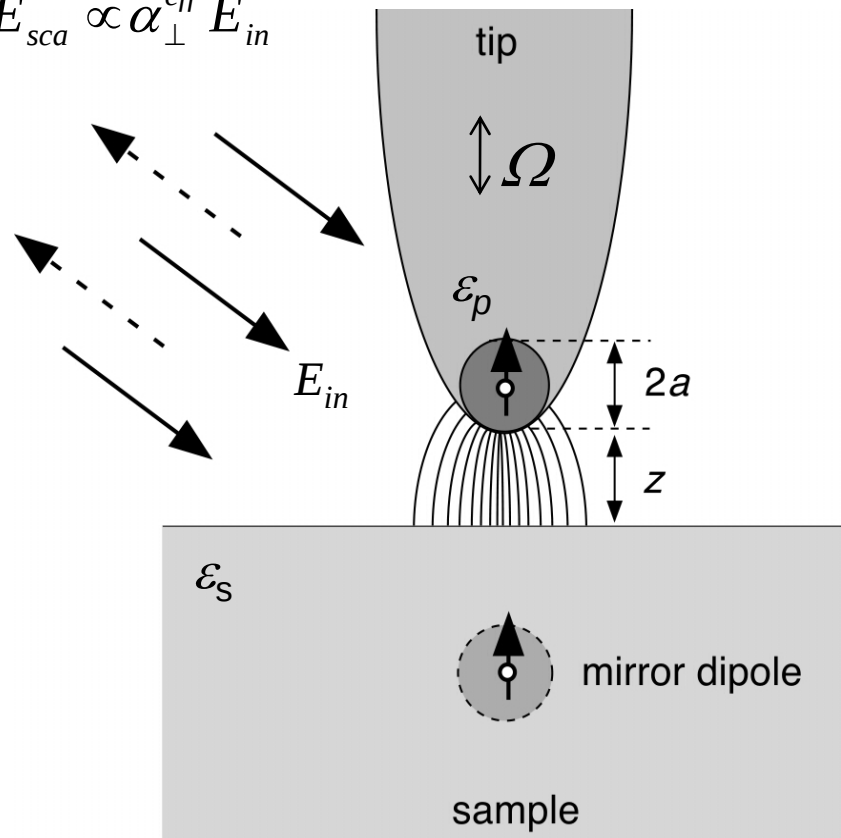


Model of tip-sample near-field interaction

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Far-field scattering:

$$E_{sca} \propto \alpha_{\perp}^{eff} E_{in}$$



$$\text{Polarizability of the tip: } \alpha = 4\pi a^3 \frac{(\epsilon_p - 1)}{(\epsilon_p + 2)}$$

Effective polarizability of tip and sample:

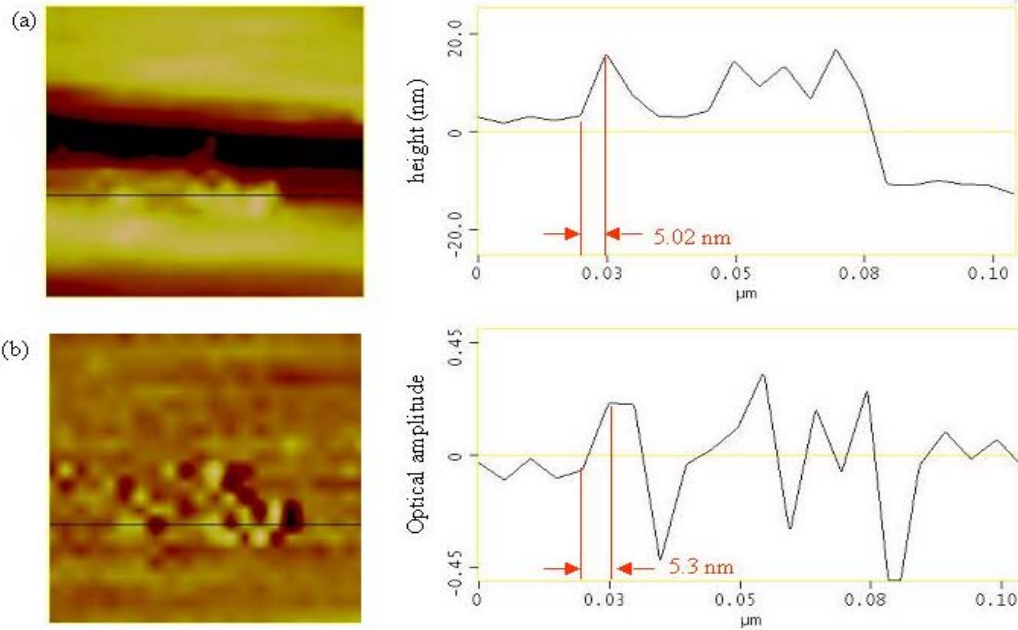
$$\alpha_{\perp}^{eff} = \frac{\alpha(1 + \beta)}{1 - \frac{\alpha\beta}{16\pi(z + a)^3}}$$

$$\beta = \frac{1 - \epsilon_s}{1 + \epsilon_s}$$

Spatial resolution

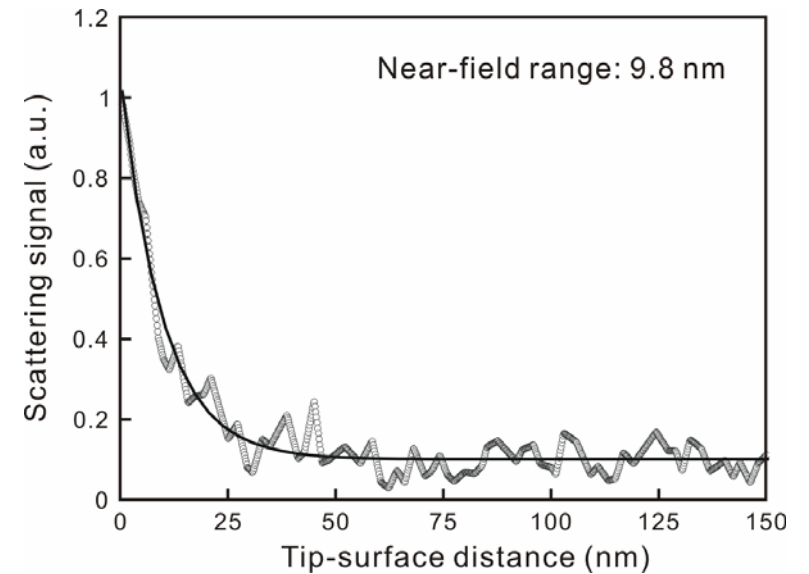
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AFM image



s-SNOM image

Lateral resolution: 5 nm

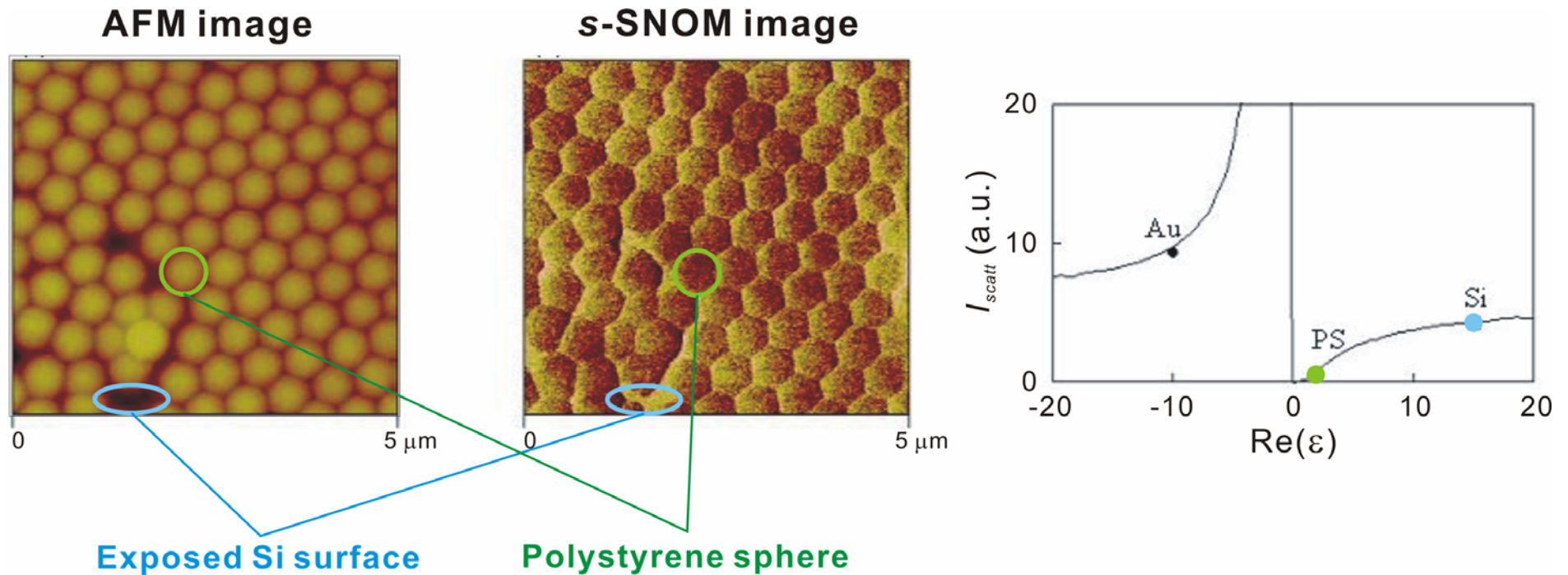


Vertical resolution: 10 nm

Material contrast

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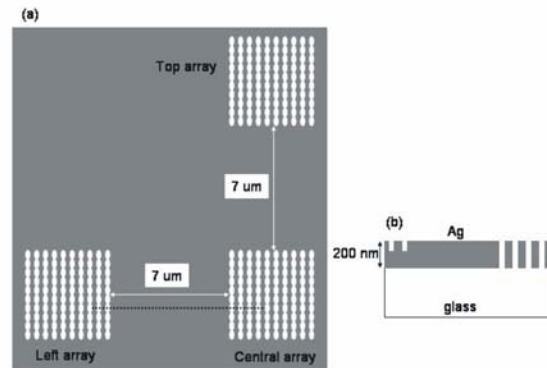
Polystyrene sphere on Si(111)



- Detection limit of Δn : 0.02

Near-field observation of elliptical hole arrays

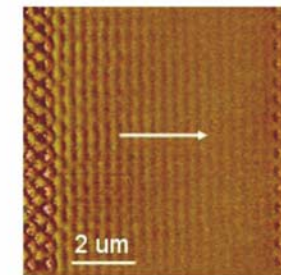
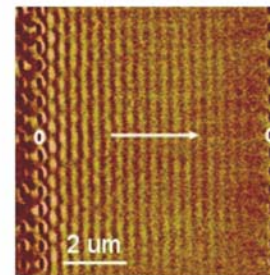
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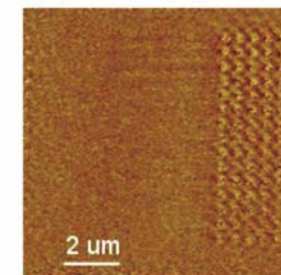
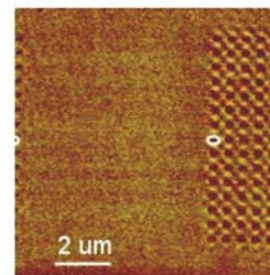
Near-field images

I

ϕ

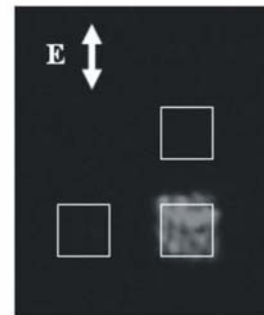
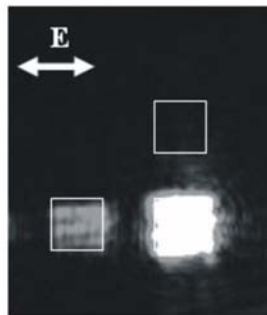


TM-mode excitation



TE-mode excitation

Far-field transmission images



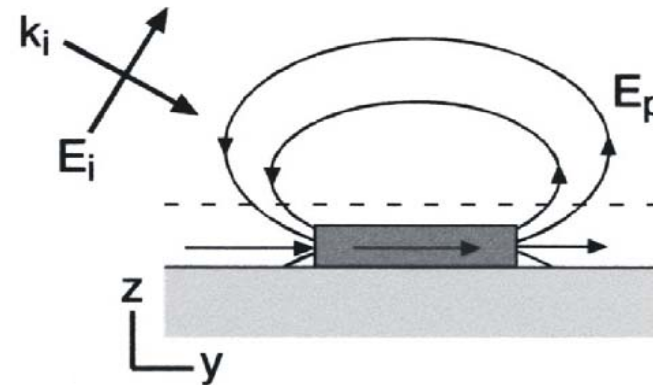
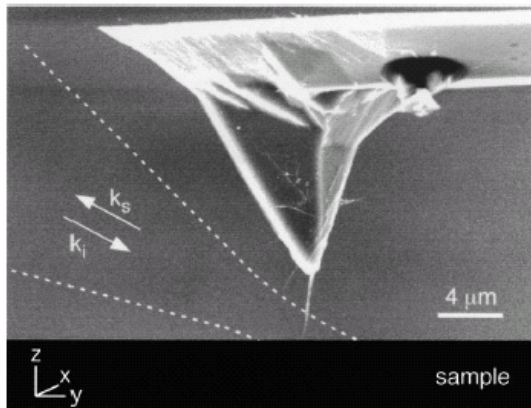
TM-mode excitation

TE-mode excitation

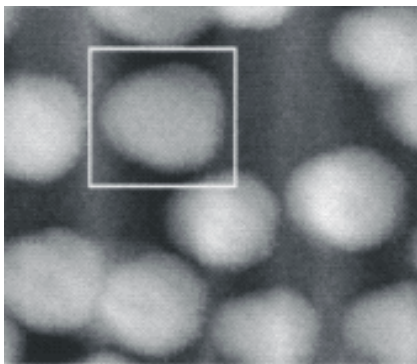
- A TM-mode excitation gives an enhanced transmission image and also produces an emission image at an adjacent pattern.
- Clear surface plasmon only exists in TM-mode excitation and prominent dipole field oscillation emerges at each elliptical hole. This confirms that the surface plasmon is the superposition of local plasmon of holes.

Apertureless SNOM with single CNT

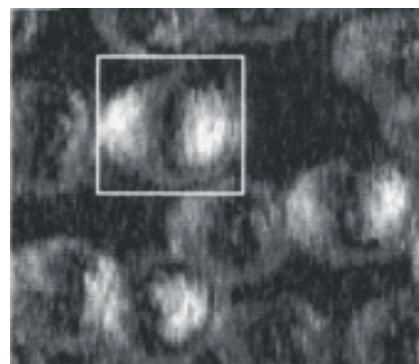
Dr. Juen-Kai Wang, CCMS & IAMS



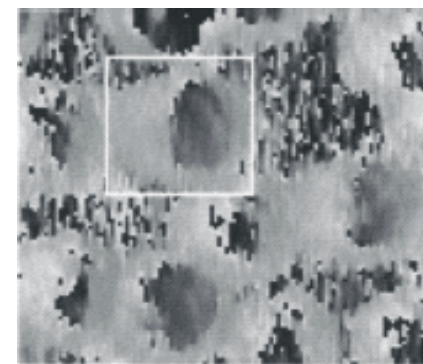
AFM image



Amplitude image

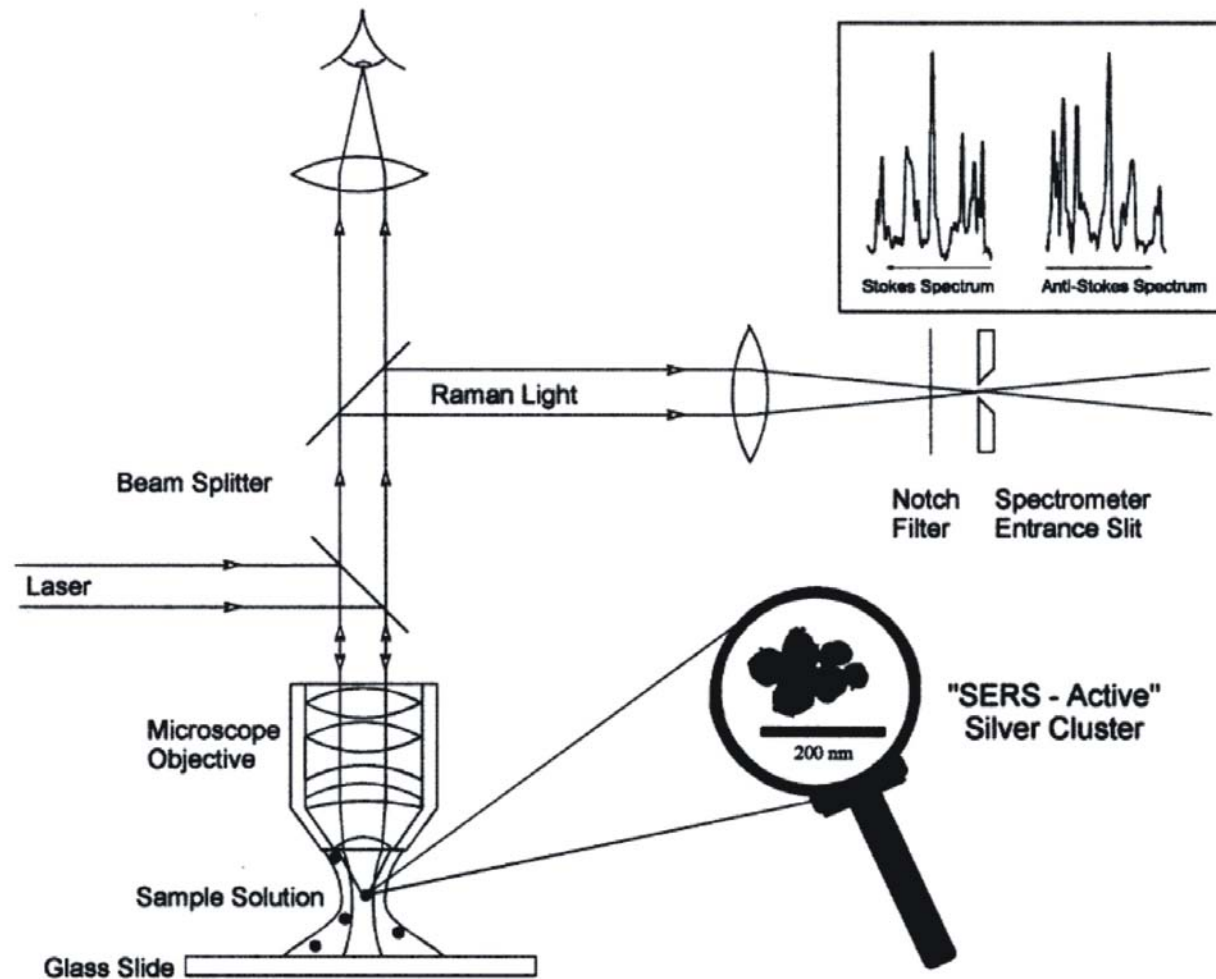


Phase image



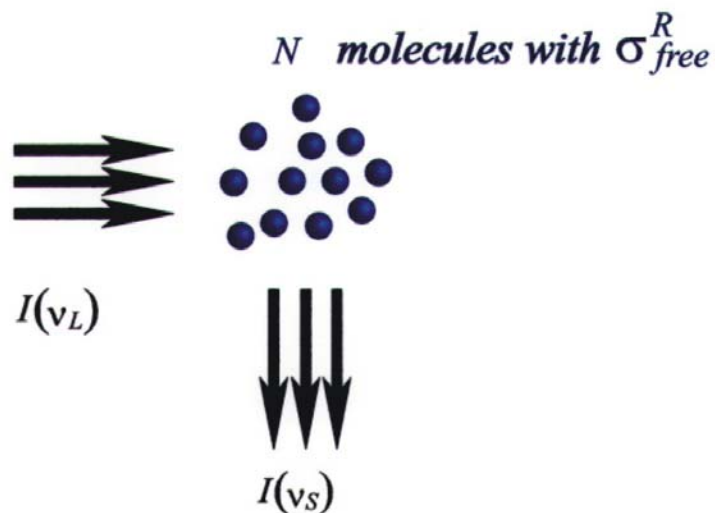
SERS setup

Dr. Juen-Kai Wang, CCMS & IAMS



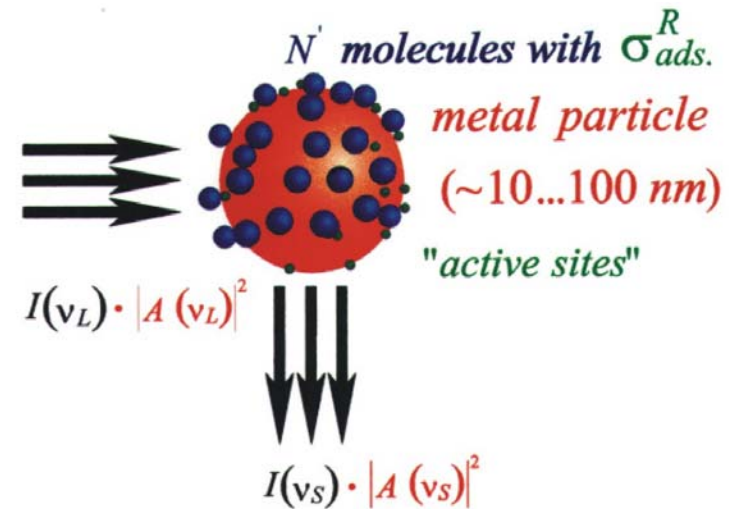
Comparison between Raman and SERS

Dr. Juen-Kai Wang, CCMS & IAMS



$$I_{NRS}(v_S) = N \cdot I(v_L) \cdot \sigma_{free}^R$$

Unenhanced Raman scattering



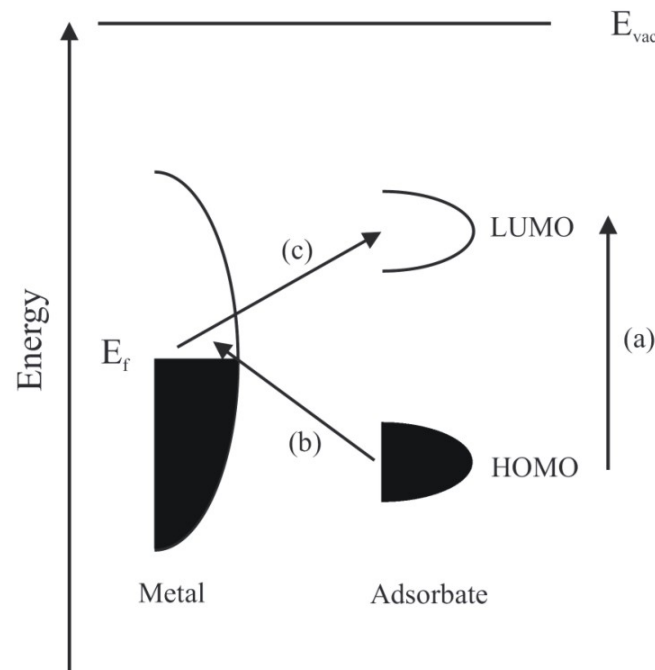
$$I_{SERS}(v_S) = N' \cdot I(v_L) \cdot |A(v_L)|^2 \cdot |A(v_S)|^2 \cdot \sigma_{ads}^R$$

SERS

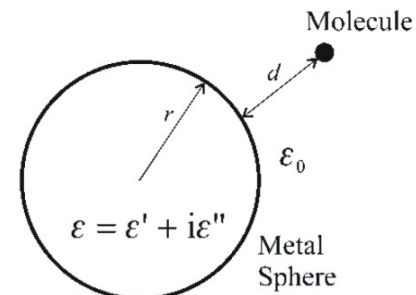
Chemical and EM field enhancement

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Chemical enhancement



Electromagnetic field enhancement



$$E_M = E_0 + E_{sp}$$

E_{sp} : field of a point dipole in the center of the sphere

$$E_{sp} = r^3 \frac{\epsilon - \epsilon_0}{\epsilon + 2\epsilon_0} E_0 \frac{1}{(r+d)^3}$$

$$\frac{r}{\lambda} \leq 0.05$$

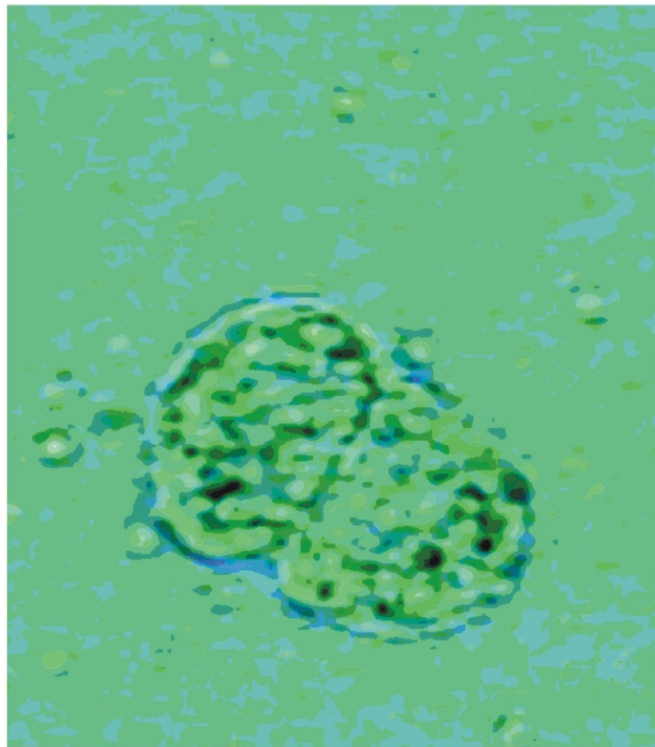
$$A(\nu) = \frac{E_M(\nu)}{E_0(\nu)}$$

$$G_m(\nu_s) = |A(\nu_L)|^2 \cdot |A(\nu_s)|^2$$

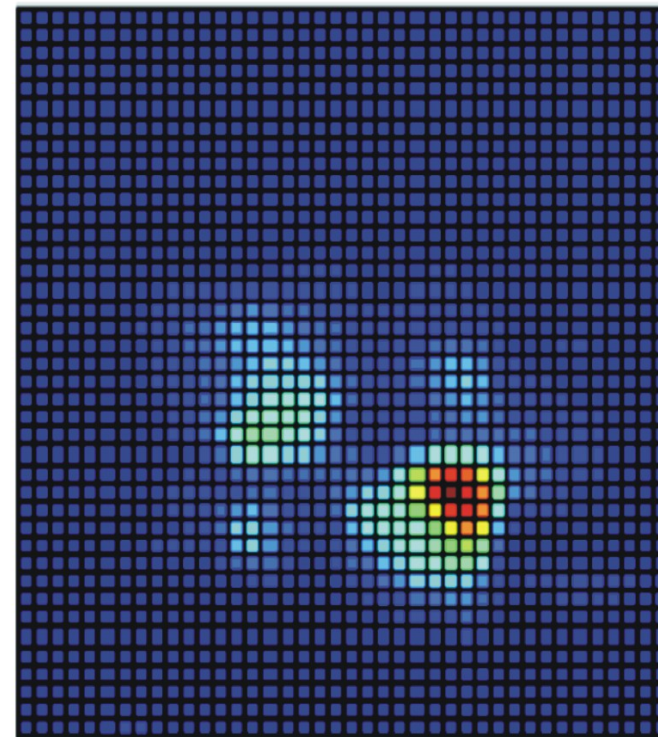
$$G_m(\nu_s) \sim \left| \frac{\epsilon(\nu_L) - \epsilon_0}{\epsilon(\nu_L) + 2\epsilon_0} \right|^2 \cdot \left| \frac{\epsilon(\nu_s) - \epsilon_0}{\epsilon(\nu_s) + 2\epsilon_0} \right|^2 \cdot \left(\frac{r}{r+d} \right)^{12}$$

SERS of living cells with Au nanoparticles-II

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a) $10\ \mu\text{m}$

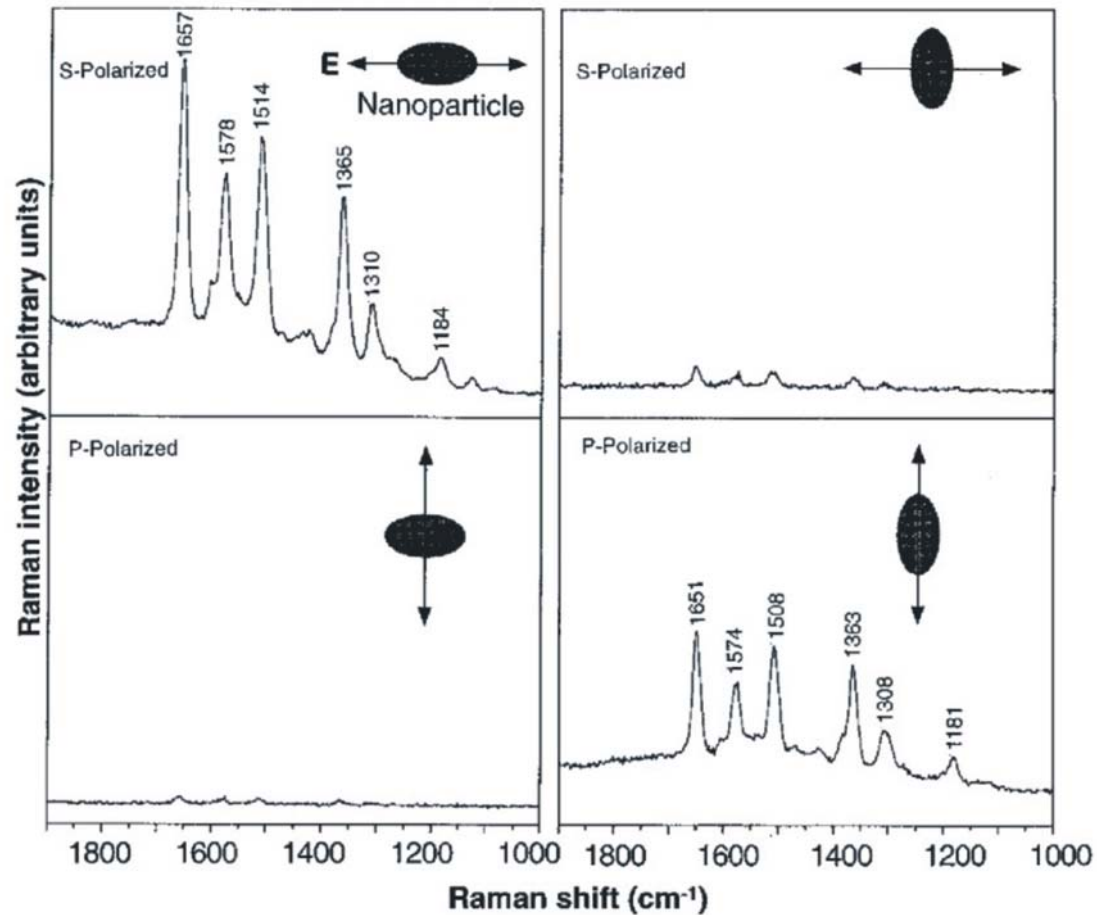


b) $10\ \mu\text{m}$

Single-molecule Raman spectroscopy (SMRS)

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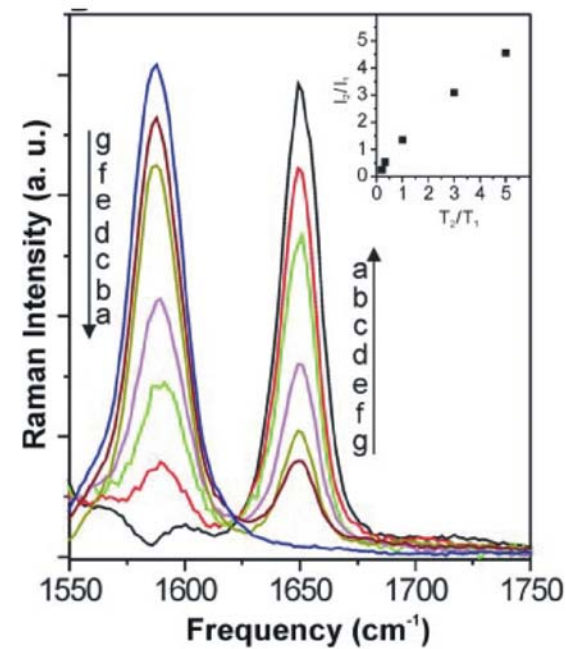
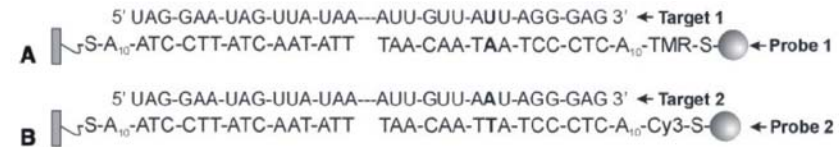
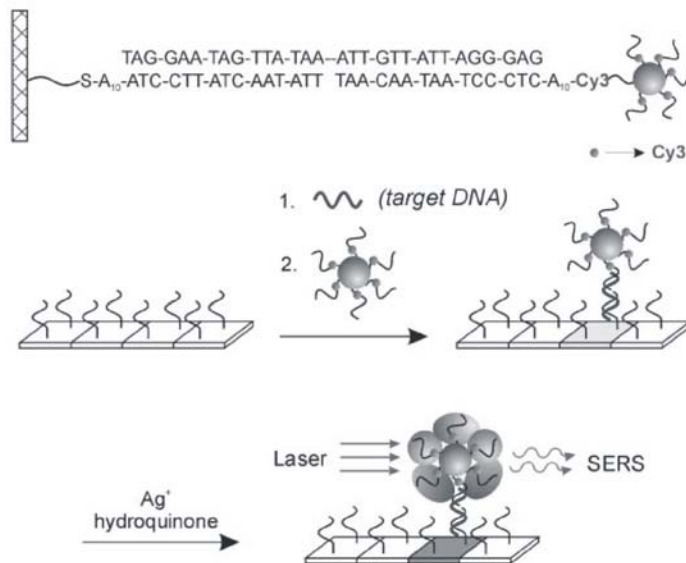
Polarized single molecule Raman spectra of dye-to-colloidal particles



S. Nie and S. R. Emory, *Science* **275**, 1102 (1997).

Plasmon-enhanced Raman detection of DNA and RNA

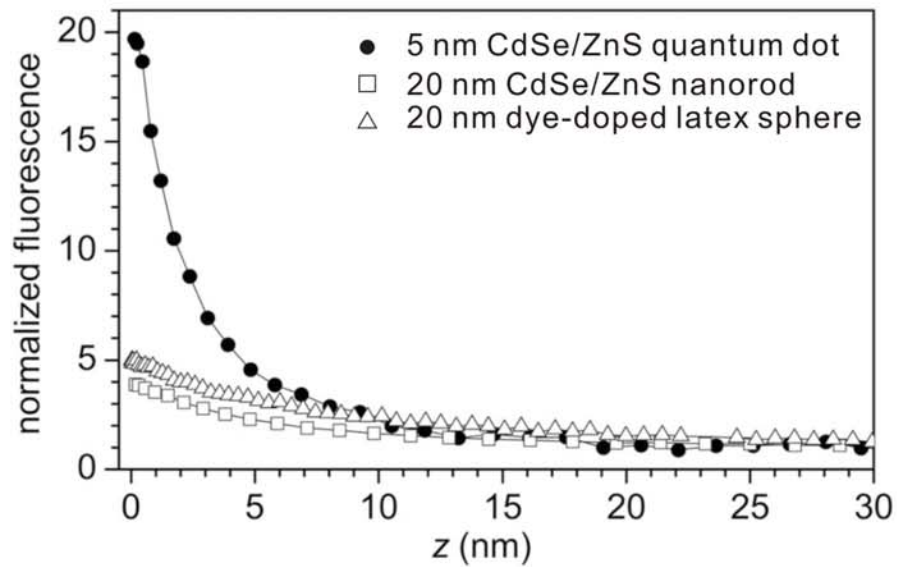
Dr. Juen-Kai Wang, CCMS & IAMS



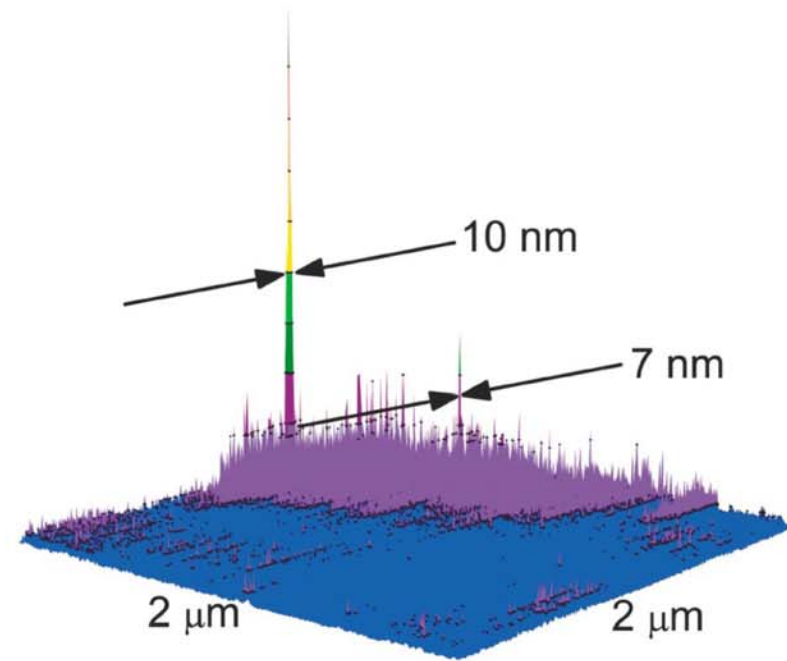
Y. C. Cao, R. Jin and C. A. Mirkin, *Science* **297**, 1536 (2002).

Near-field fluorescence spectroscopy

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Fluorescence enhancement near a silicon tip



Tip-enhanced fluorescence image of quantum dots

Near-field Raman spectroscopy of CNT

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