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Simple 1D problems

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Digression: Gaussian integrals

$$I(\alpha) = \int_{-\infty}^{\infty} e^{-\alpha x^2} dx$$

$$I^2(\alpha) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} e^{-\alpha r^2} dx dy$$

$$= \int_0^{\infty} \int_0^{2\pi} r dr d\theta e^{-\alpha r^2}$$

$$= \pi/\alpha$$

$$\therefore I = \sqrt{\frac{\pi}{\alpha}}$$

$$I(\alpha, \beta) = \int_{-\infty}^{\infty} e^{-\alpha x^2 + \beta x} dx$$

$$= e^{\beta^2/4\alpha} \left(\frac{\pi}{\alpha}\right)^{\frac{1}{2}}$$

$$I_{2n}(\alpha) = \int_{-\infty}^{\infty} x^{2n} e^{-\alpha x^2} dx$$

$$= \left(-\frac{d}{d\alpha}\right)^n \int_{-\infty}^{\infty} e^{-\alpha x^2} dx = \left(-\frac{d}{d\alpha}\right)^n \sqrt{\frac{\pi}{\alpha}}$$

1. Free particle

$$I_2(\alpha) = \frac{1}{2} \frac{\sqrt{\pi}}{\alpha^{3/2}}$$

$$H = \frac{p^2}{2m}$$

$$i\hbar \frac{d}{dt} |\psi\rangle = \frac{p^2}{2m} |\psi\rangle$$

step (1) find $H|\psi\rangle = E|\psi\rangle$

$$\text{Obviously, } |\psi\rangle = |p\rangle, \quad E = \frac{p^2}{2m}$$

$$p = \pm (2mE)^{\frac{1}{2}} \text{ for any given } E \text{ (continuous)}$$

$$\therefore \text{For each } E, \text{ there are two eigenkets}$$

$$|p = \sqrt{2mE}\rangle \ \& \ |p = -\sqrt{2mE}\rangle \quad (\text{degeneracy!})$$

in general, eigenket of $E = \alpha |\sqrt{2mE}\rangle + \beta |-\sqrt{2mE}\rangle$

\therefore it describes moving left or right at the same time!

In this case, \hat{H} has degeneracy which can be lifted by \hat{p} .

$\therefore \hat{p}, \hat{H}$ are complete commuting observables in this case
(disregarding spins ...)

\therefore We can label ~~them~~ eigenstates by

$|p, E\rangle$ or simply $|p\rangle$

$$U(t) = \int_{-\infty}^{\infty} dp |p\rangle \langle p| e^{-\frac{iE_p t}{\hbar}}$$

$$= \int_{-\infty}^{\infty} dp |p\rangle \langle p| e^{-\frac{ip^2 t}{2m\hbar}}$$

↑ see over
same as Green's function for the diffusion eq.

$$U(x, t; x') \equiv \langle x | U(t) | x' \rangle \quad \text{satisfying} \quad i\hbar \frac{\partial}{\partial t} U(x, t; x') = -\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} U$$

(Sometimes, denoted

as G as the

Green's function)

$$= \int_{-\infty}^{\infty} dp \langle x | p \rangle \langle p | x' \rangle e^{-\frac{ip^2 t}{2m\hbar}} \quad \text{if we impose } t > 0$$

$$= \frac{1}{2\pi\hbar} \int_{-\infty}^{\infty} dp e^{\frac{ip(x-x')}{\hbar}} e^{-\frac{ip^2 t}{2m\hbar}}$$

i.e. $U = (\dots) \theta(t)$

$$= \left(\frac{m}{2\pi\hbar^2 t}\right)^{\frac{1}{2}} e^{-\frac{im(x-x')^2}{2\hbar^2 t}}$$

$$i\hbar \frac{\partial}{\partial t} U + \frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} U = i\hbar \delta(t) \delta(x-x')$$

$$\therefore |\psi(t)\rangle = \hat{U}(t) |\psi(0)\rangle$$

$$\langle x | \psi(t) \rangle = \psi(x, t) = \int dx' U(x, t; x') \psi(x', 0)$$

$$\frac{\partial U}{\partial t} = D \frac{\partial^2 U}{\partial x^2}$$

diffusion

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The above is for the origin of $t = 0$.

If the origin is at t' , we have

$$\psi(x,t) = \int dx' U(x,t; x',t') \psi(x',t') \quad \text{--- } \textcircled{1}$$

$$U(x,t; x',t') = \langle x | \hat{U}(t,t') | x' \rangle$$

\downarrow
 in general, $\hat{U}(t,t')$

If at t' , the particle is localized at x_0 ,

i.e., $\psi(x',t') = \delta(x' - x_0)$

$\psi(x,t) = U(x,t, x_0, t')$. In other words, $U(x,t, x',t')$ is the amplitude that a particle at x, t when initially, it was at (x', t') .

random walk

$\textcircled{1}$ is some kind of "Huygen's" principle:

* the total amplitude at (x,t) = sum of contributors from propagating from (x',t') with a weight

$\psi(x',t')$ U has the meaning of Green's function $\psi(x,t)|_{t=t'} = \psi(x)$

2. Gaussian Packet

$$\psi(x) = A e^{-\frac{(x-a)^2}{2\sigma^2}}$$



Eigenstates of $-\frac{\hbar^2}{2m} \frac{d^2}{dx^2} \psi + \frac{1}{2} k(x-a)^2 \psi = E \psi$
(Simple harmonic oscillator)

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Direct substitution, we get

$$+\frac{\hbar^2}{2m} \frac{1}{\Delta^4} = \frac{1}{2} K$$

$$\therefore K = \frac{\hbar^2}{m\Delta^4}$$

$$E = \frac{\hbar^2}{2m} \frac{1}{\Delta^2}$$

Normalization:

$$\begin{aligned} \langle \psi | \psi \rangle &= |A|^2 \int_{-\infty}^{\infty} e^{-\frac{(x-a)^2}{\Delta^2}} dx \\ &= A^2 (\pi \Delta^2)^{\frac{1}{2}} = 1 \end{aligned}$$

$$\therefore A = \frac{1}{(\pi \Delta^2)^{\frac{1}{4}}}$$

$$\langle x \rangle = \langle \psi | \hat{x} | \psi \rangle$$

$$= A^2 \int_{-\infty}^{\infty} x e^{-\frac{(x-a)^2}{\Delta^2}} dx$$

$$= a$$

$$\langle x^2 \rangle = A^2 \int_{-\infty}^{\infty} x^2 e^{-\frac{(x-a)^2}{\Delta^2}} dx$$

$$= A^2 \int_{-\infty}^{\infty} (y+a)^2 e^{-\frac{y^2}{\Delta^2}} dy$$

$$\int_{-\infty}^{\infty} y^2 e^{-\frac{y^2}{\Delta^2}} dy$$

$$= \frac{1}{2} \sqrt{\pi} \Delta^3$$

$$= \frac{\Delta^2}{2} + a^2$$

$$\therefore \Delta x \equiv \langle (\hat{x} - \langle x \rangle)^2 \rangle^{\frac{1}{2}} = [\langle x^2 \rangle - \langle x \rangle^2]^{\frac{1}{2}}$$

$$= \left[\frac{\Delta^2}{2} + a^2 - a^2 \right]^{\frac{1}{2}} = \frac{\Delta}{\sqrt{2}}$$

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$$\langle p \rangle = A^2 \int_{-\infty}^{\infty} e^{-\frac{(xa)^2}{2\Delta^2}} \frac{1}{i\hbar} \frac{d}{dx} e^{-\frac{(xa)^2}{2\Delta^2}} dx = 0$$

$\therefore \psi(x)$ is real!

$$\langle p^2 \rangle = A^2 \int_{-\infty}^{\infty} e^{-\frac{(xa)^2}{2\Delta^2}} -\hbar^2 \frac{d^2}{dx^2} e^{-\frac{(xa)^2}{2\Delta^2}} dx$$

= ...

or do Fourier transformation

$$\begin{aligned} \Phi(p) &= \int_{-\infty}^{\infty} \frac{dp}{\sqrt{2\pi\hbar}} e^{-ipx/\hbar} A e^{-\frac{(xa)^2}{2\Delta^2}} \\ &= \left(\frac{\Delta^2}{\pi\hbar^2}\right)^{\frac{1}{4}} e^{-ipa/\hbar} e^{-\frac{p^2\Delta^2}{2\hbar^2}} \end{aligned}$$

$$\langle p^2 \rangle = \int_{-\infty}^{\infty} dp p^2 |\Phi(p)|^2$$

$$= \frac{\Delta}{\sqrt{\pi\hbar^2}} \int_{-\infty}^{\infty} dp p^2 e^{-\frac{\Delta^2}{\hbar^2} p^2}$$

$$= \frac{\Delta}{\sqrt{\pi\hbar^2}} \left(-\frac{d}{ds}\right) \int_{-\infty}^{\infty} dp e^{-sp^2} \Big|_{s=\frac{\Delta^2}{\hbar^2}}$$

$$= \frac{\Delta}{\sqrt{\pi\hbar^2}} \frac{\sqrt{\pi}}{2} \frac{1}{\left(\frac{\Delta}{\hbar}\right)^3} = \frac{\hbar^2}{2\Delta^2}$$

$$\therefore \Delta p = \left(\frac{\hbar^2}{2\Delta^2}\right)^{\frac{1}{2}} = \frac{1}{\sqrt{2}} \frac{\hbar}{\Delta}$$

$$\Delta x \cdot \Delta p = \frac{\hbar}{2} \quad \text{in general } \Delta x \Delta p \geq \frac{\hbar}{2}$$

— Heisenberg uncertainty Relation (will be proved later!)

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General features of energy eigenfunctions in 1D

$$\frac{-\hbar^2}{2m} \frac{d^2}{dx^2} \psi(x) + V(x)\psi(x) = E\psi(x)$$

$$\frac{d^2\psi(x)}{dx^2} = \frac{2m}{\hbar^2} (V(x) - E) \psi(x)$$

Given initial conditions $\psi(x_0)$ $\left. \frac{d\psi}{dx} \right|_{x=x_0}$

$\Rightarrow \psi(x)$ can be solved.

bound state: $\psi(x) \rightarrow 0$ as $x \rightarrow \pm\infty$

Theorem 1. No degeneracy in 1D bound states (up to a scale factor)

pf: Assume ψ_1, ψ_2 have the same E

$$\frac{-\hbar^2}{2m} \frac{d^2\psi_1}{dx^2} + U\psi_1 = E\psi_1 \quad \text{--- (1)}$$

$$\frac{-\hbar^2}{2m} \frac{d^2\psi_2}{dx^2} + U\psi_2 = E\psi_2 \quad \text{--- (2)}$$

$$(1) \times \psi_2 - (2) \times \psi_1$$

$$\psi_1 \frac{d^2\psi_2}{dx^2} - \psi_2 \frac{d^2\psi_1}{dx^2} = 0$$

$$\text{i.e. } \frac{d}{dx} \left(\psi_1 \frac{d\psi_2}{dx} - \psi_2 \frac{d\psi_1}{dx} \right) = 0$$

$$\psi_1 \frac{d\psi_2}{dx} - \psi_2 \frac{d\psi_1}{dx} = \text{const}$$

$\therefore |x| \rightarrow \infty, \psi_1, \psi_2 \rightarrow 0$ (bound states)

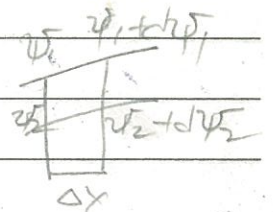
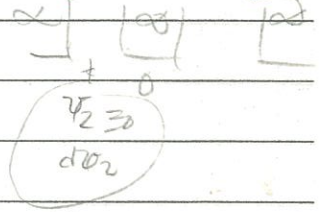
$$\therefore \text{const} = 0 \quad \therefore \frac{1}{\psi_1} \frac{d\psi_1}{dx} = \frac{1}{\psi_2} \frac{d\psi_2}{dx} \Rightarrow \frac{1}{|\psi_1|} \frac{d|\psi_1|}{dx} = \frac{1}{|\psi_2|} \frac{d|\psi_2|}{dx}$$

$$\text{i.e. } \frac{d \ln|\psi_1|}{dx} = \frac{d \ln|\psi_2|}{dx}$$

$$\ln|\psi_1| = \ln|\psi_2| + \text{const} \Rightarrow |\psi_1| = C|\psi_2|$$

Q.E.D.

U Smooth



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H Hermitian

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Theorem 2. If $V(x)$ is real, the eigenfunctions are real up to scale factors in 1D.

Pf: If $\frac{-\hbar^2}{2m} \frac{d^2}{dx^2} \psi_n + V(x) \psi_n(x) = E \psi_n$

then $\frac{-\hbar^2}{2m} \frac{d^2}{dx^2} \psi_n^* + V(x) \psi_n^*(x) = E \psi_n^*$

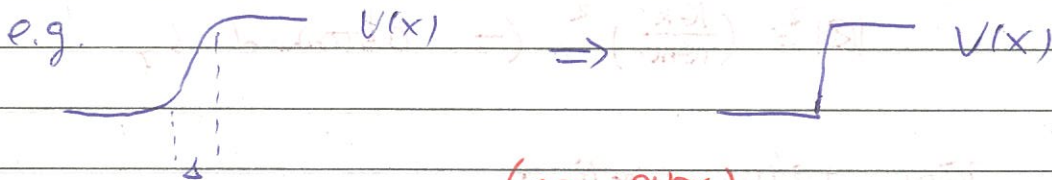
~~Theorem 1. $\psi_n^* = C \psi_n$ (non-degenerate)~~

$\Rightarrow \psi_R, \psi_I$ are solutions
real imaginary parts

$\therefore \psi_I = C \psi_R$ (non-degenerate)

$\therefore \psi = \psi_R + i \psi_I = (1 + Ci) \psi_R$ OED.

3. A real potential $V(x)$ is always smooth, but some times for mathematical convenience, we idealized and approximate it to some "unphysical" potential.



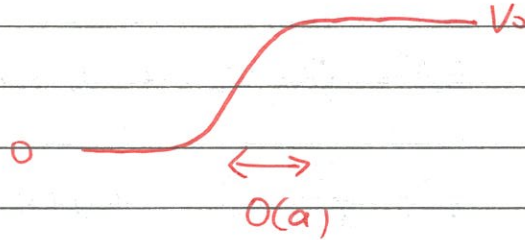
(see over)

Solutions thus obtained are good only above the lengthscale Δ , i.e., using $V(x)$ to get $\psi(x)$, $\psi(x)$'s resolution can't be better than Δ .

No matter what idealization we made for $V(x)$, $\psi(x)$ must obey the following requirements.

A real example is to consider

$$V(x) = \frac{V_0}{2} \left(1 + \tanh \frac{x}{2a} \right)$$



rigorous solution $y = \frac{1}{1+e^{x/a}}$ $\psi \rightarrow u(y) \Rightarrow$

$x \rightarrow -\infty$ $\psi = e^{ikx} + e^{-ikx} R$ $k = \sqrt{\frac{2mE}{\hbar^2}}$

$x \rightarrow \infty$ $\psi = T e^{ik'x}$ $k' = \sqrt{\frac{2m(E-V_0)}{\hbar^2}}$

$$|R|^2 = \left\{ \frac{\sinh \pi (k-k')a}{\sinh \pi (k+k')a} \right\}^2$$

$a \rightarrow 0$ $|R|^2 = \left(\frac{k-k'}{k+k'} \right)^2$ (= obtained by

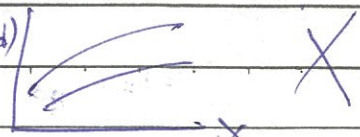
$\psi(0), \psi'(0)$ conti:

$$\begin{aligned} 1+R &= T \\ ik(1-R) &= ik'T \end{aligned} \quad \Rightarrow \quad R = \frac{k-k'}{k+k'} \quad T = \frac{2k}{k+k'}$$

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↔ $\psi(x)$ is ambiguous at for example $\theta, \theta + 2\pi$

$\psi(x)$ = single valued ($|\psi|^2$ single valued)



$\psi(x)$ = finite ($|\psi|^2$ finite)

$\therefore e^{\alpha x}$ for $x > 0$ is not allowed
($\because e^{\alpha x} \rightarrow \infty$ as $x \rightarrow \infty$)

$\psi(x)$: Continuous so that $\frac{d\psi}{dx}$ is well defined.

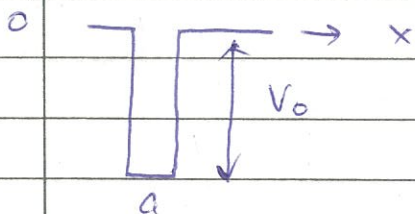
$\frac{d\psi(x)}{dx}$ = single valued

in some more "violent" case, $\frac{d\psi}{dx}$ needs not

to be continuous. However, if $V(x)$ is not bad, $\psi(x), \frac{d\psi}{dx}$ should be continuous.

e.g. ~~$V(x) = \delta(x)$~~

e.g. $V(x) = -a V_0 \delta(x)$



$V(x) = 0 \quad |x| > \frac{a}{2}$

$V(x) = -V_0 \quad |x| < \frac{a}{2}$

$= -V_0 a \cdot \frac{1}{a} \quad |x| < \frac{a}{2}$

$a \rightarrow 0 \quad \frac{1}{a} \rightarrow \delta(x), \quad a V_0$ fixed

$\therefore V(x) = -a V_0 \delta(x)$

$-\frac{\hbar^2}{2m} \frac{d^2 \psi}{dx^2} = (E - V(x)) \psi(x) = (E + a V_0 \delta(x)) \psi(x)$

$E \rightarrow 0^+, \int_{-\epsilon}^{\epsilon} \psi \Rightarrow \frac{-\hbar^2}{2m} \left(\frac{d\psi}{dx} \Big|_{0^+} - \frac{d\psi}{dx} \Big|_{0^-} \right) = \int_{-\epsilon}^{\epsilon} (E + a V_0 \delta(x)) \psi(x)$

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$\psi(x)$ finite

$$\therefore \int_{-\epsilon}^{\epsilon} E \psi(x) dx \rightarrow 0 \quad \text{as } \epsilon \rightarrow 0$$

$$\text{but } \int_{-\epsilon}^{\epsilon} a V_0 \delta(x) \psi(x) dx = a V_0 \psi(0)$$

$$\therefore \frac{\hbar^2}{2m} \left(\frac{d\psi(0^+)}{dx} - \frac{d\psi(0^-)}{dx} \right) = a V_0 \psi(0) \quad \dots \textcircled{2}$$

$$x > 0 \quad \frac{-\hbar^2}{2m} \frac{d^2\psi}{dx^2} = E \psi$$

$$\therefore \psi = A e^{-kx} \quad k = \sqrt{-2mE} / \hbar$$

Similarly

$$x < 0 \quad \psi = B e^{kx} \quad \psi(0) \text{ cont.} \Rightarrow A = B$$

$$\textcircled{2} \Rightarrow \frac{-\hbar^2}{2m} [-kA - Bk] = a V_0 A$$

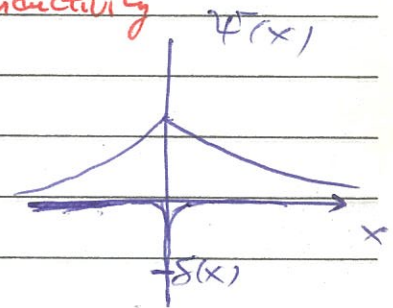
$$\therefore \frac{\hbar^2}{2m} \cdot 2k = a V_0$$

$$k = \frac{a V_0 m}{\hbar^2} = \sqrt{-2mE} / \hbar \quad \text{attractive potential in}$$

$$\therefore E = - \frac{m a^2 V_0^2}{2 \hbar^2} \quad \text{(mention Superconductivity at } d \leq \xi)$$

\therefore There is only one bound state.

which means when we shrink the width of a potential well, while keeping aV_0 fixed, there will be only one bound state survived!



Another derivation:

$$\frac{-\hbar^2}{2m} \frac{d^2}{dx^2} \psi(x) - V_0 \delta(x) \psi(x) = E \psi(x)$$

$$\psi(x) = \int \frac{dk}{2\pi} \psi(k) e^{ikx} \Rightarrow \psi(k) = \frac{V_0}{\hbar^2 k^2 + |E|} \int \frac{dk'}{2\pi} \psi(k')$$

$$\Rightarrow \frac{1}{V_0} = \int_{-\infty}^{\infty} \frac{dk}{2\pi} \frac{1}{\frac{\hbar^2}{2m} k^2 + |E|} \quad \dots \quad k = \sqrt{\frac{2m|E|}{\hbar^2}} x$$

$$= \frac{1}{2\pi} \frac{1}{|E|} \sqrt{|E|} \int_{-\infty}^{\infty} \frac{dx}{x^2 + 1} \times \sqrt{\frac{2m|E|}{\hbar^2}}$$

= π

$$= \frac{1}{2} \frac{1}{\sqrt{|E|}} \sqrt{\frac{2m|E|}{\hbar^2}}$$

$$\therefore \frac{4}{V_0^2} = \frac{2m}{\hbar^2} \frac{1}{|E|}, \quad |E| = \frac{m}{2\hbar^2} V_0^2$$

$$\therefore E = -\frac{mV_0^2}{2\hbar^2}$$

Let $\int \frac{dk'}{2\pi} \psi(k') = \alpha$

$$\psi(k) = \frac{\alpha V_0}{\frac{\hbar^2}{2m} (k^2 + (\frac{m}{\hbar^2} V_0)^2)} \equiv \frac{2m\alpha V_0}{\hbar^2} \frac{1}{k^2 + \beta^2}$$

$$\therefore \psi(x) = \int \frac{dk}{2\pi} \frac{1}{k^2 + \beta^2} e^{ikx} \cdot \frac{2m\alpha V_0}{\hbar^2}$$

$$= \frac{1}{2\beta} e^{-\beta|x|} \frac{2m\alpha V_0}{\hbar^2}, \quad \beta = \frac{mV_0}{\hbar^2}$$

$$= k$$

Attractive potential for $d=1, 2, 3$

$$V(\vec{r}) = -V_0 \delta(\vec{r})$$

$$-\nabla^2 \psi - V_0 \delta(\vec{r}) \psi = E \psi$$

$$\psi(\vec{r}) = \sum_{\vec{k}} \psi_{\vec{k}} e^{i\vec{k} \cdot \vec{r}}$$

$$\delta(\vec{r}) \psi(\vec{r}) = \psi(0) \delta(\vec{r})$$

$$\Rightarrow \sum_{\vec{k}} (k^2 - E) \psi_{\vec{k}} e^{i\vec{k} \cdot \vec{r}} = \sum_{\vec{k}} V_0 \psi(0) e^{i\vec{k} \cdot \vec{r}}$$

$$\therefore \psi_{\vec{k}} = \frac{V_0}{k^2 - E} \psi(0) = \frac{V_0}{k^2 - E} \sum_{\vec{k}'} \psi_{\vec{k}'}$$

$$\sum_{\vec{k}} \therefore \Rightarrow \frac{1}{V_0} = \sum_{k^2 > 0} \frac{1}{k^2 - E}$$

Weak attraction, $V_0 \rightarrow 0^+$ if $E < 0$, $\sum_{k^2 > 0} \frac{1}{k^2 + |E|}$ has $\rightarrow \infty$

$$\therefore \sum_{k^2} \frac{1}{k^2 + |E|} \propto \int_0^{\frac{\pi}{a}} d\vec{k} \frac{1}{k^2 + |E|} \sim \int_0^{\frac{\pi}{a}} d\vec{k} \frac{1}{k^2}$$

$\therefore V_0 \rightarrow 0, E \rightarrow 0$

diverges only for $d=1, 2$

\therefore a bound state allows !

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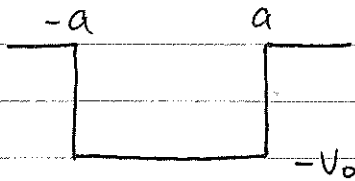
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Two types of states

example



$$U = 0 \quad |x| > a$$

$$= -V_0 \quad |x| < a$$

$$|x| > a, \quad \psi'' + \frac{2m}{\hbar^2} E \psi = 0$$

$$|x| < a, \quad \psi'' + \frac{2m}{\hbar^2} (E + V_0) \psi = 0$$

bound state $E < 0$, $\alpha \equiv \sqrt{\frac{2m}{\hbar^2} |E|}$, $\beta \equiv \sqrt{\frac{2m}{\hbar^2} (V_0 - |E|)}$

$$\psi(x) = \begin{cases} A e^{\alpha x} + B e^{-\alpha x} & x > a \\ C \sin \beta x + D \cos \beta x & |x| < a \\ F e^{\alpha x} + G e^{-\alpha x} & x < -a \end{cases}$$

quantum tunneling: $|\psi|^2 \neq 0$ for $|x| > a$

$$\psi(a^+) = \psi(a^-) \Rightarrow B e^{-\alpha a} = C \sin \beta a + D \cos \beta a$$

$$\psi(-a^+) = \psi(-a^-) \Rightarrow F e^{-\alpha a} = -C \sin \beta a + D \cos \beta a$$

$$\Rightarrow 2C \sin \beta a = (B - F) e^{-\alpha a} \quad \dots \textcircled{1}$$

$$\Rightarrow 2D \cos \beta a = (B + F) e^{-\alpha a} \quad \dots \textcircled{2}$$

$$\frac{d\psi}{dx} \Big|_{a^+} = \frac{d\psi}{dx} \Big|_{a^-} \Rightarrow -\alpha B e^{-\alpha a} = C \beta \cos \beta a - D \beta \sin \beta a$$

$$\frac{d\psi}{dx} \Big|_{-a^+} = \frac{d\psi}{dx} \Big|_{-a^-} \Rightarrow \alpha F e^{-\alpha a} = C \beta \cos \beta a + D \beta \sin \beta a$$

$$\Rightarrow 2\beta C \cos \beta a = \alpha (F - B) e^{-\alpha a} \quad \dots \textcircled{3}$$

$$2\beta D \sin \beta a = \alpha (F + B) e^{-\alpha a} \quad \dots \textcircled{4}$$

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$C \neq 0, B \neq F \quad (3)/(1) \Rightarrow \beta \cot \beta a = -\alpha$

$D \neq 0, B \neq -F \quad (4)/(2) \Rightarrow \beta \tan \beta a = \alpha$

Can't be satisfied at the same time $[|\tan \theta + \cot \theta| \geq 2]$

∴ Two classes of solutions

(i) $C \neq 0, B \neq F, \quad D=0, B=-F$

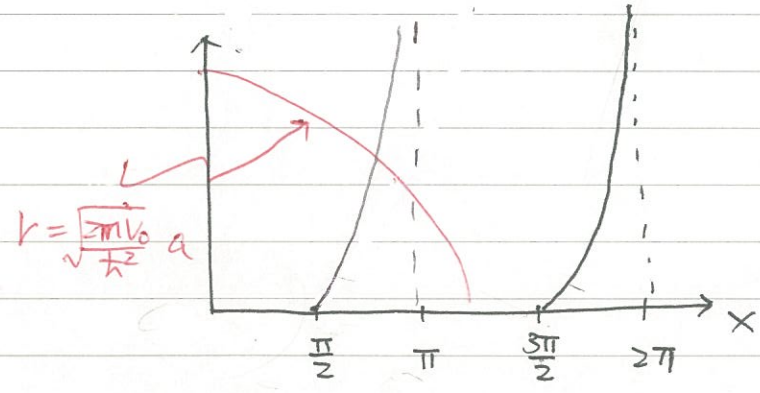
$\beta \cot \beta a = -\alpha$

$$\psi(x) = \begin{cases} B e^{-\alpha x} & x > a \\ C \sin \beta x & |x| < a \\ -B e^{\alpha x} & x < -a \end{cases}$$

$\beta a \equiv X, \quad \alpha a \equiv Y, \quad X \cot X = -Y$

odd

$X^2 + Y^2 = a^2(\alpha^2 + \beta^2) = \frac{2mV_0}{\hbar^2} a^2$



only $k > \frac{\pi}{2a}$

i.e. $V_0 a > \frac{\pi^2 \hbar^2}{8ma}$

has solutions !!

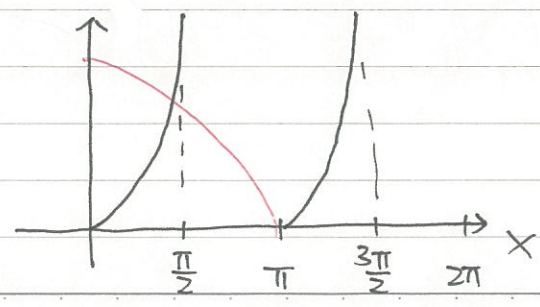
(ii) $D \neq 0, B \neq -F, \quad C=0, B=F$

$$\psi(x) = \begin{cases} B e^{-\alpha x} & x > a \\ D \cos \beta x & |x| < a \\ B e^{\alpha x} & x < -a \end{cases}$$

even \Rightarrow always has solutions!

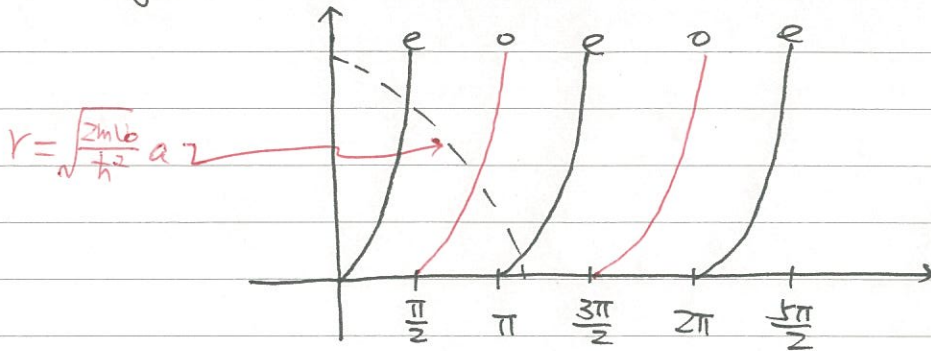
$X^2 + Y^2 = \frac{2mV_0}{\hbar^2} a^2$

$Y = X \tan X$



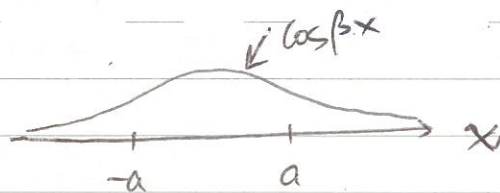
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Altogether we have



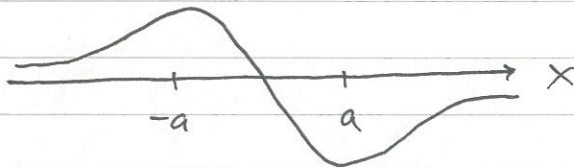
several important features

- (i) ground state has no node (even), $\bar{x} < \frac{\pi}{2}$
true for other dimension βa
- $(-\frac{\hbar^2}{2m} \frac{d^2}{dx^2})$ is small if no node!



1st excited state $\frac{\pi}{2} < \bar{x} < \pi$

↓
λ smaller



one node K.E. ↑

2st ..

≥ nodes

Oscillation theorem

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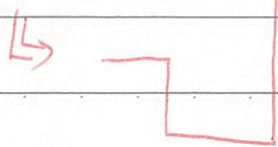
therefore

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(ii) $A = 2U_0 a$ fixed, $a \rightarrow 0$



most necessarily have bound states!

$$r = \sqrt{\frac{2m U_0 a}{\hbar^2}} \sqrt{a} \rightarrow 0$$

only one solution survives

$$Y = X \tan X \approx X^2$$

$$(Ba)^2 \approx \alpha a \quad \therefore \alpha \approx \beta^2 a = \frac{2m}{\hbar^2} (U_0 a - |E| a)$$

$$\rightarrow \frac{2m}{\hbar^2} U_0 a = \frac{m}{\hbar^2} A$$

$$\therefore |E| = \frac{m}{2\hbar^2} A^2, \quad \text{i.e. } E = -\frac{m}{2\hbar^2} A^2$$

$$\Leftrightarrow U = -A \delta(x), \quad E = -\frac{m}{2\hbar^2} A^2, \quad \text{Valid when } r < \frac{\pi}{2}$$

$$U_0 a^2 \leq \frac{\pi^2 \hbar^2}{8m}$$

$$\therefore \text{for a given } U_0, a^2 \leq \frac{\pi^2 \hbar^2}{8m U_0}$$

$$E + U_0 \Rightarrow E$$

(iii) $U_0 \rightarrow \infty$

First shift $0 \rightarrow U_0, -U_0 \rightarrow 0$

$$\Rightarrow E > 0$$

$$\alpha = \sqrt{\frac{2m(U_0 - E)}{\hbar^2}}, \quad \beta = \sqrt{\frac{2mE}{\hbar^2}}$$

$\alpha \rightarrow \infty \therefore \psi(x) \rightarrow 0$ for $|x| > a$

$$X^2 + r^2 = \frac{2m U_0 a^2}{\hbar^2} \rightarrow \infty$$

intersections happen at $X = \frac{\pi}{2}, \pi, \frac{3\pi}{2}, 2\pi, \dots$

$$Y = X \tan X, -X \cot X, \dots$$

$$\therefore X = \frac{n\pi}{2}, = \beta a, \quad E_n = \frac{n^2 \pi^2 \hbar^2}{8ma^2}$$

$$n = \text{odd}, \quad Y = X \tan X, \quad \psi = D \cos \beta x \quad |x| < a$$

$$n = \text{even}, \quad Y = -X \cot X, \quad \psi = C \sin \beta x, \quad |x| < a$$

$$n = 1, \quad \psi = D \cos \frac{\pi}{2a} x \quad \text{ground state}$$

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Scattering state: ($E > 0$)

$$\psi(x) = Ae^{ikx} \quad x > a \quad k = \sqrt{\frac{2m}{\hbar^2} E}$$

$$= C \sin \beta x + D \cos \beta x \quad |x| < a \quad \beta = \sqrt{\frac{2m}{\hbar^2} (E + V_0)}$$

$$= Fe^{ikx} + Ge^{-ikx} \quad x < -a$$

$$G = i \frac{\sin 2\beta a}{2k\beta} (\beta^2 - k^2) A$$

$$A = \frac{e^{-2ika}}{\cos 2\beta a - i \frac{\sin 2\beta a}{2k\beta} (k^2 + \beta^2)} F$$

 $k = i\alpha$ $F = 0$ $\frac{A}{F} = \infty$

transmission coefficient $T \equiv \left| \frac{A}{F} \right|^2 \Rightarrow$

$$T^{-1} = 1 + \frac{V_0^2}{4E(E+V_0)} \sin^2 \left(\frac{2a}{\hbar} \sqrt{2m(E+V_0)} \right)$$

$$x > -a, \quad J = \frac{\hbar^2}{2mi} (\psi^* \partial \psi - \psi \partial \psi^*) = \underbrace{\frac{\hbar k}{m} |F|^2}_{J_{\text{incident}}} - \underbrace{\frac{\hbar k}{m} |G|^2}_{J_{\text{reflection}}}$$

$$x > a, \quad J = \underbrace{\frac{\hbar k}{m} |A|^2}_{J_{\text{transmission}}}$$

$$\therefore T = \left| \frac{J_{\text{transmission}}}{J_{\text{incident}}} \right|$$

$$R = \left| \frac{J_{\text{ref}}}{J_{\text{inc}}} \right| = \left| \frac{G}{F} \right|^2$$

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Complete set must include both scattering states
and bound states:

$$|\psi\rangle = \sum_{E < 0} a_E |E\rangle + \int dE b(E) |E\rangle$$

if $a_E = 0$ for all E

$$\langle \psi | \hat{H} | \psi \rangle = \int dE |b(E)|^2 \text{ always } > 0$$

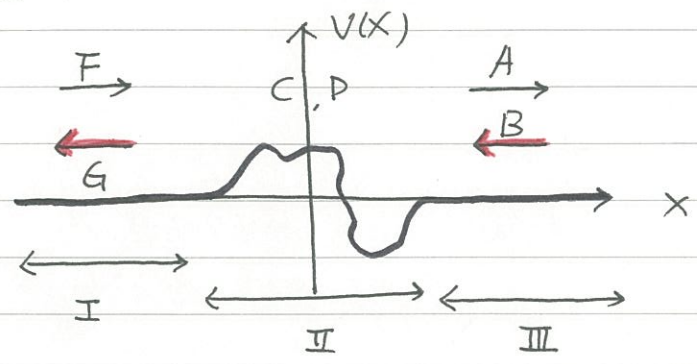
this implies for $E < 0$ $|E\rangle$ can not

be expressed in terms $\sum_{E > 0} b(E) |E\rangle$!

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The scattering matrix

* The most general situation that one considers is as follows :



A, G out going
B, F in coming

III: $\psi(x) = Ae^{ikx} + Be^{-ikx}$

II: $\psi(x) = C f(x) + D g(x) \Rightarrow f(x), g(x)$ two linearly independent particular solutions.

I: $\psi(x) = Fe^{ikx} + Ge^{-ikx}$

can be chosen to be real!

boundary conditions: I/II ψ, ψ' continuous
II/III ψ, ψ'

\Rightarrow 4 equations eliminate 4 variables

leave two independent unknowns.

We may choose them to be B & F!

\therefore All equations are linear in A, B, C, D, F & G.

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∴ We can write

$$G = S_{11}F + S_{12}B$$

$$A = S_{21}F + S_{22}B$$

or equivalently

$$\begin{pmatrix} G \\ A \end{pmatrix} = \underbrace{\begin{pmatrix} S_{11} & S_{12} \\ S_{21} & S_{22} \end{pmatrix}}_S \begin{pmatrix} F \\ B \end{pmatrix} \quad \text{--- ①}$$

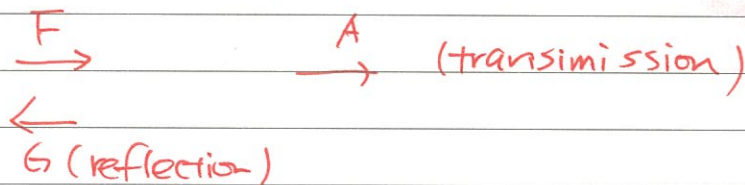
← →
outgoing

→ ←
incoming

independent of A, B, G, F

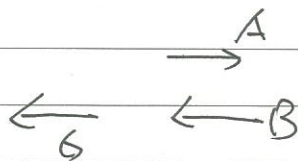
S ≡ Scattering matrix or simply S-matrix

B=0 :



$$\therefore R_L = \left| \frac{G}{F} \right|_{B=0}^2 = |S_{11}|^2, \quad T_L = \left| \frac{A}{F} \right|_{B=0}^2 = |S_{21}|^2$$

F=0



$$R_R = \left| \frac{A}{B} \right|_{F=0}^2 = |S_{22}|^2, \quad T_R = \left| \frac{G}{B} \right|_{F=0}^2 = |S_{12}|^2$$

Obviously, if S is symmetric, one has

$$R_L = R_R, \quad T_L = T_R$$

In 1D,

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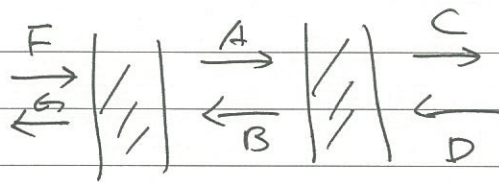
2-1

it is more convenient to use another representation, called the transfer matrix:

$$\begin{pmatrix} A \\ B \end{pmatrix} = \begin{pmatrix} T_{11} & T_{12} \\ T_{21} & T_{22} \end{pmatrix} \begin{pmatrix} F \\ G \end{pmatrix} \equiv T \begin{pmatrix} F \\ G \end{pmatrix} \quad \text{--- (2)}$$

independent of A, B, G, F.

This has the advantage for combining sequant scattering centers:



$$\begin{pmatrix} C \\ D \end{pmatrix} = T^{(2)} \begin{pmatrix} A \\ B \end{pmatrix} \quad \begin{pmatrix} A \\ B \end{pmatrix} = T^{(1)} \begin{pmatrix} F \\ G \end{pmatrix}$$

$$\Rightarrow \begin{pmatrix} C \\ D \end{pmatrix} = T^{(2)} T^{(1)} \begin{pmatrix} F \\ G \end{pmatrix} \quad \therefore T \equiv T^{(2)} T^{(1)}$$

The relation of T to S is:

$$\because B = T_{21} F + T_{22} G \quad \therefore G = -\frac{T_{21}}{T_{22}} F + \frac{1}{T_{22}} B$$

$$\therefore A = T_{11} F + T_{12} G$$

$$= \frac{T_{11} T_{22} - T_{12} T_{21}}{T_{22}} F + \frac{T_{12}}{T_{22}} B$$

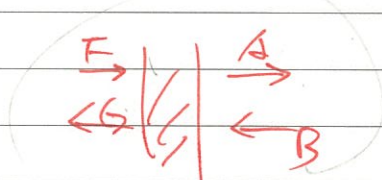
$$\therefore S_{21} = \frac{T_{11} T_{22} - T_{12} T_{21}}{T_{22}}, \quad S_{22} = \frac{T_{12}}{T_{22}}$$

$$S_{11} = -\frac{T_{21}}{T_{22}}, \quad S_{12} = \frac{1}{T_{22}}$$

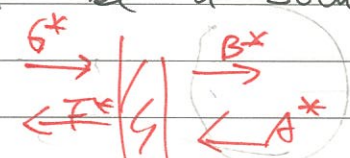
The symmetry properties of T is easier to be explored.

Time-reversal invariant

if $\begin{cases} Ae^{ikx} + Be^{-ikx} \\ C f(x) + D g(x) \\ Fe^{ikx} + Ge^{-ikx} \end{cases}$ is a solution



$\Rightarrow \begin{cases} A^* e^{-ikx} + B^* e^{ikx} \\ C^* f(x) + D^* g(x) \\ F^* e^{-ikx} + G^* e^{ikx} \end{cases}$ must be a solution too!



but (B^*, G^*) play the role of (A, F)
 (A^*, F^*) " " (B, G)

Since T is independent of A, B, G, F , we have

$$\begin{pmatrix} B^* \\ A^* \end{pmatrix} = T \begin{pmatrix} G^* \\ F^* \end{pmatrix} = \begin{pmatrix} T_{11} & T_{12} \\ T_{21} & T_{22} \end{pmatrix} \begin{pmatrix} G^* \\ F^* \end{pmatrix}$$

rearranging it

$$\begin{pmatrix} A \\ B \end{pmatrix} = \begin{pmatrix} T_{22}^* & T_{21}^* \\ T_{12}^* & T_{11}^* \end{pmatrix} \begin{pmatrix} F \\ G \end{pmatrix}$$

Comparing with (2) $\Rightarrow T_{11} = T_{22}^*, T_{12} = T_{21}^*$

$$\therefore T = \begin{pmatrix} T_{11} & T_{12} \\ T_{12}^* & T_{11}^* \end{pmatrix} \quad (\text{not Hermitian})$$

Unitarity

One can imagine that at $t = -\infty$, a wavepacket $\begin{pmatrix} F \\ B \end{pmatrix}$ comes in and at $t = \infty$, it comes out as $\begin{pmatrix} G \\ A \end{pmatrix}$

probability is conserved

$$\therefore |A|^2 + |G|^2 = |B|^2 + |F|^2$$

$$\text{i.e. } |A|^2 - |B|^2 = |T_{11} F + T_{12} G|^2 - |T_{12}^* F + T_{11}^* G|^2 \\ = |F|^2 - |G|^2$$

$$\therefore |T_{11}|^2 - |T_{12}|^2 = 1 \quad (\text{coefficients of } |F|^2 \text{ \& } |G|^2)$$

$$\text{i.e. } \det T = 1$$

Now, if $\begin{pmatrix} F \\ G \end{pmatrix} = \begin{pmatrix} 1 \\ r \end{pmatrix}$, $\begin{pmatrix} A \\ B \end{pmatrix} = \begin{pmatrix} t \\ 0 \end{pmatrix}$ (Substitute to (D) $\Rightarrow S_{11} = r$
 $S_{21} = t$)

we have $0 = T_{12}^* \cdot 1 + T_{11}^* \cdot r$

$$\therefore r = -\frac{T_{12}^*}{T_{11}^*} \quad t = T_{11} + T_{12} r$$

(reflection
amplitude)

$$= \frac{|T_{11}|^2 - |T_{12}|^2}{T_{11}^*} = \frac{1}{T_{11}^*}$$

(transition amplitude)

$$\therefore T = \begin{pmatrix} \frac{1}{t^*} & -r^*/t^* \\ -r/t & \frac{1}{t} \end{pmatrix}$$

$$T_{12} = -r^* T_{11} \\ = -r^*/t^*$$

$$\det T = 1 \Rightarrow \frac{1}{|t|^2} - \frac{|r|^2}{|t|^2} = 1$$

$$\text{i.e. } 1 = |r|^2 + |t|^2$$

Using these relations, we have

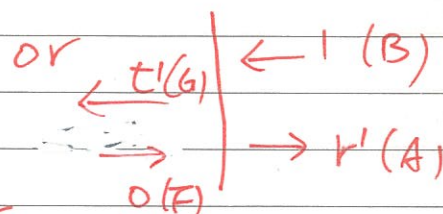
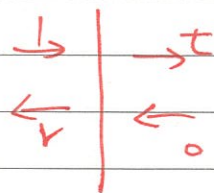
$$S_{12} = \frac{1}{T_{22}} = t$$

$$S_{21} = \frac{T_{11}T_{22} - T_{12}T_{21}}{T_{22}} = \frac{|T_{11}|^2 - |T_{12}|^2}{T_{22}} = \frac{1}{T_{22}} = t$$

$$S_{11} = -\frac{T_{21}}{T_{22}} = -(-r/t) \times t = r$$

$$S_{22} = \frac{T_{12}}{T_{22}} = t \cdot \left(-\frac{r^*}{t^*}\right) = -r^*(t/t^*)$$

$$\therefore S = \begin{pmatrix} r & t \\ t & -r^*(t/t^*) \end{pmatrix}$$



$$\begin{pmatrix} t \\ r \end{pmatrix} = S \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

(for delta potential $-V^* \delta(x) = V \delta(x)$)

$$\rightarrow r^* t/t^* = r$$

$$\therefore t=t, r = -r^*(t/t^*)$$

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* bound - state energies as poles of S

For bound states $E < 0$, $\psi(x)$ must take the following form

$$\psi(x) = \begin{cases} Ae^{-kx} & \text{III} \\ C f(x) + D g(x) & \text{II} \\ Ge^{kx} & \text{I} \end{cases}$$

$$k = \sqrt{\frac{-2mE}{\hbar^2}}$$

This is the same as the scattering state b/

the substitution: $k = i\kappa$, $B = 0$, $F = 0$

But since $R_L = \left| \frac{G}{F} \right|_{B=0, F=0}^2 = |S_{11}|^2$

$$T_L = \left| \frac{A}{F} \right|_{B=0, F=0}^2 = |S_{21}|^2$$

$\therefore R_L, T_L$ must go to ∞ , Similarly
(i.e. $S_{11}, S_{21} \rightarrow \infty$)

S_{22}, S_{12} must $\rightarrow \infty$ too!

Indeed, from the calculation of scattering state, we

$$\text{have } S_{21} = \frac{A}{F} = \frac{e^{-i2\kappa a}}{\cos 2\beta a - i \frac{\sin 2\beta a}{2\kappa\beta} (\kappa^2 + \beta^2)}$$

Substitute $k \rightarrow i\kappa$.

$$S_{21} = \frac{e^{2\kappa a}}{\cos 2\beta a - \frac{\sin 2\beta a}{2\kappa\beta} (\beta^2 - \kappa^2)}$$

Which blows up at $\cot 2\beta a = \frac{\beta^2 - k^2}{2k\beta}$

where $\beta = \sqrt{\frac{2m}{\hbar^2}(V_0 - E)}$ $k = \sqrt{\frac{2m|E|}{\hbar^2}} = \text{previous } \alpha$

$$\therefore \tan \frac{\phi}{2} = \pm \sqrt{1 + \cot^2 \phi} - \cot \phi$$

$$\therefore \tan \beta a = \pm \sqrt{1 + \left(\frac{\beta^2 - k^2}{2k\beta}\right)^2} - \frac{\beta^2 - k^2}{2k\beta}$$

$$= \pm \frac{\beta^2 + k^2}{2k\beta} - \frac{\beta^2 - k^2}{2k\beta} = \begin{cases} \frac{k}{\beta} & + \\ -\frac{\beta}{k} & - \end{cases}$$

$$\therefore \tan \beta a = k/\beta \quad \text{or} \quad \cot \beta a = -\beta/k$$

$$\text{i.e. } \beta \tan \beta a = k \quad \text{or} \quad \beta \cot \beta a = -k$$

which are exactly the equations that bound-state energies must satisfy:

Complex- k

